

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

AGENDA STATE BOARD OF ELECTIONS BOARD MEETING Tuesday, October 21, 2014 10:30 a.m.

James R. Thompson Center – Room 14-600
Chicago, Illinois
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

Roll call.

1. Approval of the minutes from the September 15 meeting. (pgs.1-5)
2. Report of the General Counsel
 - a. Campaign Disclosure;
Motion for Reconsideration
 - 1) *SBE v. Citizens for Bita Buenrostro*, 22801, 14MQ093; (pgs.6-12)
Request for settlement offer
 - 2) *SBE v. Stephenson County Republican Central Committee*, 187, 14MQ005; (pgs.13-22)
 - 3) *SBE v. Citizens to Elect Norman Bolden*, 11237, 11MQ028; (pgs.23-25)
Appeals of campaign disclosure fines – hearing officer recommendation appeal be granted
 - 4) *SBE v. Henderson County Democratic Central Committee*, 1214, 14MQ020; (pgs.26-30)
 - 5) *SBE v. New 10th Ward – Richard L. Martinez, Jr.*, 24246, 14MQ119; (pgs.31-33)
 - 6) *SBE v. Friends of Giuseppe Zerillo*, 24496, 14MQ126; (pgs.34-36)
 - 7) *SBE v. Citizens to Elect Beth Goncher*, 24553, 14MQ182; (pgs.37-40)
Appeals of campaign disclosure fines – hearing officer recommendation appeal be denied
 - 8) *SBE v. Clark County Democratic Central Committee*, 99, 14MQ003; (pgs.41-43)
 - 9) *SBE v. Ford County Democrats Central Committee*, 318, 14MQ007; (pgs.44-47)
 - 10) *SBE v. Rock Island County Republican Central Committee*, 349, 14MQ008; (pgs.48-50)
 - 11) *SBE v. Washington County Republican Central Committee*, 388, 14AM007; (pgs.51-54)
 - 12) *SBE v. Citizens for Linda S. Frank*, 4156, 14DQ014; (pgs.55-58)
 - 13) *SBE v. Local Union 134 International Brotherhood of Electrical Workers PAC*, 4246, 14AM016; (pgs.59-63)
 - 14) *SBE v. Friends of Frank Beach for Alderman Committee*, 5583, 14MQ025; (pgs.64-69)
 - 15) *SBE v. Citizens for Lauren Beth Gash*, 8421, 14MQ030; (pgs.70-72)
 - 16) *SBE v. Citizens to Elect Michael “Mike” Stuttley*, 16287, 14MQ051; (pgs.73-76)
 - 17) *SBE v. Vernon Township Republican Central Committee*, 19724, 14MQ068; (pgs.77-79)
 - 18) *SBE v. Friends of Cesar A. Santoy*, 21482, 14MQ083; (pgs.80-82)
 - 19) *SBE v. Friends of Edwin Reyes*, 22303, 14AM036; (pgs.83-86)
 - 20) *SBE v. Rice for Recorder*, 22748, 14MQ092; (pgs.87-89)
 - 21) *SBE v. Neighbors United for Marc Kaplan*, 23188, 14MQ097; (pgs.90-92)

- 22) *SBE v. Gregg Moore for IL Governor*, 23277, 14MQ101; (pgs.93-96)
- 23) *SBE v. Friends to Elect Andrew R. Timms*, 23693, 14DQ120; (pgs.97-100)
- 24) *SBE v. The Committee for Municipal Electricity Choice*, 24164, 14AM044; (pgs.101-104)
- 25) *SBE v. Northbrook Caucus of 2013*, 24389, 14MQ123; (pgs.105-110)
- 26) *SBE v. Citizens to Elect Brian VanVickle for Sheriff*, 25267, 14AD066; (pgs.111-113)
- 27) *SBE v. Friends of Campbell*, 25393, 14MA016; (pgs.114-117)
- 28) *SBE v. State & Local PAC of Intl Alliance of Theatrical Stage Employees*, 25445, 14AM049; (pgs.118-123)
- 29) *SBE v. Curtis Lane for Circuit Judge*, 25641, 14AM063; (pgs.124-126)
- 30) *SBE v. Committee to Elect Michael O'Malley*, 25684, 14MQ164; (pgs.127-130)
- 31) *SBE v. McLean County Sales Tax Referendum*, 25770, 14AM070; (pgs.131-138)
- 32) *SBE v. Committee to Elect Molt for Judge*, 25872, 14MA023; (pgs.139-143)
- Other campaign disclosure items
- 33) Procedures for accepting settlement offers; (pg.144)
- 34) Assessments/Board Orders; (pg.145)
- 35) Payment of civil penalties – informational; (pg.146)
- Complaints following closed preliminary hearing – separate packet
- 36) *Jurewicz v. Pavoni*, 14CD112; (pgs.1-38)
- 37) *Brown-Marino v. Friends of Sherman C. Jones*, 14CD113; (pgs.39-102)
- 38) *Jacobsen v. Dunn & Leinenweber*, 14CD114; (pgs.103-102)
- 39) *Ames v. Better Broadview Party*, 14CD115; (pgs.123-140)
- 40) *Kern v. Quiroz*, 14CD116. (pgs.141-147)

3. Report of the Executive Director

- a. Preparations for the November 4, 2014 General Election;
 - 1) Schedule of Press Releases; (pg.147)
 - 2) Election day staff assignments; (pg.148)
 - 3) Voting systems pre-testing; (oral report)
 - 4) Election judge training schools – informational; (pgs.149-151)
- b. Consideration of HAVA complaints – 14HAVA001 & 14HAVA002; (pgs.152-182)
- c. Paperless Online Voter Application update; (pg.183)
- d. Fiscal status reports – informational;
 - 1) FY15 – month ending September 30; (pgs.184-191)
 - 2) FY15 – Help Illinois Vote Fund; (pgs.192-197)
- e. Two year plan of staff activity for the months of October & November – informational. (pgs.198-203)

4. Follow up. (pg.204)

5. Comments from the general public. (pg.204)

6. Next regular Board meeting at 10:30 a.m. on Monday, November 17, 2014, in Springfield. (pg.204)

7. Executive session. (pgs.205-211)

STATE BOARD OF ELECTIONS
Regular Meeting
Monday, September 15, 2014

MINUTES

PRESENT:	Jesse R. Smart, Chairman Harold D. Byers, Member Betty J. Coffrin, Member Ernest L. Gowen, Member William M. McGuffage, Member Bryan A. Schneider, Member Casandra B. Watson, Member
ABSENT:	Charles W. Scholz, Vice Chairman
ALSO PRESENT:	Rupert Borgsmiller, Executive Director James Tenuto, Assistant Executive Director Steve Sandvoss, General Counsel Amy Calvin, Administrative Assistant II

The meeting convened at 10:30 a.m. via videoconference with the Chicago office. Six Members were present in Springfield and Member Watson was present in Chicago. Vice Chairman Scholz was absent and Member Byers held his proxy.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Member Schneider moved to recess the State Board of Elections and reconvene as the State Officers Electoral Board to approve the minutes from the August 22 meeting. Member Coffrin seconded the motion which passed unanimously. The meeting recessed at 10:32 a.m. and reconvened at 10:33 a.m.

Member Schneider moved to approve the minutes from the August 22 meeting. Member Coffrin seconded the motion which passed unanimously.

The General Counsel presented Agenda item 5.a.1, a motion for reconsideration in the matter of *SBE v. Jackson County Democratic Women*, 92, 14MQ002. He recommended the motion and appeal both be granted because of an internet connectivity issue and because the committee made a good faith effort to file electronically even though they were not required to do so. Eden Thorne was present on behalf of the committee and agreed with the recommendation. Member Coffrin moved to accept the recommendation of the General Counsel. Member Gowen seconded the motion which passed by roll call vote of 8- 0.

The General Counsel presented a settlement offer for Agenda item 5.a.2, *SBE v. Citizens for Jim Gitz*, 12695 and recommended the offer be granted because the amount offered was 50% of the total assessment. Member Byers moved to accept the recommendation of the General Counsel. Member McGuffage seconded the motion which passed by roll call vote of 8- 0.

The General Counsel presented a settlement offer for Agenda item 5.a.3, *SBE v. Friends of Barrett F. Pedersen*, 15091 and recommended the offer be denied because it was less than 50% of the total assessment and no extraordinary circumstances existed to accept such offer. He said if the

committee would offer an appropriate settlement he would recommend it be granted. Matthew Welch was present on behalf of the committee and offered \$2,175 which was 50% of the assessment. Member Gowen moved to accept the settlement offer of \$2,175. Member Byers seconded the motion which passed by roll call vote of 8 - 0.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to grant the appeals for Agenda items 5.a.4-8:

- 4) *SBE v. Indo-American Democratic Organization*, 1420, 14AD005;
- 5) *SBE v. Committee to Elect Dan Burke*, 5000, 14AM017;
- 6) *SBE v. Citizens for Jesse Martinez*, 21099, 13AM118;
- 7) *SBE v. Friends of Nancy Schiavone*, 22998, 14AM038;
- 8) *SBE v. Friends of Adam Johnson*, 25570, 14AM059.

He also noted that the committee in item 6 has filed the amended reports as recommended by the hearing officer. Member Schneider moved to accept the recommendation of the General Counsel and grant the above noted appeals. Member Gowen seconded the motion which passed by roll call vote of 8 - 0.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to deny the appeals for Agenda items 5.a.9, 10, 13 & 15-19:

- 9) *SBE v. Citizens for Jerry "Iceman" Butler*, 4524, 14MQ022;
- 10) *SBE v. Citizens for Scaife*, 12324, 14MQ037;
- 13) *SBE v. Friends for Bill Graft*, 19056, 14DQ068;
- 15) *SBE v. Funkhouser for Alderman*, 23262, 14MQ100;
- 16) *SBE v. Democratic Party of the 29th Ward*, 23266, 12AM123;
- 17) *SBE v. Citizens to Elect Mike Kelley Will County Sheriff*, 25477, 14AM054;
- 18) *SBE v. Citizens for Ronald Lawless*, 25494, 14MQ157;
- 19) *SBE v. Committee to Elect Judge Andrea M. Buford*, 25525, 14AM057.

No one was present on behalf of the respondent committees. Member Byers moved to accept the recommendation of the General Counsel and deny the above noted appeals. Member Coffrin seconded the motion which passed by roll call vote of 8- 0.

The General Counsel presented Agenda item 5.a.11, *SBE v. Illinois Psychiatric PAC*, 15354, 13DQ048 and did not concur with the hearing officer recommendation to deny the appeal. He recommended the appeal be granted because the matter was not considered in a timely manner due to personal circumstances with the hearing officer. Meryl Sosa was present on behalf of the respondent committee and concurred with the recommendation. Member McGuffage moved to grant the appeal. Member Gowen seconded the motion which passed by roll call vote of 8- 0.

The General Counsel presented Agenda item 5.a.12, *SBE v. Illinois Psychiatric Society PAC*, 15354, 14DQ042 and concurred with the hearing officer to deny the appeal for the lack of an adequate defense. Ms. Sosa was present on behalf of the committee and offered a settlement of \$1,000 which was 50% of the total assessment. Member Byers moved to accept the recommendation of the General Counsel and the settlement offer of \$1,000. Vice Chairman Scholz seconded the motion which passed by roll call vote of 5-3. Members McGuffage, Schneider and Watson voted in the negative.

The General Counsel presented Agenda item 5.a.14, *SBE v. Tony Blumberg for Highland Park City Council*, 23239, 14MQ099 and concurred with the hearing officer recommendation to deny the appeal. Tony Blumberg was present on behalf of the committee and offered a settlement amount of \$112.50 which was 50% of the total assessment. Member Schneider moved to accept the recommendation of the General Counsel and the settlement offer of \$112.50. Member Gowen seconded the motion which passed by roll call vote of 8-0.

A failure to comply with a board order for Agenda item 5.a.20, *SBE v. Franco for Mayor*, 25024, 13AJ072 was presented. The General Counsel recommended the committee be assessed a \$941 fine for not filing the required reports (the amount of the original assessment, the appeal of which was granted, contingent on the filing of the amended reports). Member Byers moved to accept the recommendation of the General Counsel. Chairman Smart seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a complaint following public hearing for Agenda item 5.a.21, *SBE v. Citizens to Elect Pat Liston*, 13CD215 and concurred with the hearing officer recommendation to order the committee to file the delinquent reports within thirty days of issuance of the order or be subject to a fine not exceeding \$5,000 for failure to comply with a board order. Member Gowen moved to accept the recommendation of the General Counsel. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a complaint following public hearing for Agenda item 5.a.22, *SBE v. Tazewell County Republican Central Committee*, 14CD107 and reviewed the matter. He concurred with the hearing officer recommendation that in light of the filing of the corrective amended reports, the complaint be dismissed and no further action be taken other than referral to staff for review and assessment of civil penalties. Member Coffrin moved to accept the recommendation of the General Counsel. Member Gowen seconded the motion which passed by roll call vote of 8-0.

A listing of civil penalty assessments necessitating a final board order was presented. Member Byers moved to assess the civil penalty against the committees listed on pages 93-98 of the board packet, excluding the *Rey for Mayor* committee because the fine had been paid. Member Gowen seconded the motion which passed by roll call vote of 8-0.

An update on random audits of political committees and a listing of payment of civil penalties was presented for informational purposes.

The Executive Director began his report with an update on two HAVA complaints that were filed by Sharon Meroni and Defend the Vote. He explained that the General Counsel was waiting for a response from an e-mail that was sent a week ago this past Friday and noted that Ms. Meroni was not present today. The General Counsel said that Ms. Meroni indicated in a previous e-mail that she could not be present at today's meeting. After a discussion regarding the rules and procedures Member Schneider moved to continue the matter to the October board meeting. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Next was the pre-testing of voting systems for the general election and the Executive Director reported that a listing of counties selected for testing was included on page 132 of the board packet. A listing of election judge training schools was also presented for informational purposes.

Kyle Thomas presented for consideration the approval of Dominion's AccuVote undervote detection system while on battery backup and reviewed the history of the AccuVote kick-back feature. He explained that the tabulators are required to kick-back the optical scan ballot when an undervote for a constitutional officer is detected. However, the kick-back feature failed to work when the system was operating on battery backup. Dominion then presented an application for version 1.96.6e to correct this issue and VRS staff began testing in August. During testing, staff found that when the machine is on battery backup it cycles between a power fail message and the normal screen display. If a ballot is inserted while the power fail message is displayed, the tabulator will return the ballot and indicate an invalid ballot. However, if the ballot is inserted when the standard screen display is present, the tabulator will accept the ballot and tabulate correctly. Mr. Thomas noted the same was true for the previously approved version and indicated that no tabulation errors occurred during testing (since the system would simply not accept the ballot while on battery backup). He recommended a two year interim approval of the AccuVote version 1.96.6e and also recommended that jurisdictions that use this version properly train the election judges to be aware of the ballot feeding sensitivity while on battery backup. Member Coffrin moved to accept the recommendation of Mr. Thomas and grant two year interim approval to the AccuVote version 1.96.6e. Member Gowen seconded the motion which passed by roll call vote of 8- 0.

The Executive Director indicated that the Advisory Committee Meeting was held prior to the Board Meeting. Topics discussed included: the e-canvass; early voting and grace period registration/voting; registration on election day; an update on the Green and Libertarian petitions for judicial review; statewide initiatives; legislation; post election surveys; MOVE and online voter registration. He also noted that there will be approximately seventeen new county clerks after the general election. In the past, SBE staff has conducted a training seminar for the new election authorities and thought it would be a good idea to offer the seminar again after the election. The Executive Director said it was a good meeting and the next meeting is tentatively scheduled for January 20, 2015.

Mr. Thomas presented the Paperless Online Voter Application (POVA) update and said the system is working very well. The number of applications are constantly increasing and as of now over 8,500 have been received. He noted that the new HTML5 version of the system is accessible from mobile devices as well as computers. The close of voter registration is October 7 and at 12:00 a.m. on October 8, the POVA system will be disabled. In its place, a web page will be displayed informing the user of grace period registration. The POVA website will be reactivated upon the reopening of voter registration. Kevin Turner reported that a letter was received from the voter registration groups offering some recommendations to the system and staff is in the process of addressing the suggestions. Mr. Turner also praised the Secretary of State's office and said their cooperation has been vital in the success of the system. Upon suggestion of the Chairman, Mr. Turner indicated he would draft a letter of thanks to the Secretary of State on behalf of the Board.

The Executive Director presented the IVRS and voting age population versus registered voters updates and noted that these monthly updates are repetitive with very little change from month to month. It was agreed that Mr. Thomas would continue to monitor those items and would bring updates to the Board if significant changes occur. Mr. Thomas noted that the number of duplicate matches have decreased and all jurisdictions on the voting age population report are below 100%.

The final FY14 fiscal reports were presented and the Executive Director noted that funds were returned to the state. The FY15 fiscal reports were presented and it was noted that expenses are being closely monitored and on target at this point in the budget year.

Member Byers moved to recess to executive session to consider complaints following closed preliminary hearing, pending litigation and personnel matters. Member Coffrin seconded the motion which passed by roll call vote of 8 – 0. The meeting recessed at 12:15 p.m. and reconvened at 2:55 p.m. with the same attendance as noted in the initial roll call.

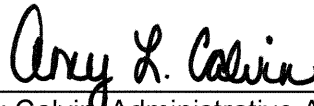
As to Agenda items 5.a.26-27, *Keough v. Citizens to Elect Steven Reick*, 14CD110 and *Keough v. Citizens to Elect Steven Reick*, 14CD111, Member Schneider moved to find that for case 14 CD 111, the matter be dismissed for lack of prosecution and for case 14 CD 110, the matter be dismissed for lack of prosecution or in the alternative, the complaint was not filed on justifiable grounds and not proceed to a public hearing. Member Byers seconded the motion which passed by roll call vote of 8 – 0.

Member Schneider moved to appoint Steve Sandvoss to the position of Executive Director of effective January 1, 2015 for a four year term. Member Coffrin seconded the motion which passed by roll call vote of 8 - 0.

Member Schneider moved to approve an annual salary of \$130,000 for Steve Sandvoss effective January 1, 2015. Member Coffrin seconded the motion which passed by roll call vote of 7 – 0. Member Byers voted present on the motion.

With there being no further business before the Board, Member Schneider moved to adjourn until 10:30 a.m. on Tuesday, October 21, 2014 in Chicago. Member Byers seconded the motion which passed unanimously. The meeting adjourned at 3:00 p.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert T. Borgsmiller, Executive Director

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

RECEIVED

SEP 24 2014

In The Matter Of
Illinois State Board Of Elections,
Complainant

v.

Citizens for Bitá Buenrostro,
Respondent

Respondent.

No. 14 MQ 093

State Board of Elections

MOTION TO RECONSIDER

Now comes Respondent Citizens for Bitá Buenrostro ("the Committee"), and moves the State Board of Elections ("SBE") to reconsider its Order entered on September 15, 2014 ("the Order"). In support of its Motion, the Committee states as follows:

1. The SBE entered an Order on September 15, 2014, in which it fined the Committee \$400 for its alleged failure to timely file its March 2014 Quarterly report.

2. For the reasons that follow, the Committee respectfully requests the SBE reconsider the Order.

3. As an initial matter, the Committee recognizes that it did not file an appeal of the SBE's initial assessment notice. However, the Committee did not receive an assessment notice of this alleged violation, and first learned of this alleged violation upon receipt of the Order via U.S. Mail on September 19, 2014. (See ¶3 of the Affidavit of Marcus Buenrostro, attached as Exhibit A).

4. The Committee has a meritorious defense to the alleged violation described in the Order.

5. Marcus Buenrostro, the Committee's Treasurer, timely filed the Committee's March 2014 Quarterly report prior to April 15, 2014 using IDIS v2.0, which the Committee had routinely used in the past. Upon filing, Mr. Buenrostro received the "filed successfully" notification from IDIS.

6. Approximately one week later, the Committee was contacted by the State Board of Elections ("SBE") and informed that the Committee had not filed a March 2014 report. Mr. Buenrostro in turn communicated to the SBE that the Committee had, in fact, timely filed their March 2014 Quarterly report and the software had provided the screen message that it was filed successfully. The SBE employee asked questions regarding the Committee's filing and, upon learning of the SBE software version the Committee had, communicated that the SBE had not received some committees' quarterly reports filed with that "old" software, and that the Committee must have been one of those committees whose reports the Board did not receive due to software issues.

6. The SBE employee was extremely helpful and walked Mr. Buenrostro through re-filing the Committee's March 2014 quarterly report with the new software. The SBE employee took the extra trouble to confirm on their end that they had, in fact, received the Committee's report this second time around.

7. It was Mr. Buenrostro's understanding from the phone call that because the Committee had timely filed, and because the SBE was aware that the software was not transmitting all reports properly, that the Committee's report would be considered timely filed and there was nothing more the Committee needed to do.

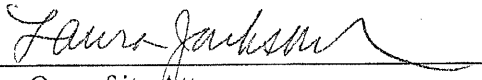
8. Thus, the Order was based on a mistake of fact insofar as the Committee did timely file its report albeit with software that apparently failed. In fact, the Committee made

every effort to timely file the March 2014 Quarterly report, and but for the software issue described in the affidavit of Mr. Buenrostro, the Committee's filing would have unquestionably been timely received by the SBE. The Committee takes its obligations under the Campaign Finance Act very seriously. Any deviation from the requirements of the Act here were completely inadvertent.

WHEREFORE, for these reasons, the Committee respectfully requests that the SBE reconsider its Order, and vacate its fine assessed for the Committee's alleged failure to timely file its March 2014 Quarterly report.

Respectfully submitted,

Citizens for Bitá Buenrostro

By: 
One of its Attorneys

Laura Jacksack
Jacksack Law Offices
325 W. Fullerton Pkwy Ste 203
Chicago, IL 60614
(773) 569-5855
(773) 304-3101 (fax)
(773) 472-0399 (cell)

RECEIVED

SEP 24 2014

State Board of Elections

State of Illinois)
)
County of Cook)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In The Matter Of
Illinois State Board of Elections,
Complainant

v.

Citizens for Bita Buenrostro,
Respondent.

No. 14 MQ 093

AFFIDAVIT OF MARCUS BUENROSTRO

I, Marcus Buenrostro, the Chairman and Treasurer of Citizens for Bita Buenrostro ("the Committee"), am of legal age, under no legal disability, and first being duly sworn, depose and state that the Committee can offer good reasons and defenses to the assessment of a civil penalty in the matter, and that such reasons and defenses are:

1. I have personal knowledge of the matters stated herein.
2. I am the Chairman and Treasurer of Citizens for Bita Buenrostro ("the Committee").
3. The Committee did not file an appeal of the SBE's initial assessment notice because the Committee did not receive an assessment notice of this alleged violation. We first learned of this alleged violation upon receipt of the Final Order via U.S. Mail on September 19, 2014.

Exhibit A

4. Prior to the April 15, 2014 campaign finance quarterly filing deadline, I timely filed the Committee's March 2014 Quarterly report. I received the IDIS notification on my computer screen that the report was filed successfully. We filed using IDIS v2.0 software, which we had used successfully in the past.

5. Approximately one week later, I was contacted by the State Board of Elections ("SBE") and informed that the Committee had not filed a March 2014 report. I communicated that we had, in fact, timely filed the report and the software had provided the screen message that it was filed successfully. The SBE employee asked questions regarding our filing and, upon learning of the SBE software version we had, communicated that the SBE had not received some committees' quarterly reports filed with that "old" software, and that the Committee must have been one of those committees whose reports the Board did not receive due to software issues.

6. The SBE employee was extremely helpful and walked us through re-filing our March 2014 quarterly report with the new software. The SBE employee took the extra trouble to confirm on their end that they had, in fact, received our report this second time around.

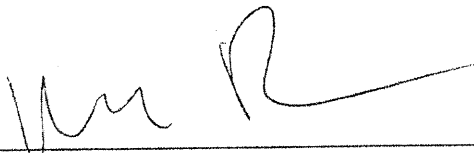
7. It was my understanding from the phone call that because we had timely filed, and because the SBE was aware that the software was not transmitting all reports properly, that our report would be considered timely filed and there was nothing more we needed to do.

8. The Committee takes campaign finance responsibilities seriously, and any of the actions that are alleged to be violations referred to herein were committed inadvertently, and without any intent whatsoever to violate the Campaign Finance Law.

9. By signing my name below I certify, on my oath, under the felony penalties of perjury as provided by section 1-109 of the Illinois Code of Civil Procedure, that I have personal

knowledge of the facts stated above, that the facts set forth in this affidavit are true and correct and that I believe that they are true.

10. Affiant further sayeth not.



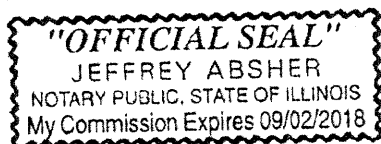
Marcus Buenrostro
Citizens for Bita Buenrostro

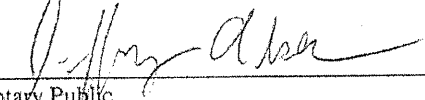
State of Illinois)
) SS
County of Cook)

I, the undersigned, a Notary Public in and for the County and State aforesaid, do hereby certify that Marcus Buenrostro appeared before me this day in person, and signed this affidavit of her free and voluntary act, for the uses and purposes therein set forth.

Given under my hand and official notarial seal this 23 day of September, 2014.

(Seal)





Notary Public

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 14 MQ 093
)
Citizens for Bitu Buenrostro)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Bitu Buenrostro
2712 N Wilton Ave
Chicago, IL 60614-1424

ID# 22801

This matter coming to be heard this 17th day of September, 2014 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

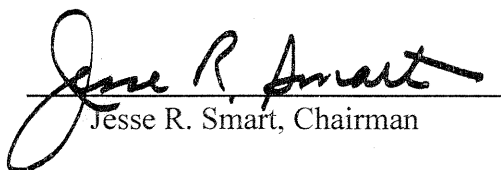
THE BOARD FINDS

1. In case number 14 MQ 093, a \$400.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2014 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$200.00, which was stayed as a first violation, for the delinquent filing of the June 2012 quarterly report (12 JQ 098). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$400.00 is imposed and the stay is lifted on the previously assessed penalty of \$200.00. The total amount of \$600.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is September 17, 2014 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 9/17/2014


Jesse R. Smart, Chairman

Stephenson County Republican Central Committee

• P.O. Box 22, Freeport, IL 61032 • (217) 649-6455 • clarson512@gmail.com

STATE BOARD OF ELECTIONS

14 SEP 24 PM 2:56

September 24, 2014

14M0005

Ms. Sharon Steward
Director, Campaign Disclosure
State Board of Elections
100 West Randolph, Suite 14-100
Chicago, Illinois 60601-3232

Offer of Settlement

Dear Ms. Steward:

Please convey this letter to the State Board of Elections for our meeting on October 21, 2014. Recently, I have received a Final Order of the State Board of Elections. Soon thereafter I filed a Motion to Reconsider.

I have spoken with my executive board about the time required to mount a responsible defense and my corresponding absence from other duties that the same would require. Although we have not been cash flow positive for some time and finances are of concern to us, we have agreed that even if we were successful both at the hearing for the Motion to Reconsider and the Appeal it would be a more efficient allocation of our resources to offer to settle at the earliest available opportunity.

I have been authorized to offer \$350 to resolve this matter. This represents one half of the currently levied fine. If this offer is accepted I will move to withdraw my currently filed Motion to Reconsider. At the currently scheduled hearing I would ask to be allowed to present brief arguments in favor of this resolution.

Sincerely,



Carl Larson
Chairman
Stephenson County Republican Central Committee
P.O. Box 22
Freeport, IL 61032
(217) 6496455

Stephenson County Republican Central Committee
P.O. Box 22
Freeport, IL 61032

STATE BOARD OF ELECTIONS

14 SEP 23 AM 8:17

September 22, 2014

Mr. Steve Sandboss
Counsel,
State Board of Elections
2329 So MacArthur Blvd
Springfield, IL 62704

Dear Mr. Sandboss:

Enclosed you will find my motion to reconsider a final order of the State Board of Elections. As they tend to be, politics have been somewhat contentious in Stephenson County. When I took over as Chairman of the Stephenson County Republican Central Committee there were very few returning Committeemen from the previous committee and a series of tough campaigns and some ideological differences made cooperation difficult.

The prior administration missed two reporting dates within two years and was fined in accordance with the regulations of governing the State Board of Elections. I did not receive notice of the hearing date and so could not appear to plead our case. Of course, I will advise the Committee to respect whatever your decision is, but I hope we can work something out short of the full \$700 fine currently levied. I will contact you tomorrow to make sure you've received these documents in a fashion that is to your satisfaction.

Sincerely,



Carl Larson
Chairman
Stephenson County Republican Central Committee
P.O. Box 22
Freeport, IL 61032
(217) 6496455

STATE OF ILLINOIS)
) SS
 COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
 STATE OF ILLINOIS

In the Matter Of:)
)
 State Board of Elections)
 Complainant(s),)
)
 Vs.)
)
 Stephenson County Republican)
 Central Committee)
 Respondent(s).)

MOTION TO RECONSIDER

NOW COMES Carl H. Larson for the Stephenson County Republican Central Committee I.D. # 187 pursuant to Title 26: Elections Chapter 1: State Board of Elections: Part 125 Practice and Procedure: Section 125.440 Reconsideration and respectfully requests that the Civil Penalty assessed against the Stephenson Country Republican Central Committee be reconsidered and in support thereof states:

- 1) The Stephenson County Republican Central Committee has received a final order dated September 17, 2014 (See Appendix A). This order assessed a penalty of \$700 for delinquent filing of two quarterly reports. One in December of 2012 (13 DQ 003) and one in March of 2014 (14 MQ 005).
- 2) The March violation involved submitting a report one day late.
- 3) The Current Officers of the Stephenson County Republican Central Committee were elected to office April 16, 2014. None of the current Officers sat on the executive board during the time of either prior violation. (See Appendix B1 and B2)
 - a. Neither of the Treasurers responsible for filing the offending quarterly reports are members of the current Stephenson County Republican Central Committee.
 - b. The current Stephenson County Republican Central Committee has as its purpose "To promote conservative values within Stephenson County." D-1 Statement of Organization dated June 3, 2014. The prior D-1 Statement of Organization lists no purpose. D-1 Statement of Organization dated April 26, 2014.

- c. While The Stephenson County Republican Central Committee is and remains a conservative organization generally, during the last election the membership changed by more than 66%.

- d. Section 125.425(4)(B) indicates:

Past violations of Article 9 of the Election Code by the committee. Past violations of any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the committee currently being assessed a civil penalty shall be considered relevant factors when considering the amount of the civil penalty to be imposed.

- e. Additionally, Section 125.425(H) indicates:

Notwithstanding any provision of this Section to the contrary, the Board shall stay the enforcement of any civil penalty in cases of first time violations of a filing deadline and shall stay the enforcement of a civil penalty for the violation of a Board order when the committee or organization has voluntarily entered into a stipulation admitting the violation and agreeing to the civil penalty. The stay shall continue only so long as no subsequent violations of Article 9 of the Election Code or of Board orders occur. Violations of Article 9 of the Election Code or a Board order will cause the civil penalty otherwise stayed to become immediately due and may expose the committee or organization to further liability in accord with this Section.

- 4) The current Stephenson County Republican Central Committee had no notice of the dates germane to the appeal process and was not able to be heard on this matter.
- 5) The current Stephenson County Republican Central Committee has endeavored to file each required document promptly and to respond quickly and efficiently to any and all Board requests.
- 6) Wherefore, given the fact that neither the officers nor the stated purpose of the organization paying the fine are the same as the organization who incurred the fine, that the current officers had no notice of the hearing and the small amount of time the March report was delinquent, it is respectfully requested that the March violation be treated as a first offense. Whereupon the Stephenson County Republican Central Committee will admit to the violation in exchange for the penalty being stayed contingent upon the Committee committing no further violations.

Respectfully submitted,



Carl H. Larson

Chairman,

Stephenson County Republican Central Committee

P.O. Box 22

Freeport, IL 61032

Appendix A

STATE OF ILLINOIS)
) SS
 COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
 STATE OF ILLINOIS

In the Matter Of:)
)
 State Board of Elections)
 Complainant(s),)
)
 Vs.) 14 MQ 005
)
 Stephenson County Republican)
 Central Committee)
 Respondent(s).)

FINAL ORDER

TO: Stephenson County Republican Central Committee ID# 187
 PO Box 22
 Freeport, IL 61032

This matter coming to be heard this 15th day of September, 2014 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

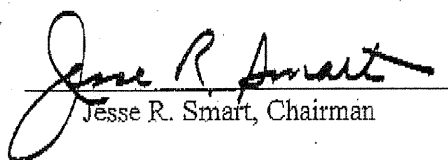
THE BOARD FINDS

1. In case number 14 MQ 005, a \$100.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2014 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$600.00, which was stayed as a first violation, for the delinquent filing of the December 2012 quarterly report (13 DQ 003). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$100.00 is imposed and the stay is lifted on the previously assessed penalty of \$600.00. The total amount of \$700.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is September 17, 2014 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 9/17/2014


 Jesse R. Smart, Chairman

Appendix B-1 page 1 of 2



FORM

D-1

STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

Stephenson County Republican Central Committee
P.O. Box 22
Freeport, IL 61032

POLITICAL COMMITTEE
IDENTIFICATION No.

E-MAIL ADDRESS:

clarson512@gmail.com

☐ CHECK HERE IF ADDRESS CHANGE

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

1.	DATE COMMITTEE CREATED:	2.	AMOUNT OF FUNDS AVAILABLE AS OF CREATION DATE :\$
3.	<input type="checkbox"/> NEW COMMITTEE (MUST BE FILED WITHIN 10 DAYS OF CREATION OR WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS BEFORE AN ELECTION.) <input checked="" type="checkbox"/> AMENDMENT (MUST BE FILED WITHIN 10 DAYS OF <u>ANY</u> CHANGES. <u>ENTER ONLY THOSE CHANGES FROM LAST D-1 ON FILE.</u>) <i>Only change regards officers</i> <input type="checkbox"/> REACTIVATING		
4.	POLITICAL COMMITTEE'S DESIGNATION: <u>ALL COMMITTEES CHOOSE ONLY ONE:</u> <input type="checkbox"/> CANDIDATE POLITICAL COMMITTEE* <small>*For purposes of contribution limits and reporting requirements a Candidate Political Committee supporting a candidate for multiple offices elected at different elections must designate an election cycle by listing the appropriate office. This office is:</small> <hr style="width: 30%; margin-left: 0;"/> <input type="checkbox"/> POLITICAL ACTION COMMITTEE <input checked="" type="checkbox"/> POLITICAL PARTY COMMITTEE <input type="checkbox"/> BALLOT INITIATIVE COMMITTEE <input type="checkbox"/> INDEPENDENT-EXPENDITURE-ONLY PAC** <small>** May not make direct contributions or coordinated expenditures.</small>		
5.	POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE, AND PARTY AFFILIATION. A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES) OR DISTRICT(S): <small>(not applicable if operating statewide or supporting/opposing statewide candidates or ballot initiatives)</small> <u>Stephenson County</u> B. POLITICAL PARTY AFFILIATION: <u>Republican</u> C. NAME AND ADDRESS OF EACH SPONSORING ENTITY:		
6.	PURPOSE OF THE POLITICAL COMMITTEE. <u>To promote conservative values within Stephenson County</u>		
7.	CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)		
NAME AND ADDRESS		SUPPORT	OPPOSE
		<input type="checkbox"/>	<input type="checkbox"/>

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

THIS FORM MAY BE REPRODUCED

PAGE 1 OF 2

Revised 7/9/12

Appendix B-1 page 2 of 2

COMMITTEE NAME: <i>Stephenson County Republican Central Committee</i>	POLITICAL COMMITTEE IDENTIFICATION No.: <i>187</i>
--	---

8. REQUIRED COMMITTEE OFFICERS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
CHAIRMAN	<i>Carl Larson</i>	<i>1765 Gladewood Dr. clorson512@gmail.com Freeport, IL 61032 (815) 649-6455</i>
TREASURER	<i>Gail Clore</i>	<i>1703 W. Harrison St. gclore@cornerstonecu.org Freeport, IL 61032 (815) 235-5855</i>

9. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
<i>Treasurer</i>	<i>Gail Clore</i>	<i>1703 W. Harrison St. gclore@cornerstonecu.org Freeport, IL 61032 (815) 235-5855</i>

10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS.
(IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

NAME	MAILING ADDRESS AND PHONE NUMBER

11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:

- ☐ RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.
☒ TRANSFER TO ANOTHER POLITICAL COMMITTEE: *Illinois Republican Central Committee*
☐ TRANSFER TO A CHARITABLE ORGANIZATION:

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY

I DECLARE THAT THIS BALLOT INITIATIVE COMMITTEE IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY. ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION. THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION. AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON

DATE

VERIFICATION: INDEPENDENT EXPENDITURE COMMITTEES ONLY

I DECLARE THAT (i) THIS INDEPENDENT EXPENDITURE COMMITTEE IS FORMED FOR THE EXCLUSIVE PURPOSE OF MAKING INDEPENDENT EXPENDITURES, (ii) ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THE STATEMENT OF ORGANIZATION, (iii) THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THE INDEPENDENT EXPENDITURE COMMITTEE DOES NOT MAKE CONTRIBUTIONS TO ANY CANDIDATE POLITICAL COMMITTEE, POLITICAL PARTY COMMITTEE, OR POLITICAL ACTION COMMITTEE, AND (iv) FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THE COMMITTEE IN VIOLATION OF THIS ARTICLE.

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON

DATE

VERIFICATION: ALL POLITICAL COMMITTEES

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE

DATE

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS
2329 S MACARTHUR BLVD
SPRINGFIELD, IL 62704-4503

fax: 217-557-5630
e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST., STE 14-100
CHICAGO, IL 60601-3232

fax: 312-814-6485
e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)

9/22/2014

Appendix B-2 page 2 of 2
Committee Detail Previous Officers

Andrew Smith	Chairperson	810 Wild Oats Tr Freeport, IL 61032	6/16/2014
Ethan Lartz	Treasurer	13412 Lonesome Rd Pearl City, IL 61062	6/16/2014

[First Previous Next Last]

[Return to Committee Search](#)

PUBWEB2

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 14 MQ 005
)
Stephenson County Republican)
Central Committee)
 Respondent(s).)

FINAL ORDER

TO: Stephenson County Republican Central Committee ID# 187
PO Box 22
Freeport, IL 61032

This matter coming to be heard this 17th day of September, 2014 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

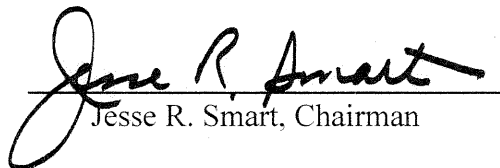
THE BOARD FINDS

1. In case number 14 MQ 005, a \$100.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2014 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$600.00, which was stayed as a first violation, for the delinquent filing of the December 2012 quarterly report (13 DQ 003). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$100.00 is imposed and the stay is lifted on the previously assessed penalty of \$600.00. The total amount of \$700.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is September 17, 2014 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 9/17/2014


Jesse R. Smart, Chairman

11MQ028

69DS041

Settlement offer Citizens to Elect Norman H. Bolden.txt
From: Norman Bolden [normanhbolden@aol.com].
Sent: Sunday, September 14, 2014 1:07 PM
To: Nauman, Andy
Subject: Settlement offer

STATE BOARD OF ELECTIONS

14 SEP 15 AM 8:37

Mr Nauman, I am writing regarding file number 17046 I am making a settlement offer of \$1006,25 . please let me know as soon as possible if the offer is accepted. Thank you.

Norman H. Bolden

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
Vs.) 11 MQ 028
)
Citizens to Elect Norman Bolden)
Alderman of the 4th Ward)
Respondent(s).)

FINAL ORDER

TO: Citizens to Elect Norman Bolden Alderman of the 4th Ward ID# 17046
4301 S. Ellis Ave.
Chicago, IL 60653

This matter coming to be heard this 18th day of October, 2011 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 11 MQ 028, a \$3825.00 civil penalty was assessed against the Respondent for the delinquent filing of its March 2011 Quarterly Report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$300.00 for the delinquent filing of the June 2008 Semi-annual report (08 JS 041), appeal of which was denied. The committee was also assessed a penalty of \$600.00 for the delinquent filing of the December 2008 Semi-annual report (09 DS 041) and a penalty of \$75.00 for the delinquent filing of the December 2009 Semi-annual Report (10 DS 032). These penalties were not appealed. A balance of \$200.00 remains unpaid and is past due.

IT IS ORDERED:

1. A civil penalty in the amount of \$3825.00 is imposed and is now due and owing within 30 days of the effective date of this Order. The previously assessed penalty of \$200.00 remains unpaid and is past due; and
2. The effective date of this Order is October 19, 2011, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/19/2011


William M. McGuffage, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
Illinois State Board of Elections)
 Complainant(s),)
Vs.)
)
Citizens to Elect Norman Bolden)
Alderman of the 4th Ward)
 Respondent(s).)

ORDER

TO: Citizens to Elect Norman Bolden Alderman of the 4th Ward L11237
 4301 S. Ellis Ave.
 Chicago, IL 60653

This matter coming to be heard this 19th day of July, 2010 as a Proposed Schedule of Payment of a Civil Penalty imposed under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections hearing the recommendation of the General Counsel and now being fully advised in the premises,


THE BOARD FINDS:

1. A Proposed Schedule of Payment of Outstanding Civil Penalties was submitted by the Respondent requesting that the outstanding civil penalty in the amount of \$675.00 be paid in monthly installments of \$135.00, to be paid no later than the 15th of each month; and the final installment to be paid on November 15, 2010.

IT IS ORDERED:

1. That the concept of a Payment Plan is accepted by the Board; and
2. The outstanding penalty of \$675.00 be paid in five (5) equal installments of \$135.00 ending on November 15, 2010, and
3. The effective date of this order is July 20, 2010.

DATED: 7/20/2010


Bryan A. Schneider, Chairman

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MQ 020

Henderson County Democratic Central Committee
Respondent

ID# 1214

REPORT OF HEARING OFFICER

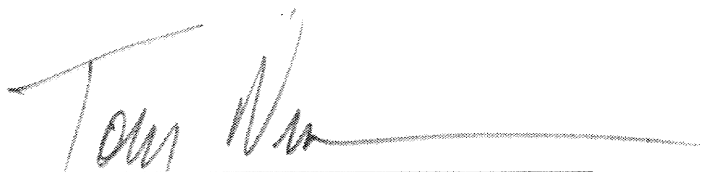
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2014 Quarterly Report

The Report was received by the Board on April 16, 2014, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee was previously assessed a \$50 civil penalty (appealed, denied, stayed) for failure to file a Schedule A-1 in the 3rd quarter of 2012. The total assessment is \$100.

Randy Gibb, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Gibb states that he mailed the Report on April 12, and he includes a copy of the post office receipt for the mailing. The Report filed on April 16 was a faxed copy.

Section 100.125 of the Board's Rules and Regulations states in part that a Report received late is considered a timely filing if it is postmarked at least 72 hours prior to the filing deadline. Additionally, in cases where the envelope never arrives, a filing may be considered timely if the Committee swears by affidavit that the Report was mailed in timely fashion. Based on the evidence provided, the Report was in fact mailed at least 72 hours before the deadline, and should therefore be considered timely. I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$50 civil penalty. (As of 6/30/14, this Committee reported a funds available balance of \$4,893.66.)



Tom Newman – Hearing Officer
September 30, 2014

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

~~STATE BOARD OF ELECTIONS~~

14 AUG 19 AM 9:04

~~14 MAY 12 AM 8:12~~

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant

Henderson County

Vs.

Case No. 14M0020

Democratic Central Committee
Respondent(s).

APPEAL AFFIDAVIT

I, Randy Gibb, the Treasurer of the
(Name) (Chairman/Treasurer)

Henderson County Democratic Central Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I submit a copy of form D-2 signed and dated 4-11-14, I also submit a copy of a receipt for postage in the amount of 98 cents for first class postage for the envelope size, signed and date stamped by postmaster Donna Schaley as evidence that I did mail in my quarterly report.

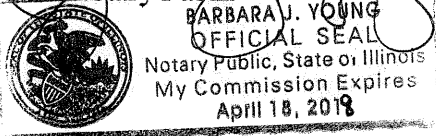
Signed and Sworn to by:

Randy Gibb
before me this 7th Day of

May 2014

Barbara J. Young

Notary Public



Randy Gibb

(Signature of Chairman/Treasurer)

Post Office Receipt for Money

Post Office <i>Loma</i>	Station	Unit ID	No. 22
Amount (Write out in words) <i>98/100</i>	Amount \$ <i>98</i>	AIC	
For <i>Postage</i>	Date <i>APR 12 2014</i>		
Received From (Show address only when receipt is mailed)			

Postmaster (By) *Alonza Schaley* Thank you

PS Form 3544, January 1995 Original



D-2

(CHECK APPROPRIATE BOXES) (PLEASE TYPE OR PRINT IN BLOCK LETTERS)

- ☐ Quarterly Report:
(check one) ☒ 1st, ☐ 2nd, ☐ 3rd, ☐ 4th
- ☐ Final Report
- ☐ Amendment of the Report Indicated Above

Full name and complete mailing address of Political Committee:

Henderson County Democratic Central Committee
Randy Gibb
PO Box 842
Lomax, IL 61454-0842

POLITICAL COMMITTEE

Committee ID: 1214
13

☐ CHECK IF ADDRESS CHANGE

IDENTIFICATION No.

e-mail address:

REPORTING PERIOD

1-1-14 3-31-14
FROM THRU

CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD: \$ 5640.56

Repeat this amount in SECTION D line (A).

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS
2329 S MACARTHUR BLVD
SPRINGFIELD, IL 62704-4503

OR

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST. STE 14-100
CHICAGO, IL 60601-3232

SECTION A - RECEIPTS

1. Individual Contributions

a. Itemized (from Schedule A): \$ (1a)
b. Not-Itemized: \$ (1b)

2. Transfers In

a. Itemized (from Schedule A): \$ (2a)
b. Not-Itemized: \$ (2b)

3. Loans Received

a. Itemized (from Schedule A): \$ (3a)
b. Not-Itemized: \$ (3b)

4. Other Receipts

a. Itemized (from Schedule A): \$ (4a)
b. Not-Itemized: \$ (4b)

TOTAL RECEIPTS (1a thru 4b) \$ 0

5. In-Kind Contributions

a. Itemized (from Schedule I): \$ (5a)
b. Not-Itemized: \$ (5b)

TOTAL IN-KIND (5a+5b) \$ 0

Name & address of person submitting this report if other than the
committee's chairman or treasurer:

SECTION B - EXPENDITURES

6. Transfers Out

a. Itemized (from Schedule B): \$ (6a)
b. Not-Itemized: \$ (6b)

7. Loans made

a. Itemized (from Schedule B): \$ (7a)
b. Not-Itemized: \$ (7b)

8. Expenditures

a. Itemized (from Schedule B): \$ (8a)
b. Not-Itemized: \$ 42.90 (8b)

9. Independent Expenditures

a. Itemized (from Schedule B-9): \$ (9a)
b. Not-Itemized: \$ (9b)

TOTAL EXPENDITURES (6a thru 9b) \$ 42.90

SECTION C - DEBTS AND OBLIGATIONS

(Include previously reported unpaid debts)

10. a. Itemized (from Schedule C): \$ (10a)
b. Not-Itemized: \$ (10b)

TOTAL DEBTS & OBLIGATIONS: \$ 0

SECTION D - CASH BALANCE

Cash available at the beginning of the

the reporting period: \$ 5640.56 (A)

Total Receipts from Section A: \$ 0 (B)

Total Cash (A) plus (B): \$ 5640.56 (C)

Total Expenditures from Section B: \$ 42.90 (D)

Funds available at the close of the

reporting period (C) minus (D): \$ (E)

INVESTMENTS TOTAL: \$ 5597.66 (F)

VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS
BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE
ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO

00000.

SIGNATURE OF COMMITTEE'S TREASURER OR CANDIDATE ONLY

THIS FORM MAY BE REPRODUCED

DATE

REVISED 1/1/12

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

July 22, 2014

Henderson County Democratic Central Comm ID# 1214

Randy Gibb
PO Box 842
Lomax, IL 61454-0842

7012 3460 0001 3857 6777

Dear Henderson County Democratic Central Comm:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/16/2014, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2012	A1	50.00
TOTAL AMOUNT NOW DUE		\$100.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MQ 119

New 10th Ward - Richard L Martinez Jr
Cmte ID: 24246
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the March 2014 Quarterly Report

The Report was received on April 28th, 2014, 9 days late, resulting in an assessment of \$675. The Committee was previously assessed \$25 (not appealed, paid) for delinquently filing the March 2012 Quarterly Report and \$50 (not appealed, paid) for delinquently filing the September 2013 Quarterly Report.

Richard Martinez, Jr., the Candidate, Chairman and Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Martinez stated he attempted to electronically file the Committee's Report on the deadline date of April 15th, 2014, but was unable to do so because of an error message. He said he called the Board's Chicago office for assistance and was told to file an appeal should he be unable to upload the Report in a timely manner.

I cannot speak to Mr. Martinez' representation of his discussion with Board staff in the Chicago office. However, Section 100.150 (5) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." This is the first time the Committee has raised an electronic filing defense. Accordingly, and in keeping with past Board practice, I recommend the appeal be granted. The Committee filed a Final report with a \$0.00 balance on April 28th, 2014.



John Levin – Hearing Officer
September 9th, 2014

State of Illinois)
)
County of : _____)

CHICAGO

2014 AUG 22 PM 2:41

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Respondent(s).)

Case No. 14MQ119

APPEAL AFFIDAVIT

I, Richard L. Martinez, Jr., the Chairman of the
(Name) (Chairman/Treasurer)

New 10th Ward.

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I Attempted to file electronically my quarterly report on April 15, 2014. I
kept receiving an "Error" Message. I called the Board of Elections
downtown office that day. I was told by one of the staffers that
that would not be "a problem" just file an appeal when the paperwork
comes from the Board. I had to personally go down to the Board
office and file my quarterly in person. Thereafter
I was able to close my account which had
very little activity.

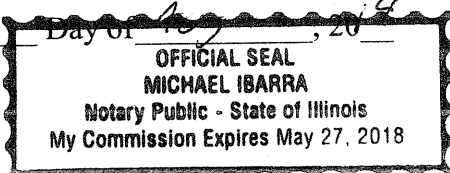
[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

Richard Martinez

before me this 22 Day of Aug, 2014

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

July 22, 2014

ID# 24246

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

New 10th Ward - Richard L Martinez Jr
Richard L Martinez Jr
10950 S Ave C
Chicago, IL 60617-6855

7012 3460 0001 3858 1429

Dear New 10th Ward - Richard L Martinez Jr:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/28/2014, 9 day(s) late. As such, this committee has been assessed a fine of \$675.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MQ 126

Friends of Giuseppe Zerillo
Cmte ID: 24496
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the March 2014 Quarterly Report

The Report was received April 17th, 2014, two days late, resulting in an assessment of \$50. The Committee has not been previously assessed.

Giuseppe Zerillo, the Candidate, as well as Chairman and Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

Mr. Zerillo mistakenly offered a defense related to the June 2014 Quarterly Report, which was not delinquent. Contacted by the Board and offered a chance to speak to the late March Quarterly Report, he submitted a copy of a log indicating he had attempted to fax the Report several times on April 13th, 2014. Mr. Zerillo stated he was unaware the Report had not been received by the Board prior to the April 15th deadline.

I recommend the appeal be granted. The Committee clearly made an effort to file the Report in a timely manner, and the Report itself includes a signature date of April 11th, 2014, well in advance of the deadline. Mr. Zerillo has been advised not to fax Reports in the future and to make sure they are postmarked at least 72 hours in advance of deadlines. He stated he will comply with that advice. As of June 30th, 2014, the Committee reported cash on hand of \$998.96.



John Levin – Hearing Officer
October 6th, 2014

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

14 AUG 11 PM 1:46

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

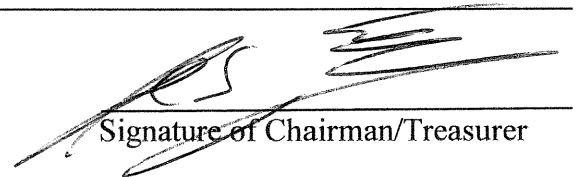
IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 14MQ126
)
FRIENDS FOR GIUSEPPE ZERILLO)
Respondent(s).)

APPEAL AFFIDAVIT

I, Giuseppe Zerillo, the Treasurer of the
(Name) (Chairman/Treasurer)
FRIENDS FOR GIUSEPPE ZERILLO
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

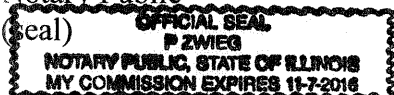
A COPY WAS FAXED TO YOUR OFFICE AT
3:07 PM ON JULY 2, 2014. ATTACHED IS THE
TRANSMISSION FROM MY FAX MACHINE


Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 06 Day of August, 2014

Notary Public



2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

July 22, 2014

Friends of Giuseppe Zerillo
Giuseppe Zerillo
4811 N. Olcott Ave
Harwood Heights, IL 60706

ID# 24496

7012 3460 0001 3858 0026

Dear Friends of Giuseppe Zerillo:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contributions and Expenditures
Report Period: January 1, 2014 through March 31, 2014
Filing Period: April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 4/17/2014, 2 days late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

14 MQ 182

Citizens to Elect Beth Goncher

Cmte ID: 24553

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the March 2014 Quarterly Report

The Report was electronically filed on May 19th, 2014, 24 days late, resulting in an assessment of \$1,200. The Committee was previously assessed \$550 (not appealed, stayed) for delinquently filing a D-1 Statement of Organization on September 18th, 2012.

Beth Goncher, the Candidate supported by the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Goncher noted the Committee originally filed the Report in a timely manner on April 15th, 2014. However, that version of the Report was filed on paper. The Committee was notified that, pursuant to provisions in Section 100.150 Rules & Regulations accompanying the Illinois Campaign Finance Act, the Report had to be filed electronically. The Committee missed the customary 30-day deadline for electronically filing the Report. Ms. Goncher outlined in that affidavit issues with the IDIS electronic filing program that she said prevented her from uploading the Report on the new deadline date established, Friday, May 16th, 2014, and stated she was unable to contact Board staff until the following Monday. With staff assistance, Ms. Goncher was able to successfully file the Report electronically on May 19th, 2014.

I cannot speak to the timeline presented in the affidavit. However, Section 100.150 (5) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." This is the first time the Committee has raised an electronic filing defense. Accordingly, and in keeping with past Board practice, I recommend the appeal be granted. The Committee's balance, as of June 30th, 2014, was reported as \$0.00.



John Levin – Hearing Officer
September 30th, 2014

State of Illinois)
County of KANE)

STATE BOARD OF ELECTIONS

14 AUG 11 PM 1:46

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Beth Goncher, Citizens to Elect Beth)
Respondent(s). Goncher)

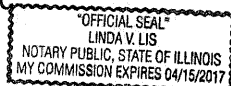
Case No. 14MQ182

APPEAL AFFIDAVIT

I, Beth Goncher, the candidate of the
(Name) (Chairman/Treasurer)
CITIZENS TO ELECT BETH GONCHER
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Signed and Sworn to by:
Beth Goncher
before me this 6th Day of
August, 2014
Linda V. Lis
Notary Public



Beth C. Goncher
(Signature of Chairman/Treasurer)

August 5, 2014

State Board of Elections

Attention: Campaign Disclosure

2329 S. MacArthur Blvd.

Springfield, IL 62704-4503

To Whom it May Concern,

I respectfully request that the State Board of Elections consider my appeal to the late filing on behalf of my campaign.

I originally filed my 1st Quarter, 2014 report on paper and on time. I did not realize that I needed to file this report electronically. This lack of knowledge on my part is completely my fault. However, it was not deliberate. Once it was brought to my attention, I did make every attempt to refile an amended report using the SBOE's electronic filing method.

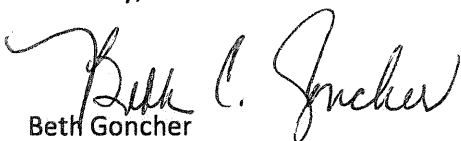
Unfortunately, I ran into many problems with the SBOE's electronic filing method. I found the program very difficult to use. And, while I am no tech genius, I do know my way around most programs or can find my way around most programs. This was probably one of the most difficult programs I've ever used. After a number of attempts and many hours spent, I finally got all of my data entered and saved. All of this was done and ready to be submitted by the deadline.

However, I ran into more problems. There must be some kind of glitch because once ready to submit the report electronically the system then said that I had to refile every single report since the creation of my campaign committee in 2012. I tried repeatedly to resolve on my own, but was unable to do so. By this time, it was well "after hours" and there was no staff available to assist me.

I did leave a voicemail message that evening and followed up with an email message on the off chance someone stayed after 5PM to provide some kind of technical support. Unfortunately, I did not receive a call back until that Monday morning when my report was filed. By that time it was considered a late filing. Once SBOE's staff walked me through what to do from my end, I was able to submit my report. However, this is not something I would have been able to do on my own.

It was never my intent to not disclose the contributions and expenditures of my campaign committee. I truly want to make things right with the SBOE and I'm hopeful that you will accept my appeal of this fine.

Sincerely,



Beth C. Goncher

Citizens to Elect Beth Goncher

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S. MacArthur Blvd, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

July 22, 2014
ID# 24553

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Citizens to Elect Beth Goncher
125 S. Western Ave
Aurora, IL 60506

7012 3460 0001 3857 9044

Dear Citizens to Elect Beth Goncher:

As you have been previously notified, this committee failed to electronically re-file the following document as required:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provisions of Section 100.150 Rules & Regulations, it has been assessed a civil penalty for each day this report remained electronically unfiled. According to Board records, this report was electronically re-filed by your committee on 5/19/2014, 2014, 24 day(s) late. As such, this committee has been assessed a fine of \$1200.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2012	D-1 Statement of Organization	\$550
TOTAL AMOUNT NOW DUE		\$1750

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have questions regarding the appeal process, please call Laura Marbold at 217/782-1543.

Sincerely;

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 MQ 003

Clark County Democratic Central Committee ID# 99
Respondent

REPORT OF HEARING OFFICER

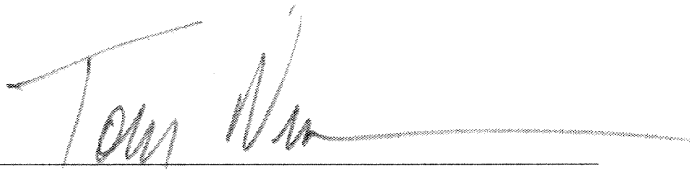
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2014 Quarterly Report

The Report was received by the Board on April 16, 2014, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee was previously assessed a \$300 civil penalty (not appealed, stayed) for delinquent filing of the December 2012 Quarterly Report. The total assessment is \$350.

Carrie A. Downey, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Downey states that she filed the Report because the Committee Treasurer at the time only had a dial-up internet connection at her home. Since bank statements and other documents were mailed to the Treasurer's home, Ms. Downey says she had no reminder about when report filings were due. Ms. Downey adds that she has resumed the Treasurer's position and has upgraded to IDIS 3.0, so she believes she will be able to file future reports on time.

The issues that apparently caused the late filing were solely internal Committee matters and did not relieve the Committee of its obligations to file disclosure reports in timely fashion. I therefore recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous penalty and the total assessment of \$350 will be due and owing. (As of 6/30/14, this Committee reported a funds available balance of \$643.50.)



Tom Newman – Hearing Officer
September 30, 2014

State of Illinois)
County of: Clark)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **14 AUG 20 PM 1:46**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Respondent(s).

Case No. 14MQ003

APPEAL AFFIDAVIT

I, Carrie A. Downey, the Treasurer of the
(Name) (Chairman/Treasurer)

Clark County Democratic Central Committee

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The former Treasurer (Bea Cornwell) lives in Melrose Township where she only had a dial up internet connection. I was filing the reports on her behalf. All the bank statements were mailed to her address, so I didn't have anything to remind me when they were due. I have now resumed the Treasurer's position again. I updated the Committee to the new IDIS 3.0 which I believe will help tremendously in filing the reports on time. I love that I can access it from my Ipad or tablet. We are a very small committee and often have no activity except for at Election time.

Carrie A. Downey
Signature of Chairman/Treasurer

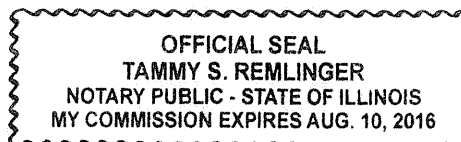
Signed and Sworn to by:

Carrie A. Downey

before me this 18th Day of August, 20 14.

Notary Public
(seal)

Tammy S. Remlinger



2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

July 22, 2014

ID# 99

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Clark County Democratic Central Cmte
Carrie A Downey
290 S. York Street
Martinsville, IL 62442

7012 3460 0001 3857 6685

Dear Clark County Democratic Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/16/2014, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2012	Quarterly	\$300.00
TOTAL AMOUNT NOW DUE		\$350.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MQ 007

Ford County Democrats Central Cmte
Cmte ID: 318
Respondent


REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the March 2014 Report

The Report was received on April 16th, 2014, 1 day late, resulting in a fine of \$75. The Committee was previously assessed \$150 (appeal denied, stayed) for delinquently filing the December 2013 Quarterly Report.

Ed Homeier, the Chairman of the Committee, filed an Appeal Affidavit in this matter. Mr. Homeier and Bev Malchow, Assistant Treasurer of the Committee, also testified at a hearing in this matter conducted at 9:00a.m, Thursday, October 1st, 2014, at the Board's office, 2329 S. MacArthur Blvd., Springfield, IL.

Mr. Homeier testified the Committee's treasurer, Patricia Mogged, indicated that she had mailed the Report on April 12th, 2014. However, he acknowledged the Report had not been postmarked until April 14th, 2014, one day before the deadline. Mr. Homeier said Ms. Mogged is elderly and will have more limited responsibility for Report filing in the future. They have taken steps to have Ms. Malchow file the Committee's future Reports electronically. Mr. Homeier also noted the Committee's limited funds in appealing for imposition of a lesser fine amount.

I recommend the appeal be denied for lack of an adequate defense, although I appreciate Mr. Homeier's candor in acknowledging the chain of events that led to the late filing and the fact the Committee has taken steps to prevent future delinquent filings. If the Board accepts this recommendation, the stay on the assessment for the delinquent December 2013 Quarterly Report would be lifted, and a total of \$225 would be due and owing. As of September 30th, 2014, the Committee reported a cash balance of \$575.89.



John Levin – Hearing Officer
October 2nd, 2014

State of Illinois)
County of: Ford)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

14 AUG 13 PM 1:57

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Ford County Democ. Con. Con.)
Respondent(s).)

Case No. 14M0007

APPEAL AFFIDAVIT

I, Edwin Hameier, the Chairman of the
(Name) (Chairman/Treasurer)
Ford Democratic Central Committee
(Name of the Committee)

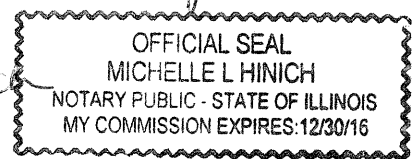
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Outlined in the attached

Edwin Hameier
Signature of Chairman/Treasurer

Signed and Sworn to by:
Edwin Hameier
before me this 11th Day of August, 2014

Notary Public
(seal) Michelle L. Hinich
8-11-14



August 8, 2014

State Board of Elections
PO Box 4187
2329 S. MacArthur Blvd.
Springfield, IL 62708-4187

The Committee submits the following in support of the appeal that we are filing on behalf of the Ford County Democratic Central Committee of Illinois by the instrument attached.

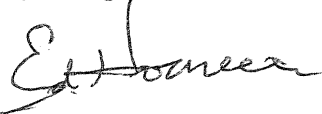
The Quarterly Reports due April 15 was filed by Pat Mogged, Treasurer of the Committee, who mailed the report on April 14, 2014. She had believed that she had fulfilled the requirements of her duties when she mailed the document and was startled to learn that she had made an error.

I note that Pat had successfully sent in the quarterly reports for this committee for several years prior to this late filing. She encountered health issues in January that apparently impaired her ability to complete her duties in a timely fashion. When I was installed as the new Chairman of the Ford County Central Committee May 15, 2014, I soon became aware of Pat's health issues, and one of my first actions was to appoint another Precinct Committeeman, Beverly Malchow, as Assistant Treasurer to assure that the required forms are filed with the State Board on time. Pat has also realized the error of her past behavior, and has personally pledged not to repeat her past mistakes, but we plan to file future forms electronically. Pat did not have that capability and Bev does.

The most pressing reason I am pleading for relief in this instance is that the civil fine being imposed will significantly exhaust the small amount of money (~\$450) that we have gathered; our income this year was acquired by selling raffle tickets for two dollars each. The financial impact of the fine you suggest would severely impact the Committee's work in the November election. We also protest the size of the civil penalty imposed. The subject report was received ONE (1) day after the due date, and it does not seem appropriate to us to fine our small volunteer committee \$75 for the error.

Please schedule a hearing in Springfield on this matter and notify me of the date selected. I assume that I will have an opportunity to arrange the hearing at a time that is convenient to the Committee, because members of our Committee wish to appear at the hearing.

Speaking for the Committee,



Ed Homeier,
Chairman

Michelle L. Hinich
8-11-14



2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

July 22, 2014

ID# 318

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Ford County Democrats Central Cmte
Ed Homeier
109 Sun St
Cabery, IL 60919

7012 3460 0001 3857 6678

Dear Ford County Democrats Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/16/2014, 1 day(s) late. As such, this committee has been assessed a fine of \$75.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2013	Quarterly	\$150.00
TOTAL AMOUNT NOW DUE		\$225.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MQ 008

Rock Island County Republican Central Committee
Respondent

ID# 349

REPORT OF HEARING OFFICER

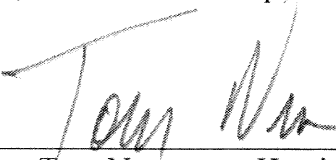
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2014 Quarterly Report

The Report was received by the Board on April 18, 2014, 3 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee had previously been assessed a \$250 civil penalty (not appealed, paid) for failure to file a Schedule A-1 in the 4th quarter of 2012, and a \$300 civil penalty (appealed, denied, paid) for delinquent filing of 6 Schedule A-1s in the 3rd quarter of 2011. The total assessment is \$150.

William S. Bloom, the Chairman of the Committee, failed to file a Waiver of Appearance or Request for Hearing, but submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Bloom states that he took over as Chairman of the Committee on April 16, and was at that time handed a file from the outgoing chairman with items including the deadline that had already passed for filing the March Quarterly Report. He says changes have been made to avoid a repeat of the late filing.

Regardless of the timing of the officer change, it is the Committee's responsibility to be aware of and to meet the report filing requirements and deadlines. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$150 civil penalty will be due and owing. (As of 6/30/14, this Committee reported a funds available balance of \$8,448.92.)



Tom Newman – Hearing Officer
September 24, 2014

State of Illinois)

STATE BOARD OF ELECTIONS

County of: Rock Island)

14 AUG 25 AM 10:49

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 14MQ008

#349

Rock Island County Republican
Central Committee Respondent(s).)

APPEAL AFFIDAVIT

I, William S Bloom, the Chairman of the
(Name) (Chairman/Treasurer)

Rock Island County Republican Central Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I was elected Chairman on 16 April 2014. That day I was
handed a file from the outgoing chairman with the payme
notice and several other items in it. Included
in the file was a filing deadline of April 15th
for this Filing Period. We have made changes
to avoid a repeat. Please reconsider
this fine. William S Bloom
Signature of Chairman/Treasurer

Signed and Sworn to by: WILLIAM S. BLOOM

Rg Choudhry
before me this 20th Day of August, 2014

Notary Public
(seal)

OFFICIAL SEAL
RAY CHOUDHRY
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES 10-14-2016

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

July 22, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Rock Island County Republican Central Comm ID# 349
1721 5th Ave, Ste 100
Moline, IL 61265

7012 3460 0001 3857 6715

Dear Rock Island County Republican Central Comm:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014


Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/18/2014, 3 day(s) late. As such, this committee has been assessed a fine of \$150.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AM 007

Washington County Republican Central Committee
Respondent

ID# 388

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$1,000 contribution on 2/20/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500.

Dan Heggemeier, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Heggemeier states that the contribution was received from three people representing the Bruce Rauner campaign who attended the Committee's Lincoln Day dinner. He says the three presented a check for \$1,000 and asked if they would have to pay for their dinners or if the check would be enough. Mr. Heggemeier says he subtracted the cost of the three dinners (\$105) from the \$1,000 contribution and assumed that because the remaining amount was less than \$1,000 it did not need to be reported on a Schedule A-1. He believes he erred by reporting a \$1,000 contribution on the Committee's Quarterly Report, instead of \$895, which he describes as the actual cost of the contribution to the Committee.

While the net contribution to the Committee may have been less than \$1,000, the actual contribution was in the form of a single check from a single source, and was therefore subject to the Schedule A-1 reporting requirements. I recommend the appeal be denied for this reason. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$50. As a first violation, the penalty is stayed. (As of 6/23/14, this Committee reported a funds available balance of \$3,985.79.)



Tom Newman – Hearing Officer
September 30, 2014

State of Illinois)
)
County of: WASHINGTON)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **14 AUG 11 PM 1:46**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
WASHINGTON COUNTY REPUBLICAN CENTRAL COMMITTEE)
Respondent(s).)

Case No. 14AM007

APPEAL AFFIDAVIT

I, DAN L HEGGEMIER, the TREASURER of the
(Name) (Chairman/Treasurer)

WASHINGTON COUNTY REPUBLICAN CENTRAL COMMITTEE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Dan L. Heggemier
Signature of Chairman/Treasurer

Signed and Sworn to by:

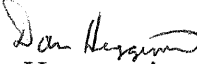
Jane Hasheider

before me this 14th Day of Aug, 2014

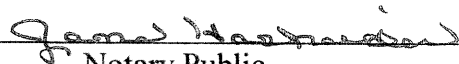
Notary Public
(seal)



The Washington County Lincoln Day Dinner was held on February 23, 2014. Three people representing the Bruce Rauner Campaign attended that function and presented the Washington County Central Committee with a check for \$1,000.00. As treasurer of the Washington County Republican Central Committee, I was taking tickets at that dinner. They asked if they had to pay for their dinners or would the check be enough to pay for their dinner tickets. I told them I would subtract the cost of their dinner tickets from the \$1,000.00. Tickets were \$35.00 per person or a total of \$105.00 for 3 people. Since the remaining amount of \$895.00 was not at the \$1,000.00 limit to report in 5 days, I assumed just putting it on the Schedule A report would be correct. I think my mistake was putting \$1,000.00 on Schedule A instead of \$895.00, the actual cost of the contribution to the Washington County Republican Central Committee. I apologize for any inconvenience caused by my mistake. Hope this explanation adequately explains the omission and relieves the Washington County Central Committee from any penalties imposed. Thank you for your consideration.


Dan Heggemeier
Washington County Central Committee Treasurer

Subscribed and sworn to before me this 10th day of August, 2014.



Notary Public



2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE BOARD OF ELECTIONS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

July 22, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Washington County Republican Central Committee ID# 388
PO Box 145
Nashville, IL 62263

7012 3460 0001 3857 9273

Dear Washington County Republican Central Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Bruce Rauner	2/20/2014	\$1000	*	24	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 DQ 014

Citizens for Linda S Frank
Cmte ID: 4156
Respondent

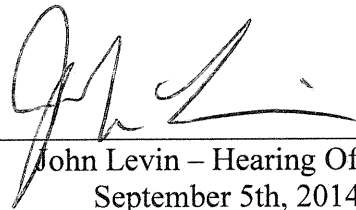
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2013 Quarterly Report

The Report was received on March 20th, 2014, 43 days late, resulting in an assessment of \$2150. The Committee was fined for six prior delinquent Reports and has resolved all outstanding assessments through settlement with the Board and/or payment in full.

Linda Frank, the Candidate supported by the Committee, waived her appearance and filed an appeal affidavit in this case.

On the affidavit, Ms. Frank stated her former campaign treasurer was ill at the time of the filing deadline for the Report in question (and subsequently died). Ms. Frank stated the treasurer mistakenly told her the Report had been filed.

While I sympathize with Ms. Frank, I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, a total of \$2150 would be due and owing. Ms. Frank has filed, along with her appeal, correspondence asking for a settlement offer of one half of the assessment (\$1075) if the Board chooses not to waive the fine. The Committee filed a Final Report on March 20th, 2014, with an ending balance of \$0.00. Under Section 125.425 of the Rules accompanying the Act, the assessment would be abated if the Committee does not reactivate within two years of the final board order in this matter.



John Levin – Hearing Officer
September 5th, 2014

State of Illinois)

County of: Champaign)

STATE BOARD OF ELECTIONS

14 SEP -3 PM 2:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 14DQ014

Linda S. Frank)

Respondent(s).)

APPEAL AFFIDAVIT

I, Linda S. Frank, the Chairman of the
(Name) (Chairman/Treasurer)

Citizens for Linda Frank

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached.

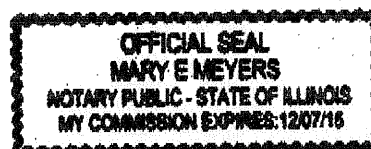
Linda S. Frank
Signature of Chairman/Treasurer

Signed and Sworn to by:

Mary E. Meyers

before me this 28th Day of August, 2014

Notary Public
(seal)



Linda S. Frank 1113 Mayfair Road Champaign, Illinois 61821

STATE BOARD OF ELECTIONS

14 SEP -3 PM 2:00

August 28, 2014

Sharon Steward Director
Campaign Disclosure Division
2329 S. MacArthur Blvd.
Springfield, IL 62704

Dear Ms. Steward and the State Board of Elections:

Thank you for the opportunity to appeal the delinquent filings of required campaign disclosure reports. I appreciate that you have allowed me to become a Drainage District Commissioner and continue my service to the community while this appeal is active.

I'm writing to have my case # 14DQo14 reviewed and am requesting a waiver or settlement of \$1,075 half of the civil penalty of \$2,150.00 for delinquent filed reports. Additionally, I would like to explain the set of circumstances that have brought me to this point.

My background is 9 years as a County Board member and 21 years as the Circuit Clerk of Champaign County retiring in 2012.

The treasurer of my campaign committee was ill at the time of the filing periods that were missed. I asked him if he filed the financial documents and he said he had. Unfortunately, he probably was thinking of another filing period. At that time I was unaware of his illness and how it affected mental capacity. When I received the complaint regarding the absent filings he told me he had written an appeal. I had no reason to think the appeal was not done. Since that time my treasurer has died.

Thank you for your time and consideration.

Sincerely,



Linda S. Frank

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS



2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

April 25, 2014

ID# 4156

Citizens for Linda S. Frank
Fred Wilkinson
718 S Broadway
Urbana, IL 61801

7012 3460 0001 3857 2939

Dear Citizens for Linda S. Frank:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2013 through December 31, 2013
Filing Period:	January 1, 2014 through January 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 3/20/2014, 43 day(s) late. As such, this committee has been assessed a fine of \$2150.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by May 26, 2014 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AM 016

Local Union 134 International Brotherhood
of Electrical Workers PAC
Respondent

ID# 4246

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received a \$1,000 contribution on 1/29/14, a \$2,500 contribution on 2/7/14, and five \$1,000 contributions on 3/31/14 but failed to report any of these on a Schedule A-1 resulting in a civil penalty of \$4,250. Additionally, the Committee had previously been assessed a \$400 civil penalty (not appealed, paid) for failing to file six Schedule A-1s in the 1st quarter of 2013 and a \$200 civil penalty (not appealed, paid) for delinquently filing the December 2012 Quarterly report. The total assessment is \$4,250.

Terry Allen, the Treasurer of the Committee, filed a Request for Hearing, and submitted an Appeal Affidavit.

Mr. Allen states the bookkeeper responsible for the Committee's Disclosure filings was abruptly terminated in February, 2014. The Committee reassigned the responsibility to a new bookkeeper but did not have enough time for training of the numerous reporting requirements for the Committee. The Committee has taken appropriate actions to comply with future filing requirements.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional and since this is the second set of A-1 violations for the Committee, I recommend the penalty be reduced to 50% of the original assessment or \$2,125. If these recommendations are accepted by the Board, the \$2,125 civil penalty will be due and owing.

(As of 6/30/14, this Committee reported a funds available balance of \$18,881.78.)



Kim Patrick – Hearing Officer
October 2, 2014

State of Illinois)

County of: Cook)

CHICAGO

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

2014 AUG 21 PM 1:25

STATE BOARD OF ELECTIONS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 14A M016

IBEW Local Union 134 PAC
Respondent(s).)

APPEAL AFFIDAVIT

I, Terry Allen, the Chairman of the
(Name) (Chairman/Treasurer)

IBEW Local Union 134 Political Action Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached form.



[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

Kathleen Rohrich

before me this 19 Day of August, 2014

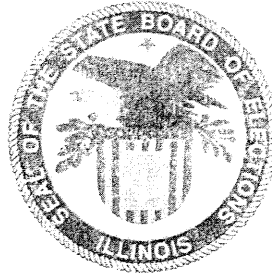
Notary Public
(seal)

The PAC Fund (Committee) assigns and relies on the affiliated Local Union bookkeeper to timely file the State Board of Elections Forms D-2 and A-1 timely and accurately. During February 2014, the Local's bookkeeper was terminated abruptly for cause. The Local reassigned responsibilities within the organization and did not have a transition period for training the new bookkeeper regarding the numerous reporting requirements of the Local and the Committee. The new bookkeeper identified the Form A-1 requirement while preparing the Committee's first quarter 2014 Form D-2. As a result, a number of contributions were received in excess of \$1,000 which required Form A-1 filings, as noted on your July 22, 2014 correspondence. We respectfully request abatement of the \$2,125.00 penalty for reasonable cause. The Committee has taken appropriate actions to comply with future Form A-1 filing requirements.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

July 22, 2014

Local Union 134 International Brotherhood of Electrical Workers PAC ID# 4246

Timothy Fitzgibbons, Terry Allen, Samuel J. Evans, Jr

600 W Washington Blvd

Chicago, IL 60661-2404

7012 3460 0001 3857 8627

Dear Local Union 134 International Brotherhood of Electrical Workers PAC:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
John Geoghegan	3/31/2014	\$1000	*	6	\$500 X
Friends of Michael J. Madigan	2/7/2014	\$2500	*	39	\$1250 X
Andre Johnson	3/31/2014	\$1000	*	6	\$500 X
Mario Miller	3/31/2014	\$1000	*	6	\$500 X
Thomas Nauman	3/31/2014	\$1000	*	6	\$500 X
Sprinkler Fitters Local 281	3/31/2014	\$1000	*	6	\$500 X
Schneider for Congress	1/29/2014	\$1000	*	46	\$500 X

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$4250.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2125.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward". The signature is fluid and cursive, with the first name "Sharon" written in a larger, more prominent script than the last name "Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MQ 025

Friends of Frank Beach for Alderman Committee ID# 5583
Respondent

REPORT OF HEARING OFFICER

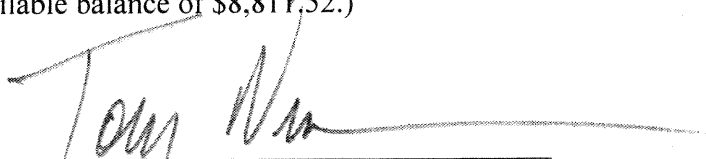
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2014 Quarterly Report

The Report was received by the Board on April 24, 2014, 7 days late, resulting in a civil penalty assessment of \$700. Additionally, the Committee was previously assessed a \$225 civil penalty (not appealed, stayed) for delinquent filing of the March 2012 Quarterly Report. The total assessment is \$925.

Franklin C. Beach, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Beach states that his Committee's contributions exceeded \$10,000 in 2012, requiring him to file electronically. He says he did not realize that once the threshold was passed the Committee was required to complete all future filings electronically. Mr. Beach adds that he signed up for email notification of required report filings in 2011, but after searching his mail files and written correspondence for the past six months he was unable to find any reminder notifications for the quarter in question. Mr. Beach states that there have been technical difficulties with both his home and work email addresses that persist to this day. He understands it is his responsibility to file reports timely, but asks that his filing history over the past 33 years be taken into consideration.

This Committee is required to file all reports electronically and was notified of the requirement in 2009 after the paper filing of a Semi-Annual Report. In regards to the notification of required filings, the Committee did indeed sign up for email notification and has been sent such notice on numerous occasions. The email reminder for the March 2014 Quarterly Report for example was sent on 2/24/14. If such an email is returned as undeliverable, a committee is sent a paper reminder instead, but this Committee's 2/24 email reminder did not produce an error message so no additional mailing was sent. Ultimately, Mr. Beach is correct that it is the Committee's responsibility to ensure reports are timely filed. Therefore, I recommend the appeal be denied. If this recommendation is accepted by the Board, the stay would be lifted from the previous penalty and the total assessment of \$925 will be due and owing. (As of 9/30/14, this Committee reported a funds available balance of \$8,811.52.)



Tom Newman – Hearing Officer
October 3, 2014

State of Illinois)

County of: Winnebago)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

14 AUG 20 PM 2: 07

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. _____

Respondent(s).

Friends of Frank Beach for Alderman Committee.

APPEAL AFFIDAVIT

I, Franklin C. Beach, the Chairman of the
(Name) (Chairman/Treasurer)

Friends of Frank Beach for Alderman
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached Letters

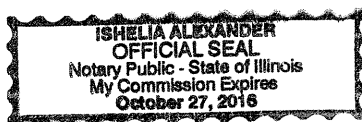
[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

Isheia Alexander

before me this 15th Day of August, 20 14

Notary Public
(seal)





STATE BOARD OF ELECTIONS

CITY OF ROCKFORD, ILLINOIS

CITY COUNCIL

14 AUG -6 PM 1:17

FRANKLIN C. BEACH
TENTH WARD ALDERMAN

2916 GREENWOOD AVENUE, 61107

TELEPHONE: 399-3737

August 6, 2014

State Board of Elections
State of Illinois
2329 S. MacArthur Boulevard
Springfield Illinois 62704
Attention: Sharon Steward, Director
Campaign Disclosure Division

Subject: Section 125. 425 Civil Penalty Assessment- Friends of Frank Brach for Alderman
Comm. ID#5583
2916 Greenwood Avenue
Rockford, IL 61107

Dear Director Steward,

Please find attached to this letter a copy of your correspondence dated 4/22 2014 and 7/22 2014. I am also including the waiver of appearance provided. I sincerely appreciate you allowing opportunity for an explanation.

I am in my 34th year as an alderman for the City of Rockford, Illinois. History will demonstrate my concern for following filing requirements. I am deeply sorry for the two incidences that now requires this communication.

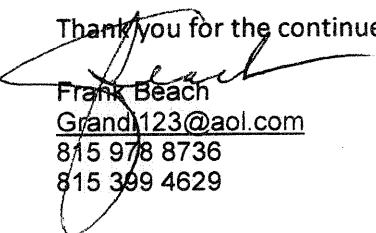
In 2012, my contributions were in excess of \$10,000, and I was informed that I needed to file my forms electronically, which I did. What I did not realize is that once electronic filing began, it is required for all future filings.

In regards to the current assessment(4/22/2014), my filing did not occur in a timely manner. Although I understand that you have the power to assess and apply a penalty for this mistake, I also know that you have the ability to grant grace, based on 33 years of prior proper filing and service.

I am very concerned that this action will tarnish my record of service to my community. I have dedicated many years of my life to helping improve our way of living in Rockford, Illinois. My goal has always been transparency and honesty in my service. It grieves me to think that I missed this important deadline, and for this, I am sincerely sorry.

I welcome further discussion at your discretion. I am asking for any consideration and grace that you are able to offer.

Thank you for the continued help and assistance from your staff.


Frank Beach
Grand123@aol.com
815 978 8736
815 399 4629



STATE BOARD OF ELECTIONS

14 AUG 20 PM 2:07

CITY OF ROCKFORD, ILLINOIS

CITY COUNCIL

FRANKLIN C. BEACH
TENTH WARD ALDERMAN

2916 GREENWOOD AVENUE, 61107

TELEPHONE: 399-3737

August 6, 2014

State Board of Elections
State of Illinois
2329 S. MacArthur Boulevard
Springfield Illinois 62704
Attention: Sharon Steward, Director
Campaign Disclosure Division

Subject: Section 125. 425 Civil Penalty Assessment- Friends of Frank Brach for Alderman
Comm. ID#5583
2916 Greenwood Avenue
Rockford, IL 61107

Dear Director Steward,

Please find attached to this letter a copy of your correspondence dated 4/22 2014 and 7/22 2014. I am also including the waiver of appearance provided. I sincerely appreciate you allowing opportunity for an explanation.

I am in my 34th year as an alderman for the City of Rockford, Illinois. History will demonstrate my concern for following filing requirements. I am deeply sorry for the two incidences that now requires this communication.

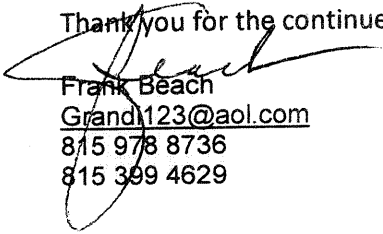
In 2012, my contributions were in excess of \$10,000, and I was informed that I needed to file my forms electronically, which I did. What I did not realize is that once electronic filing began, it is required for all future filings.

In regards to the current assessment(4/22/2014), my filing did not occur in a timely manner. Although I understand that you have the power to assess and apply a penalty for this mistake, I also know that you have the ability to grant grace, based on 33 years of prior proper filing and service.

I am very concerned that this action will tarnish my record of service to my community. I have dedicated many years of my life to helping improve our way of living in Rockford, Illinois. My goal has always been transparency and honesty in my service. It grieves me to think that I missed this important deadline, and for this, I am sincerely sorry.

I welcome further discussion at your discretion. I am asking for any consideration and grace that you are able to offer.

Thank you for the continued help and assistance from your staff.


Frank Beach
Grand123@aol.com
815 978 8736
815 399 4629



STATE BOARD OF ELECTIONS

14 AUG 20 PM 2:07

CITY OF ROCKFORD, ILLINOIS

CITY COUNCIL

FRANKLIN C. BEACH
TENTH WARD ALDERMAN

2916 GREENWOOD AVENUE, 61107
TELEPHONE: 399-3737

August 14, 2014

State Board of Elections
State of Illinois
2329 S. MacArthur Boulevard
Springfield, Illinois 62704

ATTENTION: Sharon Steward, Director
Campaign Disclosure Division

Subject: Friends of Frank Beach for Alderman Committee
ID#: 5583

Dear Director Steward,

Thank you for taking my call this past week to better help me understand the appeal process. I've included a signed and notarized "Appeal Affidavit".

Thank you for allowing me to make additional comments.

An issue not presented in my initial letter is the technical difficulty with both my personal email address, grandi123@aol.com and the city address, Franklin.beach@rockfordil.gov. This issue persists to this day and the City I/T department is trying to unpack the problem from their end.

I signed up for email notification 10/14/2011.

While it is my responsibility to file reports timely, I do appreciate reminder notifications. I have searched my mail files back six months and also written correspondence and cannot find any reminder notifications for the quarter in question. I keep copies of correspondence from your department and I clearly believe this issue dropped through the cracks. Again, I ask that you consider my filing history for the past 33 years.

I appreciate the responsibility of the members of your board, as you look at each appeal with fairness and reasonableness. At the same, I beg your mercy, grace, understanding and forgiveness to abate the penalties assessed against our committee.

Thank you and warmest personal regards,

Frank Beach
Grandi123@aol.com
815 978/8736

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

July 22, 2014

ID# 5583

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Friends of Frank Beach for Alderman Comm
Franklin C Beach, Sonia Beach
2916 Greenwood Ave
Rockford, IL 61107-4657

7012 3460 0001 3858 0972

Dear Friends of Frank Beach for Alderman Comm:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/24/2014, 7 day(s) late. As such, this committee has been assessed a fine of \$700.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2012	Quarterly	\$225.00
TOTAL AMOUNT NOW DUE		\$925.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MQ 030

Citizens for Lauren Beth Gash
Respondent

ID# 8421

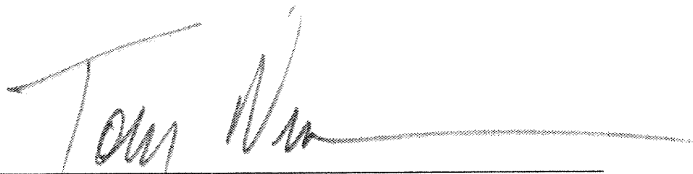
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2014 Quarterly Report

The Report was received by the Board on April 28, 2014, 9 days late, resulting in a civil penalty assessment of \$450. Additionally, the Committee was previously assessed a \$250 civil penalty (not appealed, stayed) for delinquent filing of the June 2013 Quarterly Report. The total assessment is \$700.

Barry Shapiro, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Shapiro states that the Committee had been effectively dormant since 2007, with no activity to report for several years. He says the late filing was the result of this non-activity and even forgetting the Committee still existed. Mr. Shapiro adds the Committee filed a Final Report in June.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous penalty and the total assessment of \$700 will be due and owing. However, since the Committee has filed a Final Report, I recommend that should the Committee remain dissolved for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated. (As of 6/23/14, this Committee reported a funds available balance of \$0.)

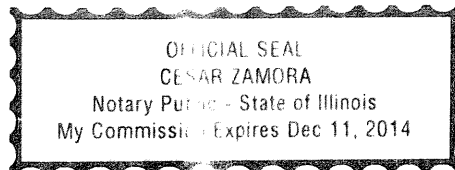


Tom Newman – Hearing Officer
September 30, 2014

CHICAGO

State of Illinois)

County of Cook)



2014 AUG 13 PM 2:04

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Barry Shapiro)

Respondent(s).)

Case No. 14MQ030

APPEAL AFFIDAVIT

I, Barry Shapiro, the Treasurer of the
(Name) (Chairman/Treasurer)

Citizens for Lauren Beth Gash

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Citizens for Lauren Beth Gash Committee requests that the \$700 fine be waived against the committee. The committee has been virtually dormant since 2007. In 2009, the committee had exactly \$142.79 in its account and that amount did not changed by one penny until we closed the committee this past quarter. The committee had absolutely no activity. The committee disposed of its remaining assets in June 2014 (the \$142.79) and issued a final report in order to close the committee, since it was clear there was no purpose to keeping the committee active.

The 9 day late filing was not deliberate, but rather a human error due to the non-activity of the committee and our "senior moment" of even forgetting that the committee still existed. As soon as it was realized that the filing was late, the filing was immediately transmitted.

We ask the Board to waive any fine under the circumstances that the committee has had no activity for many years and is now closed. We thank the Board for its consideration and would be happy to answer any further questions.

Signed and Sworn to by:

Barry Shapiro

before me this 13 Day of

AUGUST, 2014

Cesar Zamora

Notary Public

Barry Shapiro
(Signature of Chairman/Treasurer)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

July 22, 2014

ID# 8421

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Citizens for Lauren Beth Gash
PO Box 523
Deerfield, IL 60015

7012 3460 0001 3858 0989

Dear Citizens for Lauren Beth Gash:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/28/2014, 9 day(s) late. As such, this committee has been assessed a fine of \$450.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2013	Quarterly	\$250.00
TOTAL AMOUNT NOW DUE		\$700.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MQ 051

Citizens to Elect Michael "Mike" Stuttley
Respondent

ID# 16287

REPORT OF HEARING OFFICER

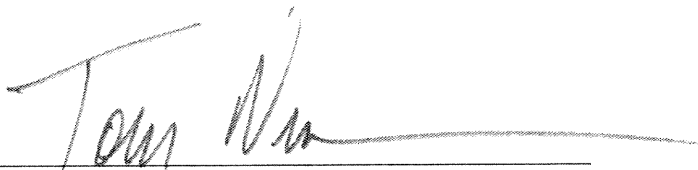
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2014 Quarterly Report

The Report was received by the Board on 4/16/14, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee had previously been assessed a \$400 civil penalty (appealed, denied, unpaid) for delinquent filing of 7 Schedule A-1 contributions in the 3rd quarter of 2012, and a \$50 civil penalty (not appealed, unpaid) for delinquent filing of the June 2011 Quarterly Report. The total assessment is \$500.

Michael Stuttley, the Candidate of the Committee, failed to file either a Waiver of Appearance or Request for Hearing, but submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Stuttley states that in March 2014 he had just undergone a total knee replacement, and the medication he was using caused him on numerous occasions to forget tasks and assignments. He says once he realized he had forgotten to file the Report, he did not immediately. Mr. Stuttley also notes the Committee had no activity during the reporting period.

Mr. Stuttley's experience is unfortunate, but does not rise to the level of excusing the Committee from its obligation to file reports timely. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$500 will be due and owing. (As of 6/30/14, this Committee reported a funds available balance of \$2,616.60.)



Tom Newman – Hearing Officer
October 1, 2014

State of Illinois)
)
County of: C O O K)

STATE BOARD OF ELECTIONS

14 AUG 19 AM 8:11

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 14MQ051
) 0008019
)
Citizens To Elect Michael "Mike" Stuttley)
Respondent(s).)

APPEAL AFFIDAVIT

I, MICHAEL W. STUTTLEY, the Chairman of the
(Name) (Chairman/Treasurer)

Citizens To Elect Michael "Mike" Stuttley
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I, Michael W. Stuttley, had just completed total knee replacement in March, 2014
and the medication that I was under was such that on many occasions, I simply forgot
to perform numerous task and assignments. When I realized that I had failed to file my D-2
I filed it immediately. My campaign committee had no activity during this period of time
though.

Signature of Chairman/Treasurer

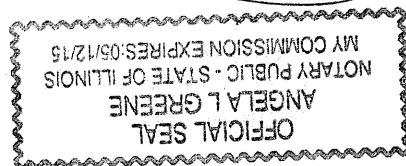
Signed and Sworn to by:

Michael W. Stuttley

before me this 5th Day of August, 20 14

Notary Public
(seal)

Angela L. Greene



2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

July 22, 2014

ID# 16287

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Citizens to Elect Michael "Mike" Stuttley
PO Box 1415
Homewood, IL 60430

7012 3460 0001 3858 1054

Dear Citizens to Elect Michael "Mike" Stuttley:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/16/2014, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2011	Quarterly	\$50.00
September 2012	A 1	\$500.00
September 2012	A 1	\$750.00
September 2012	A 1	\$500.00
September 2012	A 1	\$750.00
September 2012	A 1	\$500.00
September 2012	A 1	\$500.00
September 2012	A 1	\$500.00
September 2012	A 1	\$500.00
TOTAL AMOUNT NOW DUE		\$4100.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward". The signature is fluid and cursive, with the first name "Sharon" written in a larger, more prominent script than the last name "Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 MQ 068

Vernon Township Republican Central Committee
Respondent

ID# 19724

REPORT OF HEARING OFFICER

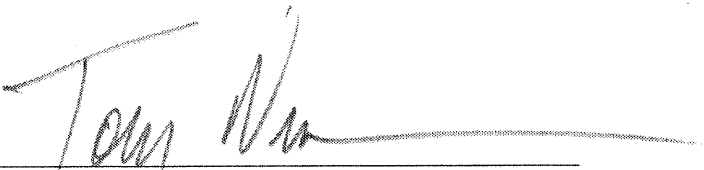
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2014 Quarterly Report

The Report was received by the Board on 4/25/14, 8 days late, resulting in a civil penalty assessment of \$600. Additionally, the Committee had previously been assessed a \$150 civil penalty (appealed, denied, unpaid) for delinquent filing of the December 2012 Quarterly Report, and a \$100 civil penalty (not appealed, unpaid) for delinquent filing of the September 2011 Quarterly Report. The total assessment is \$850.

Eric Burgess, the Chairman of the Committee, failed to file either a Waiver of Appearance or Request for Hearing, but submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Burgess states that all Committee members are non-professional volunteers, and the limited amount of time to file reports makes it difficult for them. He also offers defenses for the two previously assessed penalties.

The appeal periods for the two previous fines are long past and cannot be considered. In regards to the late March 2014 Quarterly Report, I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$850 will be due and owing. (As of 6/30/14, this Committee reported a funds available balance of \$2,342.27.)



Tom Newman – Hearing Officer
September 30, 2014

State of Illinois)
County of : LaSalle)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

14 AUG 21 PM 1:28

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Vernon Twp Republican Central
Respondent(s). Committee)

Case No. 13DQ068
19724

APPEAL AFFIDAVIT

I, Eric Burgess, the Chairman of the
(Name) (Chairman/Treasurer)
Vernon Twp Republican Central Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- After discussing the above referenced matter with my
Treasurer, the response is as follows:
- (1) Sept 2011 - State could NOT take the report due to ^{their} ~~the~~
computer problems resulting in late filing.
 - (2) Dec 2012 - Treasurer was sick - Small amount of time allowed by the
state resulted in late file by Treasurer.
 - (3) All on committee are non-professional
volunteers. Bounded some filing time & make it difficult to complete.
 - (4) Committee Requests that all fines be waived.

Signed and Sworn to by:

Eric Burgess

before me this 15 Day of August, 2014

Notary Public
(seal)



2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

July 22, 2014

ID# 19724

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Vernon Twp Republican Central Committee
H Shaw Clifton
207 Annapolis Dr
Vernon Hills, IL 60061

7012 3460 0001 3858 1443

Dear Vernon Twp Republican Central Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/25/2014, 8 day(s) late. As such, this committee has been assessed a fine of \$600.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2011	Quarterly	\$100.00
December 2012	Quarterly	\$150.00
TOTAL AMOUNT NOW DUE		\$850.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MQ 083

Friends of Cesar A Santoy

ID# 21482

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the March 2014 Quarterly Report

The Quarterly Report was received by the Board on 4/16/14, 1 day late, resulting in a civil penalty assessment of \$100. Additionally, the Committee had previously been assessed a civil penalty of \$50 (not appealed, paid) for delinquently filing a Schedule A-1 for the 4th Quarter of 2012 and a civil penalty of \$50(not appealed, paid) for delinquently filing the December 2013 Quarterly report. The total assessment is \$100.

Cesar Santoy, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Santoy states the December 2012 report was filed late because the reporting system was new and the username was not yet set up. He adds the report was filed close to the deadline and it was after business hours so there was no assistance available.

Mr. Santoy referenced the incorrect report on the affidavit, because the assessment for the December 2012 Quarterly report was already paid. Additionally, the Committee has been filing electronically since the filing period for the 2008 Semi Annual report so the Committee had a username & password for the March 2014 Quarterly report filing. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$100 civil penalty will be due and owing.

(As of 6/30/14, this Committee reported a funds available balance of \$5,537.83.)



Kim Patrick – Hearing Officer
September 30, 2014

State of Illinois)
County of: COOK)

CHICAGO

2014 AUG 20 PM 12:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
Friends for Cesar A. Santon)
Respondent(s).)

Case No. 14MQ083

APPEAL AFFIDAVIT

I, Cesar A. Santon, the Chairman of the
(Name) (Chairman/Treasurer)
Friends for Cesar A. Santon
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

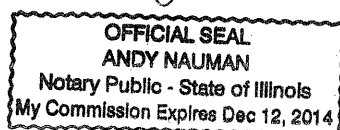
- December 2012 report was filed late because:
 - o Online reporting system was new and my username was not yet set up in new system
 - o Report was to be filed close to deadline and after business hours so no assistance was available

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

Cesar Santon Andy Nauman
before me this 20 Day of August, 2014

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

July 22, 2014

ID# 21482

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Friends for Cesar A Santoy
2339 Elmwood Ave
Berwyn, IL 60402

7012 3460 0001 3858 1337

Dear Friends for Cesar A Santoy:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/16/2014, 1 day(s) late. As such, this committee has been assessed a fine of \$100.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
December 2012	A 1	\$500.00
December 2013	Quarterly	\$50.00
TOTAL AMOUNT NOW DUE		\$650.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AM 036

Friends of Edwin Reyes ID# 22303
Respondent

REPORT OF HEARING OFFICER

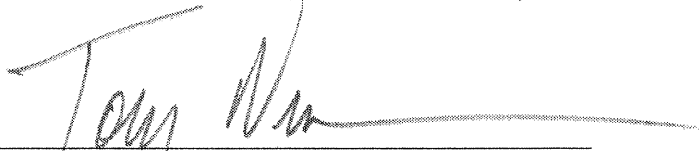
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$1,500 contribution on 2/17/14 and reported it on a Schedule A-1 received by the Board on 2/24/14, 3 days late, resulting in a civil penalty assessment of \$750. The Committee also received a \$12,000 contribution on 3/13/14 and four \$2,000 contributions on 3/19/14 and reported all five contributions on a Schedule A-1 received by the Board on 4/15/14, 21 and 14 days late respectively, resulting in a civil penalty assessment of \$10,000. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquent filing of a Schedule A-1 in the fourth quarter of 2012. The total assessment is \$10,800.

Edwin Reyes, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Reyes states that his mother died suddenly on March 10 in Puerto Rico. He says he normally verifies the Committee's filings, but he flew to Puerto Rico on March 11 and did not return until March 17 and was unaware that the contributions had been received and deposited.

While Mr. Reyes has my sympathy for the loss of his mother, the Committee still had a responsibility to meet the reporting requirements for the contributions. Additionally, the Puerto Rico trip only covered the time 1 of the 6 contributions was received – no explanation is given for the other late filings. Therefore, I recommend the appeal be denied. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the second set of A-1 violations for this Committee, I recommend the penalty be reduced to 50% of the original assessment, or \$5,375. If these recommendations are accepted by the Board, the stay would be lifted from the previous \$50 assessment and the total penalty of \$5,425 will be due and owing. (As of 6/30/14, this Committee reported a funds available balance of \$1,977.60.)



Tom Newman – Hearing Officer
September 24, 2014

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

14 AUG 25 AM 10:49

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS OF EDWIN REYES)
Respondent(s).)

Case No. 14AM036

22303

APPEAL AFFIDAVIT

I, EDWIN REYES, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
FRIENDS OF EDWIN REYES
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

MOTHER OF CHAIRMAN (EDWIN REYES) DIED SUDDENLY
ON MARCH 10, 2014 IN PUERTO RICO, CHAIRMAN WHO
NORMALLY VERIFIES FILINGS FLEW TO PUERTO RICO ON
MARCH 11, 2014 AND RETURNED MARCH 17, 2014. HE
WAS UNAWARE CHECKS HAD BEEN DEPOSITED/RECEIVED.

Edwin Reyes
Signature of Chairman/Treasurer

Signed and Sworn to by:

EDWIN REYES

before me this 21st Day of Aug, 2014

Notary Public

(seal)



2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

July 22, 2014

Friends of Edwin Reyes
Kerry Lee O'Brien
PO Box 47796
Chicago, IL 60647-0796

ID# 22303

7012 3460 0001 3857 8672

Dear Friends of Edwin Reyes:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
2418 N. Sawyer LLC	2/17/2014	\$1500	2/24/2014	3	\$750 X
Arie Steven Crown	3/19/2014	\$2000	4/15/2014	14	\$1000 X
Lester Crown	3/19/2014	\$2000	4/15/2014	14	\$1000 X
Rebecca Crown	3/19/2014	\$2000	4/15/2014	14	\$1000 X
Sara Starr	3/19/2014	\$2000	4/15/2014	14	\$1000 X
Citizens for Jesus Garcia	3/13/2014	\$12000	4/15/2014	21	\$6000 X

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$10750.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$5375.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

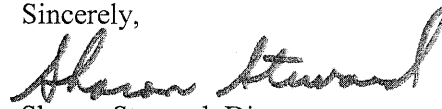
If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
December 2012	A-1	\$50
<i>TOTAL AMOUNT NOW DUE</i>		\$5425

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

14 MQ 092

Rice for Recorder

ID# 22748

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2014 Quarterly Report

The Report was received by the Board on April 18, 2014, 3 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee was previously assessed a \$50 civil penalty (not appealed, stayed) for delinquent filing of the March 2013 Quarterly Report. The total assessment is \$200

Matthew Rice, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Rice states that the Report was filed 8 hours late, on a Committee that had no activity. He adds that it is and has been his intent to close the Committee.

As noted above, the Report was filed 3 days late, not 8 hours. Regardless of the amount of activity to report, the Committee has an ongoing obligation to file reports in a timely fashion, until such time as it closes and files a Final Report – which can be done at any time. I recommend the appeal be denied. If this recommendation is accepted by the Board, the stay would be lifted from the previous penalty and the total assessment of \$200 will be due and owing. (As of 6/30/14, this Committee reported a funds available balance of \$0.)



Tom Newman – Hearing Officer
September 30, 2014

State of Illinois)
County of: Madison)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS, **AUG 20 PM 1:46**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. (Matthew Rice))
Rice for Recorder)
Respondent(s).)

Case No. 14MQ092

APPEAL AFFIDAVIT

I, Matthew Rice, the Chairman of the
(Name) (Chairman/Treasurer)
Rice for Recorder
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The reason for protest of the assessed penalty
is as follows:

The report was 8 hours late on a committee
that has had no activity. It is and has been
my intent to close said committee.

Matthew Rice
Signature of Chairman/Treasurer

Signed and sworn to by:

Patty Lehr
before me this 18 Day of August, 2014

Notary Public
(seal)



2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

July 22, 2014

ID# 22748

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Rice for Recorder
Matthew Rice
472 Tipton Ave
Wood River, IL 62095-1722

7012 3460 0001 3858 1276

Dear Rice for Recorder:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/18/2014, 3 day(s) late. As such, this committee has been assessed a fine of \$150.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2013	Quarterly	\$50.00
TOTAL AMOUNT NOW DUE		\$200.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MQ 097

Neighbors United for Marc Kaplan
Respondent

ID# 23188

REPORT OF HEARING OFFICER

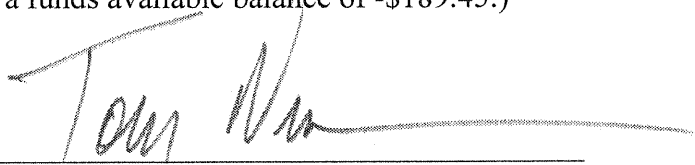
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2014 Quarterly Report

The Report was received by the Board on April 18, 2014, 3 days late, resulting in a civil penalty assessment of \$225. Additionally, the Committee was previously assessed a \$50 civil penalty (not appealed, unpaid) for delinquent filing of the September 2013 Quarterly Report, and a \$25 civil penalty (not appealed, paid) for delinquent filing of the June 2013 Quarterly Report. The total assessment is \$275.

Marc Kaplan, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Kaplan states that his Committee is a small all-volunteer organization. He says the Committee Treasurer has been sick over the past year, and the Committee inadvertently missed the filing deadline because of a miscommunication about who would file the Report.

It is the Committee's responsibility to ensure that all filing deadlines are met, regardless of what internal communication issues may be present. I recommend the appeal be denied. If this recommendation is accepted by the Board, the \$275 civil penalty will be due and owing. (As of 6/30/14, this Committee reported a funds available balance of -\$189.45.)



Tom Newman – Hearing Officer
September 24, 2014

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
14 AUG 21 PM 1:28

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Neighbors United for Marc Kaplan)
Respondent(s).)

Case No. 1440097

APPEAL AFFIDAVIT

I, MARC KAPLAN, the Chairman of the
(Name) (Chairman/Treasurer)
Neighbors United for Marc Kaplan
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

We are a very small, grassroots, all volunteer committee, that has continued on from the last municipal election in Chicago. Our treasurer has been sick over the last year, so other volunteers are keeping up with reports. We inadvertently failed to file the report on time because there was miscommunication among the volunteers as to who was going to file it. We respectfully request that the fine be waived or in the alternative reduced.

Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]
before me this 19th Day of August, 2014

Notary Public
(seal)



2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

July 22, 2014

ID# 23188

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Neighbors United for Marc Kaplan
4441 N Malden St, Apt 15
Chicago, IL 60640

7012 3460 0001 3858 1177

Dear Neighbors United for Marc Kaplan:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/18/2014, 3 day(s) late. As such, this committee has been assessed a fine of \$225.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
September 2013	Quarterly	\$225.00
<i>TOTAL AMOUNT NOW DUE</i>		<i>\$275.00</i>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MQ 101

Gregg Moore for IL Governor
Respondent

ID# 23277

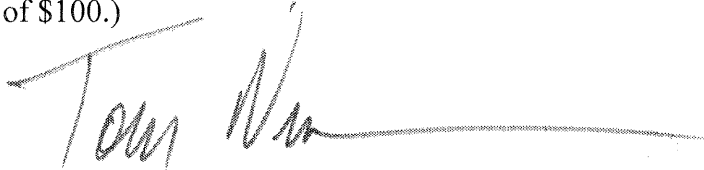
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2014 Quarterly Report

The Report was received by the Board on May 5, 2014, 14 days late, resulting in a civil penalty assessment of \$350.

Henrietta Moore, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Moore states her belief that the Committee filed its financial statements on time and adds that the Committee never conducted a fundraiser or accepted contributions.

The envelope containing the Committee's March Quarterly Report was postmarked 5/2/14 and was clearly received late. Although the Committee may not have conducted fundraisers, it did report receipt of more than \$7,000 in loans on its two reports. I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (As of 6/30/14, this Committee reported a funds available balance of \$100.)



Tom Newman – Hearing Officer
September 24, 2014

State of Illinois)
County of : Cook)

STATE BOARD OF ELECTIONS
14 AUG 11 PM 1:47

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Gregg Moore for Illinois Governor)
Respondent(s).)

Case No. 14MQ101

HENRIETTA MOORE APPEAL AFFIDAVIT TREASURER

I, _____, the _____ of the
(Name) (Chairman/Treasurer)
GREGG MOORE FOR ILLINOIS GOVERNOR
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. But of what purpose is this assessment of a civil penalty for a committee that **never** did a fund raiser for campaign money or for any other matter related to nominating Gregg Moore for Illinois Governor or even putting him on the ballot to run? 2. A matter which is still before the Electoral Commissioners proving that Moore indeed had 1% of the total votes cast in the 2010 Gubernatorial elections as required by law, but the Board neglecting the 1% clause is glued to the 25,000 signatures as if that is all the law stipulates. 3. The committee filed all financial statements on time including for the period ending in March when it only operated for just 1 week and also for that ending in June and that very timely and it received acknowledgment from the Board that the filings were received – one very timely! On each occasion, this return was signed by an officer permitted by law to do the so that does not include the Chairman. Why request the chairman to offer a reason of defense. 4. This has been done now and in the interest of justice and fair play the committee still expects a speedy return of the name MOORE to the ballot for the midterm elections this November. KINDLY CHECK RECORDS AND CORRECT

Signed and sworn to before me this _____ Day of _____, 2014

Veronica Largacha

before me this 7th Day of August, 2014

Notary Public
(seal)



Henrietta Moore - TREASURER

STATE BOARD OF ELECTIONS
14 AUG 11 PM 3:50

August 7, 2014

From: Treasurer
Gregg Moore for ILLINOIS Governor

To: Sharon Steward
Director of Campaign Disclosure
State Board of Elections
State of Illinois
2329 S. MacArthur
Springfield, Illinois 62704

Dear Sharon Steward,

AN ANOMALOUS ASSESSMENT OF CIVIL PENALTY

1. But what purpose is this assessment of a civil penalty for a committee that **never** did a fund raiser for campaign money or for any other matter related to nominating Gregg Moore for Illinois Governor or even putting him on the ballot to run? And never received political donation from anybody!

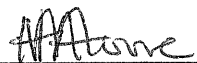
2. A matter which is still before the Electoral Commissioners proving that Moore indeed had **1%** of the total votes cast in the 2010 Gubernatorial elections, the office he is vying for as required by law, but the board of elections is glued to the 25,000 signatures as if that is all the law stipulates, totally neglecting the **1% clause**.

3. Our committee filed its previous two financial statements for the periods ending in March when it only operated for just 1 week and also for that ending in June and that very timely. And it received acknowledgement from the Board that the filings were received – one very timely! On each occasion, this return was signed by an officer permitted by law to do the signing that does not include the Chairman. Why request the chairman to offer a reason of defense?

4. This having been done and for the interest of justice and fair play our committee still expects a speedy return of the name **MOORE** to the ballot for the midterm elections this November.
KINDLY CHECK YOUR REDCORDS AND CORRECT YOUR BOARD'S ALLEGATION AND INDICTMENT.

Thank you.

Yours Sincerely,



Henrietta Moore – Treasurer

3057 West Argyle Street Chicago, Illinois 60625-4160

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

July 22, 2014

Gregg Moore for IL Governor
Henrietta Moore
3057 W. Argyle Street
Chicago, IL 60625

ID# 23277

7012 3460 0001 3857 9914

Dear Gregg Moore for IL Governor:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contributions and Expenditures
Report Period: January 1, 2014 through March 31, 2014
Filing Period: April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 5/5/2014, 14 days late. As such, this committee has been assessed a fine of \$350.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 DQ 120

Friends to Elect Andrew R Timms
Cmte ID: 23693
Respondent

REPORT OF HEARING OFFICER

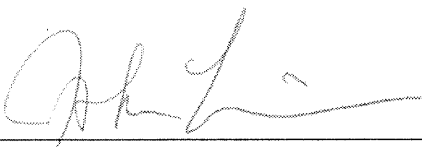
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2013 Quarterly Report

The Report was received January 27th, 2014, 7 days late, resulting in an assessment of \$175. The Committee was previously fined \$5,000 for delinquently filing the June 2010 Semi Annual Report, \$5,000 for delinquently filing the December 2010 Semi Annual Report, \$3,900 for delinquently filing the March 2011 Quarterly Report, \$75 for delinquently filing the June 2011 Quarterly Report, \$5,000 for a late D-1 Statement of Organization, and \$314 each for two (2) late Schedule A-1 Reports. The Committee did not appeal any of the prior assessments.

Andrew Timms, the Candidate, as well as Treasurer and Chairman of the Committee, filed an Appeal Affidavit in this matter. Mr. Timms also testified, along with attorney Ronald Langacker, at a hearing conducted at 10am, Thursday, October 2nd, 2014, at the Board's office, 2329 S. MacArthur Blvd., Springfield, IL.

Mr. Langacker provided a copy of a letter sent the Committee by Board staff dated April 25th, 2014, indicating the \$175 assessment for the delinquent December 2013 Quarterly Report would be stayed as the product of a first offense because the previous fines had been abated. A corrected assessment letter dated June 12th, 2014, clarified that, should the latest assessment be imposed, all fines would come due. Mr. Timms acknowledged the late filing of the December 2013 Quarterly Report was simply the product of an oversight on his behalf.

While I acknowledge the Committee's confusion regarding the status of its assessments is regrettable, I recommend the appeal be denied for lack of an adequate defense. On the specific matter at hand, the delinquency of the December 2013 Quarterly Report filing, Mr. Timms acknowledged his own culpability. Mr. Timms has not run for office since 2010 and stated he has kept the Committee active primarily because of a possible future run for elective office. The Committee has been apprised of the expiration process for assessments should a Final Report be filed and all residual funds be distributed. If the Board accepts this recommendation, a total of \$19,788 would be due and owing. As of June 30th, 2014, the Committee's cash balance was reported as \$636.85.



John Levin – Hearing Officer
Oct 1 2014

State of Illinois)
County of: Champaign)

STATE BOARD OF ELECTIONS
14 JUL 15 AM 11:15

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends to Elect Andrew R. Timms)
Respondent(s).)

Case No. 14DQ120

APPEAL AFFIDAVIT

I, Andrew R. Timms, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends to Elect Andrew R. Timms
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Board has not considered all relevant factors, including whether the violation, if any occurred,
was committed inadvertently and/or negligently. The Board did not consider the lack of previous
violations of the Election Code, attempts made by the Respondent to disclose and/or correct
the violation, whether it was caused by a clerical error, and any other discrepancy which
could be attributed to either the Board or matters outside of Respondent's control.

Andrew R. Timms
Signature of Chairman/Treasurer

Signed and Sworn to by:

Ronald S. Langacker
before me this 11 Day of July, 2014

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

June 12, 2014

CORRECTED ASSESSMENT

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Friends to Elect Andrew R Timms
Andrew R Timms
302 W Hill St, Ste 202
Champaign, IL 61820-3562

ID# 23693

7012 3460 0001 3857 6401

Dear Friends to Elect Andrew R Timms:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December 2013 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2013 through December 31, 2013
Filing Period:	January 1, 2014 through January 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/27/2014, 7 day(s) late. As such, this committee has been assessed a fine of \$175.00.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2010	A-1	\$314
2010	A-1	\$314
2011	Multi Assessment	\$75
2011	Multi Assessment	\$3900
2011	Multi Assessment	\$5000
2011	Multi Assessment	\$5000
2011	Multi Assessment	\$5000
TOTAL AMOUNT NOW DUE		\$19778

The total for all assessments in this letter is 19,778.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by July 14, 2014. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 AM 044

The Committee for Municipal Electricity Choice
Cmte ID: 24164
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Report was received on March 13th, 2014, 1 day late, with respect to a \$10,000 contribution dated March 10th, 2014, from Good Energy, LP. The assessment is \$500. The Committee was previously assessed \$500 (reduced to \$175 in a settlement offer with the Board) for delinquently filing the December 2012 Quarterly Report and \$800 (reduced to \$475 in another settlement offer) for delinquently filing the September 2013 Quarterly Report.

Charles De Casteja, the Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter.

Mr. De Casteja stated the Committee believed it needed to file the A-1 in question within five business days and didn't realize donations received within 30 days of an election in which the Committee is participating must be filed within two business days.

I recommend the appeal be denied for lack of an adequate defense. If the Board affirms this recommendation, a total of \$1150 would be due and owing. As of June 30th, 2014, the Committee reported a cash balance of \$4,090.77.



John Levin – Hearing Officer
September 2nd, 2014

14 AUG 21 PM 3:48

State of Illinois)
)
 County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 14A M044

Committee for Municipal Electricity Choice)

Respondent(s). ID # 24164)

APPEAL AFFIDAVIT

I, Charles De Casteja, the Treasurer of the
 (Name) (Chairman/Treasurer)

Committee for Municipal Electricity Choice ID#24164

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please be aware that we believed ourselves to be compliant with respect to the timely filing of your Form A-1, which is the reporting of contributions received. Our understanding is that this Form A-1 must be filed within 5 business days of receipt of contribution. Your Form A-1 was filed on March 13, 2014, 3 business days after receipt of contributions on March 10, 2014. Our CFO, Dean Prentiss, had followed this up with your office upon receipt of notice of late filing dated July 22, 2014. It was at that time that he was made aware of your requirement that these contributions be filed within 2 business days if within 30 days of an election. He was not aware of this rule at the time of the filing, as we would certainly have filed this Form A-1 within the time frame allowed.

We respectfully request that you waive this civil penalty at this time.

Signed and Sworn to by:

TARA A. SMILEY
 before me this 21st Day of
August, 2014

TARA A. SMILEY
 Notary Public

Charles de Casteja
 (Signature of Chairman/Treasurer)

TARA A. SMILEY
 Notary Public - State of New York
 No. 01SM6201262
 Qualified in Kings County
 My Commission Expires February 17, 2015

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

July 22, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

The Committee for Municipal Electricity Choice ID# 24164
c/o Good Offices Technology Partner
232 Madison Ave, 3rd Floor
New York, NY, IL 10016

7012 3460 0001 3857 9617

Dear The Committee for Municipal Electricity Choice:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Good Energy, LP	3/10/2014	\$10000	3/13/2014	1	\$5000

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$5000.00 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**


If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
December 2012	Quarterly	\$500
September 2013	Quarterly	\$800
TOTAL AMOUNT NOW DUE		\$1800

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

14 MQ 123

Northbrook Caucus of 2013

ID# 24389

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the March 2014 Quarterly Report

The Quarterly Report was received by the Board on 5/7/14, 16 days late, resulting in a civil penalty assessment of \$1,200. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, paid) for delinquently filing the December 2012 Quarterly report and a \$225 civil penalty (appealed, denied, paid) for delinquently filing the June 2012 Quarterly report. The total assessment is \$1,200.

Randall Romei, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Romei stated that the failure to file the March 2014 Quarterly report was an inadvertent calendar error. He adds that he was out of town when the bank statement was received that also delayed the filing of the Quarterly report. The Committee says the fine will result in an undue burden as the Committee has been dormant during the spring of 2014.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$1,200 civil penalty will be due and owing.

(As of 6/30/14, this Committee reported a funds available balance of \$1,167.58.)



Kim Patrick – Hearing Officer

October 1, 2014

State of Illinois)
County of: Cook)

CHICAGO

BEFORE THE STATE BOARD OF ELECTIONS 2014 AUG 21 AM 10:51
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Northbrook Caucus of 2013)
Respondent(s).)

Case No. 14MQ123

APPEAL AFFIDAVIT

I, Randall C Romei, the Treasurer of the
(Name) (Chairman/Treasurer)
Northbrook Caucus of 2013
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The civil penalty imposed on the Northbrook
Caucus of 2013 is unduly burdensome and harsh
for the inadvertent and/or negligent delinquent filing
of a Quarterly Report. The background, reasons and
defenses are provided in the attached statement incorporated
herein by reference (3 pages).

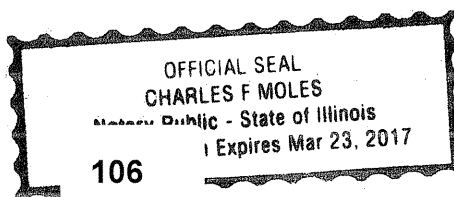
Signature of Chairman/Treasurer

Randall C Romei

Signed and Sworn to by: Charles F Moles

before me this 18 Day of August, 2014

Notary Public
(seal)



State of Illinois)
County of Cook)

IN THE MATTER OF:

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Northbrook Caucus of 2013,

Respondent.

APPEAL AFFIDAVIT (continued)

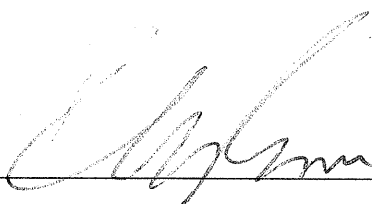
1. The Northbrook Caucus of 2013 filed its Statement of Organization on or about May 29, 2012. Unfortunately, establishing its bank account with new officers, receiving and depositing funds received from a prior local political party that was terminating, and receiving its initial bank statement resulted in a delay in filing its initial D-2 Quarterly Report. A fine in the amount of \$275.00 was assessed by the State Board of Elections and was paid.
2. The Northbrook Caucus of 2013 was only active for the Northbrook municipal elections held in April of 2013.
3. The Northbrook Caucus of 2013 will terminate its existence when officers are elected for the Northbrook Caucus of 2015 and the Northbrook Caucus of 2015 registers with the Illinois State Board of Elections. The last act of the Northbrook Caucus of 2013 will be to donate all remaining funds in under its control to the newly formed Northbrook Caucus of 2015.
4. The Northbrook Caucus is composed of volunteers who are registered voters residing within the boundaries of the Village of Northbrook, the Northbrook Park District and School Districts 28 and 29. The members of the Northbrook Caucus may only serve for two consecutive elections. This necessarily means that the officers may only serve for two consecutive elections. Furthermore, membership in the Northbrook Caucus for any particular election is limited to only three voters per precinct. These membership rules result in a regular turnover of the membership and officers and disbursal of members throughout the village limits.

5. The officers of the Northbrook Caucus of 2013 will not be eligible to be members or officers of the Northbrook Caucus of 2015 and will be replaced.
6. The Northbrook Caucus of 2013, with affiant as treasurer, did have a single prior delinquent quarterly filing. Earlier delinquent filings by other iterations of the Northbrook Caucus should not be considered in determining the extent of any penalty because of the high turnover in membership and officers experienced by the Northbrook Caucus.
7. The Northbrook Caucus of 2013 was an active entity from August 2012, after the publication of the 2013 election calendar, until the election in April 2013. After the April 2013 election the Northbrook Caucus of 2013 is dormant, except for filing Quarterly Reports, until the 2015 Election Calendar is published by the State Board of Elections. With the publication of the 2015 Election Calendar on August 13, 2014, the Northbrook Caucus of 2013 will call a meeting for the purpose of terminating its existence, turning its funds over to a succeeding local organization. Eligible caucus members will then form the Northbrook Caucus of 2015 and elect new officers. Upon the election of its officers the Northbrook Caucus of 2015 will file its Statement of Organization with the State Board of Elections.
8. The March Quarterly Report of Campaign Contributions, for the period ending March 31, 2014, that was delinquent when filed reported no contributions. The only change in the March Quarterly Report from the next preceding Quarterly Report was the accrual of two cents (\$0.02) interest on the sole bank account held by the Northbrook Caucus. From the ending balance of the June 2013 Quarterly Report until the ending balance of the March 2014 Quarterly Report, the only activity was the accrual of interest on said bank account, except the payment of a penalty for the aforementioned delinquency. The total additions and contributions to the Northbrook Caucus of 2013 were accrued interest in the amount of eight cents (\$0.08).
9. The Northbrook Caucus is a grass roots organization that is involved in the identification, and recommendation of candidates for various municipal offices within the municipal limits of the Village of Northbrook, Northbrook Park District and Grade School Districts 28 and 29. Its membership rules result in officers with less experience in dealing with the regulations set forth by the State Board of Elections. This also results in officers who know that they cannot continue as officers or members of the Northbrook Caucus and will not be involved with the Northbrook Caucus when the next election occurs.
10. The failure to file the Quarterly Report of Campaign Contributions for the period ending March 31, 2014 was an inadvertent calendar error. The negligible activity level of the organization over the preceding year and the minimal changes reported in its bank account indicate the dormant nature of the organization between municipal elections.
11. The Quarterly Report cannot be completed until the Treasurer receives the monthly bank statement stating the interest accrual on the sole bank account delivered via the US Mail. Online access to the account is not possible since the Northbrook Caucus of 2013 does not have a FEIN.

The financial institution allows the organization to use the identification number issued by the State Board of Elections as the identification number for the bank account. The paper monthly bank statement is not received by the Treasurer before the 10th day of the month. The time within which to complete and file the Quarterly Report is compressed. In April of 2014 the Treasurer was out of town when the monthly bank statement was received, which together with the inadvertent calendar error resulted in a significant delay in filing the Quarterly Report.

11. The heavy fine is out of proportion to the negligent act in light of the dormant activity level in the spring of 2014 and the nature of this grass roots organization and would result in an onerous burden on the activity of the membership that will organize for the new elections scheduled in April of 2015.

12. Imposition of the assessed penalty will result in an undue burden on a small, local, limited purpose and volunteer based organization.

A handwritten signature in dark ink, appearing to read "Randall C. Romei", is written over a horizontal line.

Randall C. Romei, Treasurer, Northbrook Caucus of 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

July 22, 2014

ID# 24389

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Northbrook Caucus of 2013
c/o Randall C Romei, Treasurer
2501 Maple Ave
Northbrook, IL 60062-5213

7012 3460 0001 3858 0231

Dear Northbrook Caucus of 2013:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 5/7/2014, 16 day(s) late. As such, this committee has been assessed a fine of \$1200.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read 'Sharon Steward', is written over a light blue horizontal line.

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 AD 066

Citizens to Elect Brian VanVickle for Sheriff
Respondent

ID# 25267

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$2,500 contribution on 11/9/13 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$1,250.

Fred Horner, the Chairman of the Committee, failed to file either a Waiver of Appearance or Request for Hearing, but submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Horner states that the Committee's Quarterly Report was filed timely, but the electronic filing system would then not allow the A-1 to be filed. He says the Treasurer called Board staff to discuss the problem, and was told that they were aware of the problem and that there would be no penalty assessment. Mr. Horner reiterates that the issue was out of the Committee's control because the A-1 could not be filed.

The issue here appears to be not that the A-1 was unable to be filed, but that it was already late by the time the Committee attempted to file it. The IDIS electronic filing system is set up so that once a Quarterly Report is filed, any A-1s from that reporting period can no longer be filed. The reason is that the A-1 filing is no longer needed once the contribution(s) have been reported on the Quarterly Report. This Committee filed its Quarterly Report on 1/15/14. The A-1 for the contribution in question was required to be filed by 11/18/13. There is no indication the Committee attempted to file the A-1 within the required time period. I also do not believe that given complete information of the situation any staff member would have given an assurance that no penalty would be assessed for a late filing. For these reasons, I recommend the appeal be denied. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$125. As a first violation, the penalty is stayed. (As of 6/30/14, this Committee reported a funds available balance of \$209.23.)



Tom Newman – Hearing Officer
October 1, 2014

State of Illinois)
County of: Ogle)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens to Elect Brian VanVickle for Sheriff)
Respondent(s).)

Case No. 14A 2066

APPEAL AFFIDAVIT

I, Fred Horner, the chairman of the
(Name) (Chairman/Treasurer)
Citizens to Elect Brian VanVickle for Sheriff
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The report was filed timely and the A-1 was attempted to be filed

The system would not allow the A-1 to be filed. A phone call was
placed to the Illinois Board of Elections and this problem was dis
cussed.

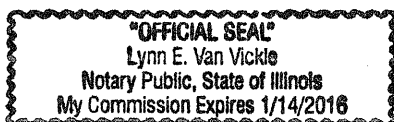
The treasurer was assured that
they were aware of the problem and there would be no penalty assessment

This issue was out of our control as the website would not allow
the A-1 file to be made

Fred Horner
Signature of Chairman/Treasurer

Signed and Sworn to by:
Lynn E. Van Vickle
before me this 28th Day of July, 20 14

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
July 22, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Citizens to Elect Brian VanVickle for Sheriff
PO Box 241
Rochelle, IL 61068

ID# 25267

7012 3460 0001 3857 8818

Dear Citizens to Elect Brian VanVickle for Sheriff:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Katherine/Roger McLaughlin	11/9/2013	\$2500	*	37	\$1250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1250.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$125.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MA 016

Friends of Campbell ID# 25393
Respondent

REPORT OF HEARING OFFICER

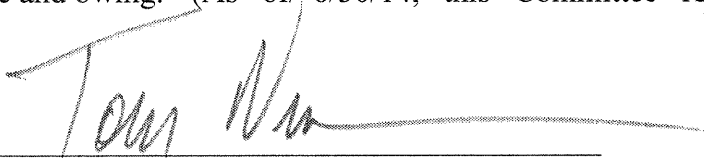
Appeal of Civil Penalty Assessment for Delinquent Filing of the
March 2014 Quarterly Report and a Schedule A-1 Report

The March 2014 Quarterly Report was received by the Board on 5/13/14, 20 days late, resulting in a civil penalty assessment of \$1,000. Additionally, the Committee received a \$5,000 contribution on 3/17/14 and reported it on a Schedule A-1 received by the Board on 5/10/14, 38 days late, resulting in a civil penalty assessment of \$2,500. The Committee had previously been assessed a \$225 civil penalty (not appealed, unpaid) for failure to file 3 Schedule A-1 reports in the 4th quarter of 2013. The total assessment is \$3,725.

Jack Campbell, the Candidate of the Committee, filed a Request for Hearing, and submitted on the Appeal Affidavit the following:

On the Affidavit and at the hearing conducted 10/2/14, Mr. Campbell stated that the late filings were the result of being a new committee unfamiliar with all the disclosure reporting requirements. He indicated that his campaign was intense and time-consuming, and as a result the reporting deadlines were missed. Mr. Campbell stressed that he accepts full responsibility for the violations, but asked for leniency from the Board.

Unfortunately, as Mr. Campbell is aware, ignorance of the law is no excuse and does not relieve the Committee of its obligation to file all reports timely. As a result, I must recommend the appeal be denied for lack of an adequate defense. However, in the case of the A-1 violation, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the second A-1 violation for this Committee, I recommend the penalty be reduced to 50% of the original assessment, or \$1,250. If these recommendations are accepted by the Board, the total assessment of \$2,475 will be due and owing. (As of 6/30/14, this Committee reported a funds available balance of \$532.57.)



Tom Newman – Hearing Officer
October 2, 2014

State of Illinois)
County of Sangamon)

STATE BOARD OF ELECTIONS

14 AUG 15 PM 3:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Campbell)
Respondent(s).)

Case No. 14MA016

APPEAL AFFIDAVIT

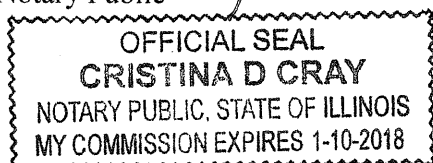
I, Jack Campbell, the candidate of the
(Name) (Chairman/Treasurer)
Friends of Campbell
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

One violation was due to an online donation that was not reported promptly. We also were late on quarterly report due to us being a newly formed committee and unfamiliar with all our responsibilities.

Signed and Sworn to by:

before me this 15 Day of August, 2014
Notary Public



Nancy Williams
(Signature of Chairman/Treasurer)

Jack Campbell
217-306-2698

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
July 22, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Friends of Campbell
Jack Campbell
PO Box 3482
Springfield, IL 62708

ID# 25393

7012 3460 0001 3857 8917

Dear Friends of Campbell:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March 2014 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 1, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 5/13/2014, 20 day(s) late. As such, this committee has been assessed a fine of \$1000.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Rick Brandt	3/17/2014	\$5000	5/10/2014	38	\$2500

The committee is fined a **total** of \$2500.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1250.00, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2013	A1	\$50
December 2013	A1	\$50
December 2013	A1	\$125
TOTAL AMOUNT NOW DUE		\$225

The total for all assessments in this letter is \$2475.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by August 22, 2014. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 AM 049

State & Local PAC of Intl Alliance of Theatrical Stage Employees
Cmte ID: 25445
Respondent

REPORT OF HEARING OFFICER

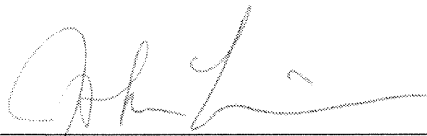
Appeal of Civil Penalty Assessment
For Failing to File Three (3) Schedule A-1 Reports

The Committee failed to file required Schedule A-1s with regard to three donations of \$2,500 each from the International Alliance of Theatrical Stage Employees. Each donation was reported on the Committee's March 2014 Quarterly Report, with dates attached of January 9th, 2014, February 10th, 2014, and March 5th, 2014. The donations were reported 57, 36, and 22 days late, respectively. The resulting fines totaled \$3,750. The civil penalty would be automatically reduced to \$375 (10% of the above-referenced fine amount) as the product of a first offense. The Committee was previously fined \$350 (not appealed, stayed) for delinquently filing the June 2013 Quarterly Report.

Deborah Reid, the Assistant Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Reid stated the Committee was unaware of the A-1 requirement for donations of \$1,000 or more, and the failure to file A-1s during the first quarter of 2014 was inadvertent. She also argued the transfers from the IATSE to the Committee were internal in nature and should be regarded differently than donations from parties not connected to the Committee.

While I sympathize with the Committee, I recommend the appeal be denied for lack of an adequate defense. The defense here is, at its essence, an argument the Committee did not realize it was violating the Act by failing to file the A-1s. That argument falls short, as it would undermine the intent of the A-1 requirement. If the Board accepts this recommendation, the stay on the \$350 fine for delinquently filing the June 2013 Quarterly Report would be lifted, and a total of \$725 would be due and owing. As of June 30th, 2014, the Committee reported a balance of \$2,458.72.



John Levin – Hearing Officer
September 22nd, 2014

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

14 AUG 22 PM 2:39

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 14AM049

STATE & LOCAL PAC OF INTL.)

ALLIANCE OF THEATRICAL STAGE)

EMPLOYEES)

Respondent)

APPEAL AFFIDAVIT

I, Deborah A. Reid, the Assistant Treasurer of the
(Chairman/Treasurer)

State & Local PAC of the International Alliance of Theatrical Stage Employees

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said Committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The State & Local PAC of the International Alliance of Theatrical Stage Employees ("IATSE State & Local" or "the Committee") was unaware of the special filing requirement regarding contributions over \$1,000 received, and the error was entirely inadvertent. Pursuant to section 125.425(d)(5)(c)(ii), as explained further below, we respectfully request that, because these reports represent IATSE State & Local's first delinquent Schedule A-1 filing, and in light of the Committee's efforts at compliance upon learning of its mistake, the State Board of

Elections (“the Board”) waive the \$375 assessment already imposed, and any future assessment(s) for the four mistakenly unreported contributions over \$1,000 received in the second and third quarterly reporting periods up to the date of the Board’s July 22 notice.

IATSE State & Local is a nonfederal political organization established by the International Alliance of Theatrical Stage Employees (“IATSE”). Each month IATSE transfers \$2,500 from its general treasury to IATSE State & Local. State & Local has dutifully reported each of these contributions on its quarterly reports, but was unaware of the additional filing required for contributions over \$1,000 from a single source. We regret the error and stand ready to file a Schedule A-1 report for each of the three contributions noted in your letter (dated January 9, 2014, February 10, 2014, and March 5, 2014, respectively), although we understand from recent conversations with your office that there is no mechanism to make such late filings of these special reports.

Further, after the Board brought the reporting obligation to our attention, IATSE State & Local recognized that it had received four additional transfers over \$1,000 from IATSE, which had also not been reported on Schedule A-1. These transfers, which likewise totaled \$2,500 each, were received on April 8, May 6, June 5, and June 25, 2014 as part of IATSE’s continued self-funding of IATSE State & Local. All four transfers were reported on IATSE State & Local’s second quarterly report, but because the Committee was unaware of the incident-based reporting requirement, no Schedule A-1 reports were filed within the five days following each receipt. IATSE State & Local stands ready to file these special reports as well if your office so requests and advises about how best to do so.

These regular transfers from IATSE represent a regular and predictable transaction between the union and its PAC, and although we acknowledge and support the public interest in timely and complete disclosure of campaign finance activity, their nature is such that the Committee’s delinquency did not deny the public of any unanticipated information about IATSE’s self-funding of the Committee, and it did not deny the public of timely information about an external contributor to IATSE State & Local because there was none.

Because these four transfers were identical to, and a part of the same funding schedule as the first three unreported transfers identified by the Board, and, like the January, February and March transfers, they all occurred prior to our receipt of the Board’s July 22 notice, we ask that the Board consider them to be part of IATSE State & Local’s first violation as well. For those reasons, and because they were all reported on the Committee’s quarterly reports, we ask the Board to waive any future assessment for failure to file a Schedule A-1 report of qualifying contributions up to the date of the Board’s notice to IATSE State & Local on July 22, 2014.

On behalf of IATSE State & Local, I sincerely apologize for the reporting error. The Committee has rectified its error and will file timely reports of all contributions over \$1,000 that it receives going forward. Indeed, we have already filed the Schedule A-1 for the regular \$2,500 transfer received from IATSE on August 19. I hope that our good faith efforts to bring the Committee into compliance will satisfy its obligations to the Board and preempt any additional penalties.

Please do not hesitate to contact me with any additional questions or concerns. I can be reached by phone at (212) 730-1770. Thank you for your consideration.

Michael A. Reid Assistant Treasurer
Signature of Chairman/Treasurer

Signed and Sworn to by:

Samantha Dulaney
before me this 21st Day of Aug., 2014

Notary Public
(seal)

SAMANTHA DULANEY
NOTARY PUBLIC-STATE OF NEW YORK
No. 02DU6228669
Qualified in New York County
My Commission Expires September 27, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

July 22, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

State & Local PAC of Intl Alliance of Theatrical Stage Employeees ID# 25445

Mathew Loeb/John Ford
207 West 25th Street, 4th Floor
New York, NY, 10001

7012 3460 0001 3857 8580

Dear State & Local PAC of Intl Alliance of Theatrical Stage Employeees:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
International Alliance of Theatrical Stage Emp	1/9/2014	\$2500	*	57	\$1250
International Alliance of Theatrical Stage Emp	2/10/2014	\$2500	*	36	\$1250
International Alliance of Theatrical Stage Emp	3/5/2014	\$2500	*	22	\$1250

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3750.00 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$375.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

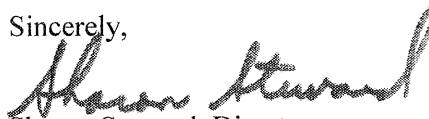
If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2013	Quarterly	\$350
TOTAL AMOUNT NOW DUE		\$725

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 AM 063

Curtis Lane for Circuit Judge
Cmte ID: 25641
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Failing to File Two (2) Schedule A-1 Reports

The Committee failed to file Schedule A-1 Reports for two donations from Robert Stinauer. Mr. Stinauer donated \$2,000 to the Committee on January 15th, 2014. He donated \$1,000 to the Committee on March 4th, 2014. The donations were first reported on the Committee's March 2014 Quarterly Report and were reported 55 and 28 days late, respectively. The total assessment is \$1,500. These are the Committee's first fines.

Jesse Stinauer, the Treasurer and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Stinauer cited his lack of experience with campaign reporting, acknowledged he was unaware of the A-1 filing requirement for donations of \$1,000 or more and requested the fines be waived.

I recommend the appeal be denied for lack of an adequate defense. If the Board approves this recommendation, the civil penalty would automatically be reduced to \$150 (10% of the above-referenced fine amount) as the product of a first A-1 violation. Additionally, the Committee filed a Final Report with an ending balance of \$0.00 on July 2nd, 2014. If it remains inactive for at least a two year period from the date of the final Board order, the fine will abate.



John Levin – Hearing Officer
October 1st, 2014

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

14 AUG -8 AM 11:57

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Cert's Love for Circuit Judge)
Respondent(s).)

Case No. 25641/14AM063

APPEAL AFFIDAVIT

I, Jesse Stinauer, the Treasurer & Chairman of the
(Name) (Chairman/Treasurer)
Cert's Love for Circuit Judge
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

We have closed out our campaign. I Am new to the entire process & found the report fillings to be an arduous process. I did claim the two donations over \$1,000 but must not have filed the schedule A-I in time. This was out of ignorance of the process and ask you to please waive the fee.

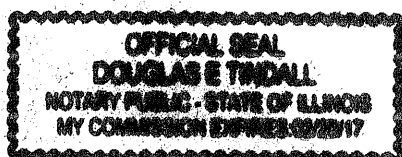
[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

Jesse Stinauer

before me this 5th Day of August, 2014

Notary Public
(seal)



2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

July 22, 2014
ID# 25641

Curtis Lane for Circuit Judge
PO Box 49
Lewiston, IL 61542

7012 3460 0001 3857 9693

Dear Curtis Lane for Circuit Judge:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Robert Stinauer	1/15/2014	\$2000	*	55	\$1000
Robert Stinauer	3/4/2014	\$1000	*	28	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1500.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$150.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MQ 164

Committee to Elect Michael O'Malley
Cmte ID: 25684
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the March 2014 Quarterly Report

The Report was received on May 2nd, 2014, 13 days late, resulting in an assessment of \$650. The Committee was previously fined \$1,000 (not appealed, stayed) for delinquently filing the December 2013 Quarterly Report.

Michael Chvatal, the Treasurer of the Committee, filed a waiver of appearance and appeal affidavit in this matter.

On the Affidavit, Mr. Chvatal offered a defense related to the June 2014 Quarterly Report. The June Report was timely, and the Committee was not fined with regard to its filing. Mr. Chvatal was contacted by Board staff but, as of the date of this Report, had not taken advantage of an offer to amend the appeal affidavit in a manner that addressed the March 2014 Quarterly Report.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the stay on the assessment for the delinquent December 2013 Quarterly Report would be lifted, and a total of \$1,650 would be due and owing. As of June 30th, 2014, the Committee's reported balance was \$1,275.



John Levin – Hearing Officer
September 12th, 2014

State of Illinois)
)
 County of _____)

14 AUG 21 PM 1:29

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 14MQ1164Committee to Elect Michael O'MalleyRespondent(s). ID #
25684
03

APPEAL AFFIDAVIT

I, Michael Chvatal, the Treasurer of the
 (Name) (Chairman/Treasurer)

Committee to Elect Michael O'Malley

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Committee to Elect Michael O'Malley received a notice, dated July 22, 2014, that it was being assessed a fine because the Committee failed to comply with 10 ILCS 5/9-10(b). The letter states that the Board did not receive the Committee's Form D-2 until thirteen (13) days after the filing deadline.

10 ILCS 5/9-10(b) states that there shall be no fine if the report (D-2) is mailed and postmarked at least 72 hours prior to the filing deadline. The filing deadline for the period in question was July 15, 2014, at 5:00 pm. The Committee to Elect Michael O'Malley's D-2 was mailed on July 10, 2014, and was hand delivered to the United States Post Office at 6300 N Northwest Highway, Chicago, Illinois. (See attached affidavit)

The Committee to Elect Michael O'Malley's D-2 for the filing period ending June 30, 2014 was postmarked and placed in the mail more than 72 hours before the filing deadline, therefore no civil penalty should be imposed.

Signed and Sworn to by:

Michael Chvatal
 before me this 14 Day of

August, 2014

Notary Public

(Signature of Chairman/Treasurer)

OFFICIAL SEAL
 MELISSA E ARTEAGA
 Notary Public - State of Illinois
 My Commission Expires Apr 18, 2017

STATE OF ILLINOIS)
)
COUNTY OF _____)

Before the State Board of Elections
Of the State of Illinois

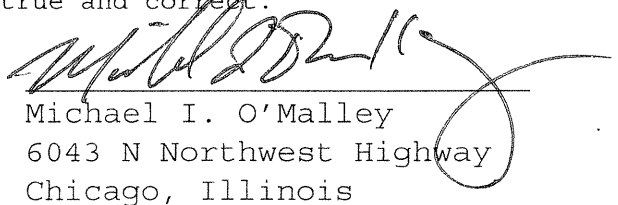
In the Matter of;)
Illinois State Board of Elections,)
Complainant)
-vs-)
Committee to Elect Michael O'Malley)
Respondents)

Case No. 14MQ1164

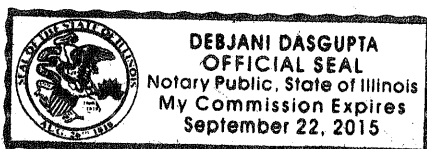
Affidavit

Now comes Michael I. O'Malley, the undersigned, do hereby states as follows:

1. I am over the age of eighteen years old and a resident of the State of Illinois. I have personal knowledge of the facts herein, and, if called as a witness could testify competently thereto.
 2. On July 10, 2014, I went to the United States Post Office located at 6300 N Northwest Highway, Chicago, Illinois, with two envelopes addressed to the State Board of Elections of the State of Illinois.
 3. The first envelope contained the D-2 for the Committee to Elect Michael O'Malley, for the reporting period ending June 30, 2014, and was addressed to the State Board of Elections of the State of Illinois in Springfield, Illinois.
 4. The second envelope was a copy of the above mentioned D-2, and was addressed to the State Board of Elections in Chicago, Illinois.
 5. I went inside the post office and walked up to the counter.
 6. I purchased two stamps and placed a stamp on each of the envelopes.
 7. I then handed the stamped envelopes to the clerk behind the counter who accepted the envelopes and placed them in a basket behind the counter.
 8. The clerk stated that the envelopes would be post marked July 10, 2014.
 9. This was done on July 10, 2014 before 2:00 pm.
- Under penalties as provided by law pursuant to Section 1-109 of the Code of Civil Procedure, 735 ILCS 5/1-109, the undersigned certifies that the statements set forth in this instrument are true and correct.


Michael I. O'Malley
6043 N Northwest Highway
Chicago, Illinois

Signed and sworn to by:
Michael I. O'Malley
Before this 19th Day of
August, 2014
Debjani Dasgupta
Notary Public



2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

July 22, 2014

ID# 25684

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Committee to Elect Michael O'Malley
Michael Sheahan
4524 N. Delphia
Chicago, IL 60656

7012 3460 0001 3858 0460

Dear Committee to Elect Michael O'Malley:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 5/2/2014, 13 day(s) late. As such, this committee has been assessed a fine of \$650.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2013	Quarterly	\$1000.00
TOTAL AMOUNT NOW DUE		\$1650.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 AM 070

McLean County Sales Tax Referendum ID# 25770
Respondent

REPORT OF HEARING OFFICER

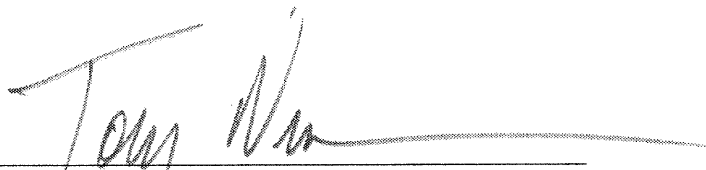
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received the following 14 contributions: \$1,000 on 1/30/14, \$1,000 on 2/3/14, \$2,000 on 2/3/14, \$1,000 on 2/7/14, \$1,000 on 2/12/14, \$3,500 on 2/14/14, \$1,000 on 2/14/14, \$3,000 on 2/14/14, \$2,563.30 on 2/14/14, \$2,000 on 2/15/14, \$1,000 on 2/20/14, \$2,500 on 2/21/14, \$2,000 on 2/24/14 and \$3,000 on 2/22/14, and reported all 14 contributions on a Schedule A-1 received by the Board on 3/3/14, between 13 and 3 days late, resulting in a civil penalty assessment of \$13,282.

Randy Jacobs, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Jacobs states that as a first-time volunteer for a political committee, he and other volunteer Committee members were unaware of the reporting requirements for campaign contributions. He says once he was informed of the A-1 reporting requirements, he filed the Schedule A-1 the same day.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$1,328. If these recommendations are accepted by the Board, the \$1,328 civil penalty will be due and owing. However, since the Committee has filed a Final Report (on 7/8/14), I further recommend that should the Committee remain dissolved for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated. (As of 7/8/14, this Committee reported a funds available balance of \$0.)



Tom Newman – Hearing Officer
September 24, 2014

State of Illinois)
County of: McLean)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **14 AUG 21 PM 1:28**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
McLean County Sales Tax Referendum)
Respondent(s).)

Case No. 14AM070

APPEAL AFFIDAVIT

I, Randy Jacobs, the Treasurer of the
(Name) (Chairman/Treasurer)
McLean County Sales Tax Referendum
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

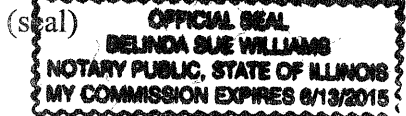
(Please see attachment)

Randy Jacobs, Treasurer
Signature of Chairman/Treasurer

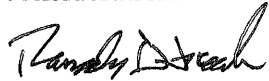
Signed and Sworn to by:
Belinda Sue Williams

before me this 20 Day of August, 2014

Notary Public



As a first-time volunteer for a political committee, I was unaware, as were other volunteer committee members, of the reporting requirements of campaign contributions. I was informed of these requirements by legal counsel of McLean County Unit 5 School District, Curt Richardson, by email at 12:26 PM on March 3, 2014 (see attachment). I immediately prepared and faxed the required Schedule A-1 at 2:32 pm, received by the Springfield office at 2:57 PM, on March 3, 2014. All other Schedule A-1 forms were submitted in a timely manner, consistent with State Board of Elections requirements. Our committee respects the State Board of Elections and begs forgiveness for this sole oversight and asks that any penalty be waived. We thank you for your consideration.



Randy Jacobs

McLean County Sales Tax Referendum

Treasurer

Work Phone # 309-365-3322

Cell Phone # 309-824-8006

rjacobs@hbtbank.com

Belinda Sue Williams 8/20/14



Randy Jacobs

From: Curt Richardson <curtrichardson2@yahoo.com>
Sent: Monday, March 03, 2014 12:26 PM
To: Randy Jacobs; nfinlen@f-w.com; gcniehaus52@gmail.com
Attachments: Reoport of Campaign Contributions of \$1000 or more A1.pdf; 2014Calendar.pdf; Statement of Organization D1 (filed Feb 03 14).pdf

Randy and Neil,

Attached is the Schedule A-1 which must be filed each time a contribution is received of \$1000 or more. Also attached is a 2014 Campaign Disclosure Calendar. Both of these documents can be found on the State Board of Elections Website. Since we are within the 30 days before an election, the A-1 must be filed within 2 business days for each contribution received \$1000 or more. There are instructions with the form. Please let me know if you have any questions.

Thanks,

Curt Richardson

HP LaserJet 3390

Fax Activity Log

Payne Insurance
309-365-8731
Mar-3-2014 2:40PM

Job	Date	Time	Type	Identification	Duration	Pages	Result
5668	2/18/2014	2:44:43PM	Send	13096939819	1:14	2	OK
5669	2/18/2014	2:51:00PM	Send	18882952531	0:00	0	Busy
5670	2/18/2014	2:53:00PM	Send	18882952531	1:11	2	OK
5671	2/19/2014	3:49:14AM	Receive	Rightfax N2-1	0:42	2	OK
5672	2/19/2014	3:08:19PM	Receive		0:57	3	OK
5673	2/19/2014	3:30:46PM	Send	13096930548	6:15	11	OK
5674	2/19/2014	3:43:28PM	Send	13093469466	1:41	4	OK
5675	2/19/2014	11:38:38PM	Receive	Auto-Owners Ins	0:45	2	OK
5676	2/20/2014	9:36:06AM	Send	18668236181	1:56	4	OK
5677	2/20/2014	2:31:06PM	Receive	Pekin Ins	1:00	2	OK
5678	2/20/2014	6:49:15PM	Receive	u i òS ò RêV	2:08	3	OK
5679	2/21/2014	8:41:12AM	Send	18002252264	2:44	6	OK
5680	2/21/2014	4:01:03PM	Send	13098237320	3:43	11	OK
5681	2/21/2014	10:07:47PM	Receive	A Happy FaxTalk User	1:20	1	OK
5682	2/22/2014	8:08:18AM	Receive	COPIAFACTS	0:32	1	OK
5683	2/24/2014	10:47:58AM	Send	13094523226	1:12	2	OK
5684	2/24/2014	11:48:26AM	Send	13097261907	0:41	2	OK
5685	2/24/2014	1:13:30PM	Send	13098743334	1:27	2	OK
5686	2/25/2014	8:41:07AM	Send	13096939819	1:00	2	OK
5687	2/25/2014	9:31:05AM	Receive	FAX	0:57	1	OK
5688	2/25/2014	12:49:33PM	Receive		0:27	1	OK
5689	2/25/2014	1:44:08PM	Send	13096625682	1:11	1	OK
5690	2/25/2014	2:08:54PM	Send	13094523226	0:52	2	OK
5691	2/25/2014	2:12:07PM	Send	13093464419	2:06	3	OK
5692	2/26/2014	3:37:17PM	Receive		2:54	4	OK
5693	2/27/2014	11:32:41AM	Receive	Default	0:25	3	OK
5694	2/27/2014	11:48:50AM	Send	13096930548	0:59	2	OK
5695	2/27/2014	12:28:53PM	Receive	LMG	0:25	2	OK
5696	2/27/2014	1:02:58PM	Send	16144497143	0:07	0	Stop
5697	2/27/2014	1:03:59PM	Send	16144497143	0:09	0	Stop
5698	2/27/2014	1:04:49PM	Send	16144497143	4:53	6	OK
5699	2/27/2014	1:15:47PM	Send	18885436297	0:27	1	OK
5700	2/27/2014	2:12:07PM	Send	18663874393	1:59	4	OK
5701	2/27/2014	7:27:49PM	Receive	2172450802	5:18	8	OK
5702	2/28/2014	11:48:09AM	Receive	3159863467	0:48	1	OK
5703	2/28/2014	3:32:02PM	Send	12178329762	0:44	2	OK
5704	2/28/2014	4:31:00PM	Receive		0:40	0	No fax detected
5705	3/ 3/2014	7:00:48AM	Receive	877-263-9852	0:20	1	OK
5706	3/ 3/2014	10:00:53AM	Send	13096930548	1:52	2	OK
5707	3/ 3/2014	2:32:54PM	Send	12175575630	2:40	6	OK

3/7/2014

McLean County Sales Tax Referendum
22675 PJ Keller Hwy
Lexington, IL 61753-7543

State of Illinois
State Board of Elections
Campaign Disclosure Division

2329 S. MacArthur
Springfield, Illinois 62704

100 West Randolph St.
Suite 14-100
Chicago, Illinois 60601

Ballot Initiative 25770 14
McLean County Sales Tax Referendum

PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS

The Illinois State Board of Elections has received the following document(s) from your committee.
If this information is incorrect, notify our office at (217)782-4141 or (312)814-6440.

3/3/2014 2:57:10PM

Received in Springfield

A-1 Report of Campaign
Contributions of More Than
\$1000

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

July 22, 2014
ID# 25770

McLean County Sales Tax Referendum
Randy Jacobs
22675 PJ Keller Hwy
Lexington, IL 61753

7012 3460 0001 3857 9686

Dear McLean County Sales Tax Referendum:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Gary Niehaus	2/3/2014	\$1000	3/3/2014	13	\$500 X
IDEAL Environ. Engineering Inc	2/3/2014	\$2000	3/3/2014	13	\$1000 X
Illinois Prairie electric	1/30/2014	\$1000	3/3/2014	15	\$500 X
Network Date Systems	2/7/2014	\$1000	3/3/2014	9	\$500 X
Mid-Illinois Mechanical Inc	2/12/2014	\$1000	3/3/2014	7	\$500 X
Unit 5 Education Association	2/14/2014	\$3500	3/3/2014	7	\$1750 X
Architectural Expressions, LLP	2/14/2014	\$1000	3/3/2014	5	\$500 X
Famsworth Group, Inc	2/14/2014	\$3000	3/3/2014	5	\$1500 X
Prairie Piece Parent Group	2/14/2014	\$2563.30	3/3/2014	5	\$1282 X
McLean County Asphalt Co, Inc	2/15/2014	\$2000	3/3/2014	5	\$1000 X
Bloomington Education Support Personnel	2/20/2014	\$1000	3/3/2014	5	\$500 X
North Central Illinois Laborers' District Council	2/21/2014	\$2500	3/3/2014	4	\$1250 X
Union Roofing Co, Inc.	2/24/2014	\$2000	3/3/2014	3	\$1000 X
Bloomington Education Association	2/22/2014	\$3000	3/3/2014	4	\$1500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$13282.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1328.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

14 MA 023

Committee to Elect Molt for Judge
Respondent

ID# 25872

REPORT OF HEARING OFFICER

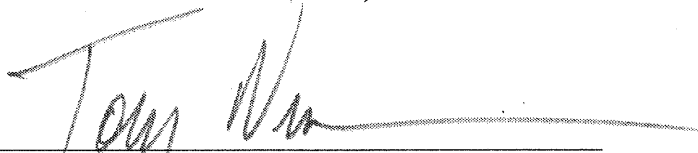
**Appeal of Civil Penalty Assessment for Delinquent Filing of a D-1 Statement of Organization
and Failure to File Schedule A-1 Reports**

The Committee's D-1 Statement of Organization was received by the Board on April 3, 2014, 50 days late, resulting in a civil penalty assessment of \$2,500. The Committee received a \$3,750 contribution on 1/2/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$1,875. The Committee also received two \$1,150 contributions on 3/1/14 and failed to report these on a Schedule A-1, resulting in a civil penalty assessment of \$1,150. The total assessment is \$5,525.

Michael Molt, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Molt states that the three contributions in question were all from family members, specifically the candidate's wife, brother and sister-in-law. He says the late reporting of the contributions was unintentional, and stemmed from a misinterpretation of the reporting requirements. Mr. Molt argues that because the two \$1,150 in-kind contributions were for radio advertising, the actual benefit of those contributions was not received until each advertisement was broadcast and therefore there was no particular date on which more than \$1,000 was received by the Committee. He adds that because full disclosure was made of all sources and amounts of contributions to the Committee, the intent of the Campaign Disclosure Act was met. No defense is offered for the late D-1 filing.

I recommend the appeal in regards to the late filing of a D-1 Statement of Organization be denied for lack of an adequate defense. In regards to the late A-1 filings, while it is true the contributions were eventually reported by the Committee, the Disclosure Act is quite clear (in Section 9-10(c)) that contributions of \$1,000 or more must be promptly reported – which the Committee failed to do. The three contributions were in fact reported between 49 and 62 days later than required. Additionally, since the date of receipt for an in-kind contribution is considered to be the date the recipient Committee receives notice of the contribution, the argument relating to the air date of the purchased advertising is not applicable. For these reasons, I recommend the appeal for the A-1 violations also be denied. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$302. If these recommendations are accepted by the Board, the total assessment of \$2,802 will be due and owing. (As of 6/30/14, this Committee reported a funds available balance of \$0.)



Tom Newman – Hearing Officer
September 24, 2014

State of Illinois)
)
County of : WAYNE)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **14 AUG 20 PM 1:46**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 14MA023
)
COMMITTEE TO ELECT MOLT FOR JUDGE)
Respondent(s).)
I.D. #25872

APPEAL AFFIDAVIT

I, MICHAEL J. MOLT, the Candidate/Treasurer of the
(Name) (Chairman/Treasurer)
COMMITTEE TO ELECT MOLT FOR JUDGE
(Name of the Committee)

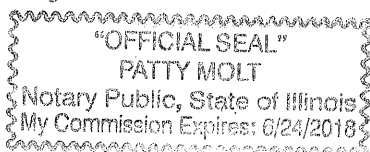
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Patty Molt is the wife of the Candidate and considered immediate family. The total amount of
her contribution was disclosed, and there was no intent to conceal the amount or source of the
contribution. Patrick Molt is the brother of the Candidate, and Jessica Molt is the wife of
Patrick Molt. The total amount of in-kind contributions were disclosed, and the contributions
of \$1,150 each from Patrick Molt and Jessica Molt were reported as in-kind contributions. This

Michael J. Molt
Signature of Chairman/Treasurer

Signed and Sworn to by: *Patty Molt*
before me this 14th Day of August, 2014

Notary Public
(seal)



APPEAL AFFIDAVIT REASONS AND DEFENSES

(Continued)

contribution was made by purchasing radio advertising, and the actual benefit of the contribution was received as each short radio advertisement was broadcast. Based on this fact, there was never any particular date on which more than \$1,000 of radio advertising, contributed by Patrick Molt and Jessica Molt, was received.

All of the contributions listed in the July 22, 2014 letter from the State Board of Elections were reported with no intent to secrete the amount of the contribution or the contributor. The Candidate made full disclosure of the sources and amounts of the campaign contributions, and had no intent to do anything that would impact the integrity of the electoral process in the March, 2014 Primary Election for Wayne County Resident Circuit Judge. The Illinois State Legislature, when it passed the Campaign Disclosure Act, desired the public to be informed of the total contributions received and expended by a political committee, the names of significant contributors, and of individuals to whom a political committee is indebted [Walker vs. State Board of Election, 72 Ill. App. 3d 877, 391 N.E. 2d 507 (1st Dist., 1979)]. The intent of the legislature has been served by the reporting by the Committee to Elect Molt for Judge. The Committee to Elect Molt for Judge did not intentionally fail to properly report campaign contributions. The late reporting was not intentional and was as a result of the Committee's misinterpretation of the reporting requirements. The undersigned respectfully requests that the civil penalties described in the July 22, 2014 letter from the State Board of Elections be waived for the reasons stated herein.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS



2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gower
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
July 22, 2014

Committee to Elect Molt for Judge
Michael Molt/Patty Molt
Po Box 652
Fairfield, IL 62837

ID# 25872

7012 3460 0001 3857 9020

Dear Committee to Elect Molt for Judge:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 3, 2014, 50 day(s) late. As such, this committee has been assessed a fine of \$2500.00.

In addition, this committee failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Patty Molt	1/2/2014	\$3750	4/14/2014	62	\$1875
Jessica Molt	3/1/2014	\$1150	5/13/2014	49	\$575
Patrick Molt	3/1/2014	\$1150	5/13/2014	49	\$575

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3025.00 for delinquent filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$303.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all new assessments is \$2803.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

MEMORANDUM

FROM: Tom Newman, Deputy Director, Division of Campaign Disclosure

TO: Members of the Board
Rupert T. Borgsmiller, Executive Director,
Steve Sandvoss, General Counsel

RE: Settlement Offers

Date: October 10, 2014

Per Member McGuffage's request at the September 2014 Board meeting, presented below for consideration and discussion is a set of proposed guidelines regarding settlement offers from political committees who have been assessed civil penalties by the Board:

Board Settlement Offer Guidelines:

Settlement offers should be limited to those committees for whom the fine represents an undue hardship or who otherwise lack the funds or the ability to raise funds to pay the fine. (Judicial candidates, for example, are unable to accept contributions earlier than 1 year before their election and later than 90 days after the election.) The committee should be able to explain or demonstrate why they are unable to raise enough funds to pay the total fine.

Settlement offers should, in most cases, not be accepted if the offered amount is less than 50% of the unpaid fine total; nor if the total penalty owed is \$1,000 or less.

Generally, a settlement offer should only be considered if the ratio of the fine to the committee's fund balance on each of its 4 most recent quarterly reports is greater than 50%; contributions on schedule A-1 reports filed since the last quarterly report may also be considered in this calculation.

Consideration may also be given to special circumstances, such as if a committee is fined for a late A-1 comprised of in-kind contributions, because these contributions do not represent an influx of actual cash to the committee.

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: October 10, 2014

Listed below are committees that have been assessed a civil penalty for the delinquent filing of the September 2013 Quarterly report. This violations was not appealed & should be issued a Final Board Order.

December 2013 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Prev Violations
23889	Cmte to Elect James G Riley	850	1 Q

(** - DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd
Springfield, Illinois 62708
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Rupert T Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: October 8, 2014

The following committees have made payment of outstanding civil penalties for the period 9/03/2014 - 10/08/2014

- Cass County Republican Central Committee - \$750.00
- Rock Island County Democratic Party Levin - \$1250.00
- Committee for Municipal Electricity Choice - \$650.00
- Clinton County Republican Central Committee - \$100.00
- Rey for Mayor - \$600.00
- Citizens for David Webb - \$500.00
- Friends for Deborah Morelli - \$150.00
- Egan Forward 43 - \$526.00
- Friends of Michael Alvarez - \$2000.00
- Waukegan Township Republican Organization - \$500.00
- Friends of Dan Irving - \$75.00
- Krummen for Council - \$125.00
- Campaign to Elect Dave Bartley - \$575.00
- Citizens to Elect Bill Eagan - \$125.00
- Friends for Bill Graft - \$200.00
- Ill. Psychiatric Society PAC - \$1000.00
- Friends of Mo - \$170.00
- Rich Township Democratic Org. - \$75.00
- Citizens for Luis Arroyo - \$325.00
- Friends of John Pope for Alderman - \$17557.00 (368.70 credit card fee)
- Citizens to re-elect John Pope for Committeeman - \$1250.00 (26.25 credit card fee)
- Citizens for Steve Reeb - \$225.00

TOTAL : \$28,728.00

Year to Date Totals:

12/27/13 to 2/06/14: \$80,041.70
2/07/14 to 3/05/14: \$13,951.33
3/06/14 to 4/08/14: \$19,282.40
4/09/14 to 5/06/14: \$15,770.37
5/07/14 to 6/4/14: \$10,693.50
6/05/14 to 7/9/14: \$8098.00
7/10/14 to 8/12/14: \$24711.00
8/13/14 to 9/3/14: \$15,938.30
9/04/14 to 10/8/14: \$28,728.00

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S. MacArthur Blvd
Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

TO: Rupert Borgsmiller, Executive Director

FROM: James Tenuto, Assistant Executive Director

RE: Press Releases related to November 4, 2014 General Election

DATE: October 8, 2014

The following is the schedule of press releases the State Board of Elections will be distributing in regards to the November 4, 2014, General Election:

- Information regarding the State Board of Elections Mock Election Program (September 18, 2014)
- Availability of the Illinois Voter's Guide on the Board's website (Distributed September 22, 2014)
- Close of regular registration on October 7, 2014 (Distributed September 30, 2014)
- Grace Period Registration begins October 8, 2014 (Distributed October 7, 2014)
- Early Voting begins October 20, 2014 (to be distributed October 17, 2014)
- Publication of State Board of Elections hot line numbers (to be distributed on or about October 31, 2014)
- Release urging qualified voters to vote in the November 4, 2014 General Election. (to be distributed on or about October 31 or November 3)
- Citizens can register to vote and vote on November 4, 2014 subject to certain restrictions. (to be distributed November 3)

Though not a Press Release, the State Board of Elections will be holding its pre-election meeting in the Chicago and Springfield offices. Present in Chicago will be certain Board staff (Ken Menzel, Jim Tenuto, Darlene Gervase) and in the Springfield office (Rupert Borgsmiller, Steve Sandvoss, Bernadette Harrington, Jane Gasperin and Kyle Thomas). Expected to attend in Chicago are representatives of the U.S. Attorney's office; Attorney General; Cook County State's Attorney; Cook County Clerk's office; and the Chicago Board of Election Commissioner's office. Lynn Patton of the Attorney General's office has been invited to attend in the Springfield office.

The Press Releases inform the public of various deadlines, provides information beneficial to voters and non-registered citizens and highlights the Board's role in the voting process.

State Board of Elections

From the desk of....Jane Gasperin, Director of Election Information

Phone: 217-782-1555

Email: jgasperin@elections.il.gov



To: Rupert Borgsmiller, Executive Director
Re: Election Day Assignments – November 4, 2014
Date: October 2014

SPRINGFIELD OFFICE (5:30 a.m. – Midnight)

STAFF	HOURS WORKING	BREAK TIMES
Jane Gasperin	5:30 a.m. – 7:30 p.m.	1:30 p.m. – 2:30 p.m.
Kay Walker	5:30 a.m. – 5:30 p.m.	Noon – 1:00 p.m.
Kyle Thomas	6:00 a.m. – 4:00 p.m.	11:00 a.m. – Noon
Steve Sandvoss	6:00 a.m. – 4:00 p.m.	11:30 a.m. – 12:30 p.m.
Amy Evans	7:00 a.m. – 7:00 p.m.	2:00 p.m. – 3:00 p.m.
Amy Kelly	7:00 a.m. – 5:00 p.m.	11:30 a.m. – 12:30 p.m.
Dustin Schultz	8:00 a.m. – 4:30 p.m.	Noon – 1:00 p.m.
Brie Urbanec	8:00 a.m. – 4:30 p.m.	Noon – 1:00 p.m.
Maggie Jasinski	8:00 a.m. – 4:30 p.m.	1:00 p.m. – 2:00 p.m.
Linda Wentz	8:00 a.m. – 4:30 p.m.	1:00 p.m. – 2:00 p.m.
Jamye Sims	9:00 a.m. – 7:00 p.m.	2:00 p.m. – 3:00 p.m.
Gary Nerone	10:00 a.m. – 10:00 p.m.	3:00 p.m. – 4:00 p.m.
Cheryl Hobson	11:00 a.m. – 9:00 p.m.	3:30 p.m. – 4:30 p.m.
Brent Davis	Noon – Midnight	5:00 p.m. – 6:00 p.m.
Mike Montney	1:30 – 10:00 p.m.	5:30 p.m. – 6:30 p.m.
Bernadette Harrington	3:00 p.m. – Midnight	6:00 p.m. – 7:00 p.m.
Bruce Brown	4:00 p.m. – Midnight	7:00 p.m. – 8:00 p.m.

CHICAGO OFFICE (6:00 a.m. - 11:00 p.m.)

STAFF	HOURS WORKING	BREAK TIMES
Jim Tenuto	6:00 a.m. – 4:00 p.m.	Noon – 1:00 p.m.
Marc Petrone	6:00 a.m. – 4:00 p.m.	1:00 p.m. – 2:00 p.m.
Rose Rodriguez	6:00 a.m. – 6:00 p.m.	12:30 p.m. – 1:30 p.m.
Ken Menzel	Noon – 11:00 p.m.	3:00 p.m. – 4:00 p.m.
Darcell McAllister	Noon – 11:00 p.m.	3:00 p.m. – 4:00 p.m.
Rick Fulle	Noon – 11:00 p.m.	4:00 p.m. – 5:00 p.m.

Field Program

Eric Donnewald (request)

East St. Louis

Judges Schools GE 2014

Date	Jurisdiction	No. of Schools	Attendance	Zone
Tuesday, August 19, 2014	Clay	2	110	One
Tuesday, August 26, 2014	McLean	2	29	Three
Wednesday, August 27, 2014	McLean	2	29	Three
Thursday, August 28, 2014	Woodford	2	206	Three
Tuesday, September 09, 2014	Wabash	2	78	One
Wednesday, September 10, 2014	Aurora	3	127	Four
Wednesday, September 10, 2014	Coles	3	188	Three
Wednesday, September 10, 2014	Richland	2	88	One
Thursday, September 11, 2014	Aurora	3	108	Four
Thursday, September 11, 2014	Lawrence	2	100	One
Friday, September 12, 2014	Aurora	3	61	Four
Monday, September 15, 2014	Boone	3	155	Four
Wednesday, September 17, 2014	Montgomery	4	133	Two
Monday, September 22, 2014	Logan	1	82	Three
Tuesday, September 23, 2014	Franklin	2	107	One
Wednesday, September 24, 2014	Franklin	1	47	One
Wednesday, September 24, 2014	Hardin	1	36	One
Wednesday, September 24, 2014	Pope	1	32	One
Thursday, September 25, 2014	Calhoun	2	37	Two
Thursday, September 25, 2014	Edwards	2	62	One
Saturday, September 27, 2014	St Clair	2	151	One
Monday, September 29, 2014	Christian	2	167	Three
Monday, September 29, 2014	Dekalb	3	155	Four
Monday, September 29, 2014	JoDaviess	2	114	Four
Tuesday, September 30, 2014	Dekalb	3	156	Four
Tuesday, September 30, 2014	Stephenson	2	168	Four

Date	Jurisdiction	No. of Schools	Attendance	Zone
Wednesday, October 01, 2014	Logan	1	30	Three
Wednesday, October 01, 2014	Pulaski	1	34	One
Wednesday, October 01, 2014	St Clair	2	204	One
Thursday, October 02, 2014	Logan	1	29	Three
Thursday, October 02, 2014	Saline	3	141	One
Thursday, October 02, 2014	St Clair	3	290	One
Saturday, October 04, 2014	St Clair	2	123	One
Monday, October 06, 2014	Monroe	3	110	One
Tuesday, October 07, 2014	Monroe	3	110	One
Wednesday, October 08, 2014	Clinton	2	0	One
Wednesday, October 08, 2014	Galesburg	3	0	Three
Wednesday, October 08, 2014	Jersey	2	0	Two
Thursday, October 09, 2014	Henderson	2	0	Two
Thursday, October 09, 2014	Washington	2	0	One
Friday, October 10, 2014	Alexander	1	0	One
Monday, October 13, 2014	Jefferson	2	0	One
Tuesday, October 14, 2014	Gallatin	1	0	One
Tuesday, October 14, 2014	Morgan	3	0	Two
Tuesday, October 14, 2014	Wayne	2	0	One
Wednesday, October 15, 2014	Jasper	2	0	One
Wednesday, October 15, 2014	Morgan	1	0	Two
Wednesday, October 15, 2014	Scott	1	0	Two
Thursday, October 16, 2014	Aurora	3	0	Four
Thursday, October 16, 2014	Cass	2	0	Two
Thursday, October 16, 2014	Fayette	2	0	One
Friday, October 17, 2014	Aurora	2	0	Four
Monday, October 20, 2014	Fulton	2	0	Two
Monday, October 20, 2014	Marion	1	0	One
Monday, October 20, 2014	Randolph	2	0	One

Date	Jurisdiction	No. of Schools	Attendance	Zone
Tuesday, October 21, 2014	Marion	2	0	One
Tuesday, October 21, 2014	Menard	2	0	Two
Tuesday, October 21, 2014	Shelby	3	0	Three
Wednesday, October 22, 2014	Brown	2	0	Two
Wednesday, October 22, 2014	Effingham	3	0	One
Wednesday, October 22, 2014	Hamilton	2	0	One
Thursday, October 23, 2014	Clark	2	0	Three
Thursday, October 23, 2014	Cumberland	2	0	Three
Thursday, October 23, 2014	Pike	2	0	Two
Saturday, October 25, 2014	E St Louis	2	0	One
Monday, October 27, 2014	Massac	2	0	One
Tuesday, October 28, 2014	Greene	2	0	Two
Tuesday, October 28, 2014	Putnam	2	0	Four
Tuesday, October 28, 2014	Williamson	2	0	One
Wednesday, October 29, 2014	Dewitt	1	0	Three
Wednesday, October 29, 2014	Union	2	0	One
Thursday, October 30, 2014	Marshall	2	0	Three

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

MEMORANDUM

TO: Chairman Smart, Vice Chairman Scholz, Members of the Board
Executive Director Rupert T. Borgsmiller

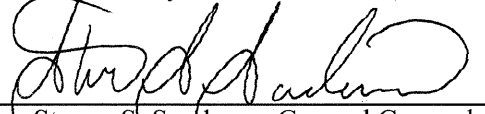
From: Steve Sandvoss, General Counsel

Re: Complaints for Violation of HAVA Title III

Date: September 4, 2014

At its last meeting, the Board was served with two complaints for a Title III HAVA violation by the Executive Director of Defend the Vote, Sharon Meroni. [Case# 14 HAVA 001 and 14 HAVA 002] Pursuant to Section 150.30 of the SBE Rules, the General Counsel is required to conduct a preliminary review of said Complaints, to determine whether they meet the criteria set forth in Section 150.30, to wit; A) The complaint alleges a violation of Title III of the Act; B) The complaint pertains to a federal election; and C) The complaint states sufficient facts as to constitute a cause of action under the Act for which the Board can grant appropriate relief. For the reasons stated in the written response (located on the several pages that follow this Memo), I recommend that the Complaint be dismissed.

Respectfully Submitted,


Steven S. Sandvoss, General Counsel

BEFORE THE ILLINOIS STATE BOARD OF ELECTIONS

SHARON ANN MERONI,
Complainant

Vs

14 HAVA 001

ILLINOIS STATE BOARD OF
ELECTIONS, et al., Respondents

GENERAL COUNSEL'S PREMIMINARY REVIEW OF HAVA
COMPLAINT RELATING TO ALLEGED CONFLICT BETWEEN
26 Ill.Adm.Code 150.15 AND 42 U.S. Code § 15512(a)(2)(B)

On August 22, 2014, Sharon Ann Meroni (the "Complainant") filed a HAVA complaint against the Illinois State Board of Elections (the "Board") alleging that the 90 day filing period for HAVA complaints under 26 Ill.Adm.Code 150.15 impermissibly conflicts with the right to file HAVA complaints under 42 U.S. Code § 15512(a)(2)(B). The Complainant requested a hearing before the Board.

Where a HAVA complaint is filed against the Board, and alternative dispute resolution is waived so as to proceed to hearing before the SBE, 26 Ill.Adm.Code 150.30(b)(1) requires the General Counsel to determine whether the Complaint:

- (A) alleges a violation under Title III of HAVA
- (B) pertains to a federal election, and
- (C) states sufficient facts to constitute a cause of action under HAVA for which the Board can grant appropriate relief.

It appears that the first two criteria are met. The Complaint contains a cursory allegation that the Illinois Administrative Code provision conflicts with a section contained in Title III of HAVA.

The Complaint is deficient as to the third criterion. HAVA's section 42 U.S. Code § 15512(a) requires that states receiving HAVA funds establish administrative complaint procedures, and that:

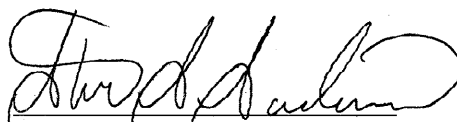
- (B) Under the procedures, any person who believes that there is a violation of any provision of title III (including a violation which has occurred, is occurring, or is about to occur) may file a complaint. 42 U.S. Code § 15512(a)(2)(B).

The Complaint requests that the Board strike 26 Ill.Adm.Code 150.15 based upon the allegation that its 90 day timeframe for filing an administrative complaint for alleged HAVA violations conflicts with the federal law.¹

The Board does not have the authority to strike portions of the Illinois Administrative Code. Therefore, the Complaint does not state a cause of action for which the Board can grant appropriate relief.

For the reasons detailed above, I have determined that the Complaint does not state sufficient facts as to constitute a cause of action under HAVA. Therefore, pursuant to 26 Ill.Adm.Code 150.30(b)(2), I am presenting the Complaint to the Board for a final determination of its status. In addition, I am notifying the Complainant of my determination and her right to appear before the Board to show cause why the Complaint should not be dismissed.

Respectfully Submitted,



Steven Sandvoss
General Counsel

¹ 26 Ill.Adm.Code 150.15 provides:

Section 150.15 Filing of a Complaint

Any person who believes that a violation of any provision of Title III of the Act has occurred, is occurring or is about to occur may file a complaint with the State Board of Elections. The complaint must be filed no later than 90 days after the occurrence of the violation or 90 days after the federal election in connection with which the violation occurred, whatever date is later. Any complaint filed under this Section must allege a violation of Title III of the Act, state specifically the nature of the violation and be sufficiently grounded in fact and in law. In addition, the complaint must state whether the complainant desires a hearing on the record before the State Board of Elections.

BEFORE THE ILLINOIS STATE BOARD OF ELECTIONS

SHARON ANN MERONI,
Complainant

Vs

14 HAVA 002

ILLINOIS STATE BOARD OF
ELECTIONS, et al., Respondents

GENERAL COUNSEL'S PREMIMINARY REVIEW OF HAVA
COMPLAINT RELATING TO APPROVAL OF VOTING
SYSTEM WHICH ALLEGEDLY DOES NOT MEET THE
42 U.S. Code § 15481(a)(5) REQUIREMENTS FOR
VOTING SYSTEM STANDARDS ERROR RATES

On August 22, 2014, Sharon Ann Meroni (the "Complainant") filed a HAVA complaint against the Illinois State Board of Elections (the "Board") alleging that the Dominion Voting System ("Dominion") WinEDS 4.0 voting system (the "Dominion System") approved by the Board and used in the City of Chicago and suburban Cook County fails to meet the requirements of 42 U.S. Code § 15481(a)(5). The Complainant requested a hearing before the Board.

Where a HAVA complaint is filed against the Board, and alternative dispute resolution is waived¹ so as to proceed to hearing before the SBE, 26 Ill.Adm.Code 150.30(b)(1) requires the General Counsel to determine whether the Complaint:

- (A) alleges a violation under Title III of HAVA
- (B) pertains to a federal election, and
- (C) states sufficient facts to constitute a cause of action under HAVA for which the Board can grant appropriate relief.

It appears that the first two criteria are met. The Complaint contains a cursory allegation that the Dominion System fails to meet the error rates requirement pursuant to a section contained in Title III of HAVA, and the two jurisdictions (Cook County and Chicago) use the Dominion System in all elections, including federal ones.

The Complaint is deficient as to the third criterion. The HAVA section in question, 42 U.S. Code § 15481(a)(5), simply provides that voting systems must meet the standards for error rates set by the Federal Election Commission's 2002 voting systems standards.² The acceptable testing error rates under the federal standard are no more than 1 error for every 500,000 ballot positions read.

¹ By requesting a hearing on the record before the State Board of Elections, it is presumed that the Complainant has waived Section 150.30 (a) which provides for Complaints filed against the Board to be resolved by Alternative Dispute Resolution pursuant to Section 150.145, unless waived by the Complainant.

² 42 U.S. Code § 15481(a)(5) provides:
(5) Error rates

The Complaint does not allege any particular excessive error rate for the Dominion System. Instead, the Complaint appears to take the position that an error rate violation is to be implied from Dominion having withdrawn its application to the federal Election Assistance Commission (the "EAC") prior to final approval of the Dominion System.

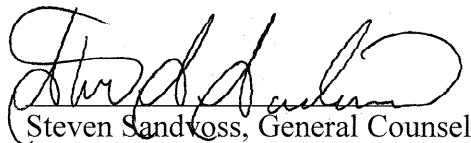
Final approval from the EAC is not a prerequisite to voting system approval in Illinois. The Illinois Election Code requires that the Board approve direct recording electronic voting systems (like the Dominion System) which:

... fulfill the functional requirements provided by Section 24C-11 of this Code, the mandatory requirements of the federal voting system standards pertaining to Direct Recording Electronic Voting Systems promulgated by the Federal Election Commission or the Election Assistance Commission, the testing requirements of an approved independent testing authority and the rules of the State Board of Elections. 10 ILCS 5/24C-16.

The Dominion System has met the standards for approval set forth in 10 ILCS 5/24C-16. The SBE staff's testing found compliance with state standards. Two approved independent testing authorities found compliance with federal standards. These two testing authorities were iBeta Quality Assurance ("iBeta") and SLI Global Solutions ("SLI"). The iBeta tests did not reveal any errors, and in fact their opinion was that the system met the 2002 FEC Voting System Standards, including the error rates set forth in Section 3.2.1 (See footnote below.). The SLI test did reveal a situation where a "No" vote was recorded on a ballot card where there was no corresponding vote on said card. It was determined however that this was caused by an ink to paper fusion issue, ie; the problem stemmed from the print quality of the ballots used for testing rather than the voting system itself. The SBE staff testing included running of more than 590,129 ballots with more than 12,822,133 ballot positions and showed zero errors in testing, which was well below the federal standard's acceptable error rate. These figures were of testing completed in 2009, 2010, 2012 and 2014 (See Attachment C).

For the reasons detailed above, I have determined that the Complaint does not state sufficient facts as to constitute a cause of action under HAVA. Therefore, pursuant to 26 Ill.Adm.Code 150.30(b)(2), I am presenting the Complaint to the Board for a final determination of its status. In addition, I am notifying the Complainant of my determination and her right to appear before the Board to show cause as to why the Complaint should not be dismissed.

Respectfully Submitted,



(Steven Sandvoss, General Counsel

The error rate of the voting system in counting ballots (determined by taking into account only those errors which are attributable to the voting system and not attributable to an act of the voter) shall comply with the error rate standards established under section 3.2.1 of the voting systems standards issued by the Federal Election Commission which are in effect on October 29, 2002 (See Attachment A and B).

3.2.1 Accuracy Requirements

Voting system accuracy addresses the accuracy of data for each of the individual ballot positions that could be selected by a voter, including the positions that are not selected. For a voting system, accuracy is defined as the ability of the system to capture, record, store, consolidate and report the specific selections and absence of selections, made by the voter for each ballot position without error. Required accuracy is defined in terms of an error rate that for testing purposes represents the maximum number of errors allowed while processing a specified volume of data. This rate is set at a sufficiently stringent level such that the likelihood of voting system errors affecting the outcome of an election is exceptionally remote even in the closest of elections.

The error rate is defined using a convention that recognizes differences in how vote data is processed by different types of voting systems. Paper-based and DRE systems have different processing steps. Some differences also exist between precinct count and central count systems. Therefore, the acceptable error rate applies separately and distinctly to each of the following functions:

- a. For all paper-based systems:
 - 1) Scanning ballot positions on paper ballots to detect selections for individual candidates and contests;
 - 2) Conversion of selections detected on paper ballots into digital data;
- b. For all DRE systems:
 - 1) Recording the voter selections of candidates and contests into voting data storage; and
 - 2) Independently from voting data storage, recording voter selections of candidates and contests into ballot image storage.
- c. For precinct-count systems (paper-based and DRE):

Consolidation of vote selection data from multiple precinct-based systems to generate jurisdiction-wide vote counts, including storage and reporting of the consolidated vote data; and
- d. For central-count systems (paper-based and DRE):

Consolidation of vote selection data from multiple counting devices to generate jurisdiction-wide vote counts, including storage and reporting of the consolidated vote data.

For testing purposes, the acceptable error rate is defined using two parameters: the desired error rate to be achieved, and the maximum error rate that should be accepted by the test process.

For each processing function indicated above, the system shall achieve a target error rate of no more than one in 10,000,000 ballot positions, with a maximum acceptable error rate in the test process of one in 500,000 ballot positions.

From: psims@eac.gov [mailto:psims@eac.gov]
Sent: Monday, January 10, 2005 1:25 PM
To: Felts, Dianne
Cc: bhancock@eac.gov
Subject: HAVA and 2002 Standards

Dear Dianne:

A staff member just forwarded to me your October 25, 2004 email question to *HAVAinfo.gov*, in which you ask about the error rates in the 1990 and the 2002 voluntary Voting System Standards. I apologize for the delayed response. EAC staff was inundated with inquiries regarding the upcoming election at about that time and for some weeks thereafter. It has taken us awhile to catch up.

The error rates in the 1990 and 2002 standards are different. During the process of updating the 1990 standards, the test authorities and technical consultants used by Georgia, Florida, and Texas agreed that the combination of requirements in the 1990 standards used to determine error rate was unnecessarily confusing. They also recommended a uniform error rate for all voting systems that, in some cases, sets the bar higher. For your convenience, I have provided a summary of the 2002 accuracy requirement (contained in section 3.2.1 of the 2002 standards), followed by the excerpts from the 1990 standards that dealt with error rate in punchcard systems.

Explanation of the

2002 Voting System Standards Error Rate

In the 2002 Voting System Standards, the term "error rate" applies to errors introduced by the system hardware and software, and not by a voter's action such as the failure to mark a ballot in accordance with instructions. The updated accuracy standard is defined as a ballot position error rate. Each location on a paper ballot card or electronic ballot image where a vote may be entered represents a ballot position.

Target Error Rate

The error rate applies to specific system functions, such as recording a vote, storing a vote and consolidating votes into vote totals. The Standards set one error rate for the system, which is the Target Error Rate of a maximum of one error in 10,000,000 ballot positions. The FEC anticipates that this error rate will remain stable for the foreseeable future.

Testing Error Rate

The 2002 Standards also provide for a statistical Testing Error Rate, which is the maximum acceptable rate in the test process of one error in 500,000 positions. Systems meeting this testing error rate are deemed to have met the Target Error Rate.

Ballot position means the available target areas on a ballot for the voter to cast a vote, (including over votes and under votes) to be recorded and tabulated on a voting system.

This below number reflects the available and tested ballot positions in our full certification testing/modification testing of Sequoia/Dominion:

2009: 6,296,010 ballot positions tested. No tabulating errors detected.

2010: 386,033 ballot positions tested. No tabulating errors detected.

2012: 6,115,919 ballot positions tested. No tabulating errors detected.

2014: 24,171 ballot positions tested. No tabulating errors detected.

Total Ballot Positions Tested: 12,822,133.00

HAVA TITLE III COMPLAINT

Complainant,)

SHARON ANN MERONI,)
One West Surrey Lane)
Barrington Hills, IL 60010)
847-394-8800 Phone)
224-357-8366 Fax)

Respondents,)

Illinois State Board of Elections:)
Jesse R. Smart (Chairman))
Charles W. Scholz (Vice Chairman))
William M. McGuffage)
Harold D. Byers)
Betty J. Coffrin)
Ernest L. Gowen)
Bryan A. Schneider)
Casandra B. Watson)
Rupert T. Borgsmiller (Executive Director))
Steve Sandvoss (General Counsel))

TITLE III HAVA COMPLAINT

Now comes SHARON ANN MERONI (hereinafter referred to as the "Complainant"), and for her Complaint states as follows:

1. The Complainant resides at One Surrey Lane, Village of Barrington Hills, in the County of McHenry in the State of Illinois, and as of the date of filing of this complaint is duly qualified, registered and a legal voter at such address.

2. The Complainant's interest in filing this Petition is that of a voter desirous that the federal and state laws governing the administration of elections are properly complied with.

2. The Complainant has other HAVA Title III complaints that she wishes to file against the Illinois State Board of Elections..
3. The Complainant asserts that Sec 402 (B) allows her the right to file a HAVA Title III complaint outside of the 90 day restive clause found in Illinois Administrative Rule Title 26: Elections Chapter I Part 150.15. This Rule states: *"The complaint must be filed no later than 90 days after the occurrence of the violation or 90 days after the federal election in connection with which the violation occurred, whatever date is later."*
4. Illinois Administrative Code 150.15 has a restrictive clause which mandates HAVA Title III complaints must be filed 90 days after an occurrence or 90 days after the federal election in connection with which the violation occurred. The Complainant alleges that SEC. 402. 42 USC 15512 (B) permits *"Under the procedures, any person who believes that there is a violation of any provision of title III (including a violation which has occurred, is occurring, or is about to occur) may file a complaint"*.
5. The Complainant's rights as permitted by Title III are violated by this Rule.

RESOLUTIONS

Therefore the Complainant desires a hearing on the record before the State Board of Elections.

Therefore the Complainant requests that the current Illinois Administrative Rule Title 26:

Elections Chapter I Part 150.15 be stricken.

Therefore the Complainant requests that the Illinois State Board of Elections responds to her other HAVA Title III objection.

Therefore the Complainant shall receive all other benefits, rights, and relief afforded to her because of this violation of her rights.

Verification

"I declare that this complaint (including any accompanying exhibits and statements) has been examined by me and to the best of my knowledge and belief is a true and correct complaint as required by Section 402 of the Help America Vote Act."



Sharon Ann Meroni

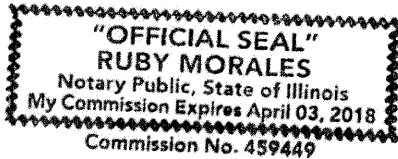
Signed and sworn to (or affirmed) by Sharon Meroni

before me on this day of August 22, 2014



Signature of Notary Public

(SEAL OF NOTARY)



HAVA TITLE III COMPLAINT

Complainant,

SHARON ANN MERONI,
One West Surrey Lane
Barrington Hills, IL 60010
847-394-8800 Phone
224-357-8366 Fax

Respondents,

Illinois State Board of Elections:
Jesse R. Smart (Chairman)
Charles W. Scholz (Vice Chairman)
William M. McGuffage
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Bryan A. Schneider
Casandra B. Watson
Rupert T. Borgsmiller (Executive Director)
Steve Sandvoss (General Counsel)

HAVA TITLE III COMPLAINT

Now comes SHARON ANN MERONI (hereinafter referred to as the "Complainant"), and for her Complaint states as follows:

1. The Complainant resides at One Surrey Lane, Village of Barrington Hills, in the County of McHenry in the State of Illinois, and as of the date of filing of this complaint is duly qualified, registered and a legal voter at such address.

2. The Objector's interest in filing this Petition is that of a voter desirous that the federal and state laws governing the administration of elections are properly complied with, and that all voting systems in use in Illinois, which are certified for use by the Respondents, comply with Federal HAVA Title III laws and Illinois State law such that only legally certified and

qualified voting systems election equipment is certified or used for elections in the State of Illinois.

PROVISION OF THE ACT VIOLATED

Title III of Help America Vote Act (HAVA)

§15481. Voting systems standards (a) Requirements

Each voting system used in an election for Federal office shall meet the following requirements

(5) Error rates

The error rate of the voting system in counting ballots (determined by taking into account only those errors which are attributable to the voting system and not attributable to an act of the voter) shall comply with the error rate standards established under section 3.2.1 of the voting systems standards issued by the Federal Election Commission which are in effect on October 29, 2002.

TIME AND PLACE

This timing of this complaint is that a violation of Title III has occurred, is occurring and will continue to occur until the election system in use in Chicago and Suburban Cook County are replaced or brought into compliance with Part (5). The facts of this complaint will outline violations that go back to the 2012, 2013, and March 2014 elections held in Chicago and Suburban Cook County election jurisdictions in the State of Illinois. These elections include the Federal General election in November 2012, the Special Congressional election, and the two Consolidated elections in 2013, and the Federal Primary in 2014.

URGENCY

This matter is URGENT because the Respondents have proposed in their Board Agenda for August 22nd, 2014 to assign a 2 year Interim Approval for the same election equipment that the Complainant has already informed this Board is not compliant with Part (5) of Title III.

NATURE OF THE COMPLAINT

Illinois election code 5/24c-16 requires that all voting equipment be approved by the Illinois State Board of Elections, fulfill functional requirements provided by Section 24c-11, mandatory requirements of the federal voting system standards pertaining to Direct Recording Electronic Voting Systems promulgated by the FEC or the EAC, the testing requirements of an approved independent testing authority and the rules of the State Board of Elections.

The Dominion Voting systems in use in Chicago and Suburban Cook County fail to meet these requirements.

These systems cannot be approved because Dominion has stopped the EAC and independent lab testing and certification process prior to approval for the error rate testing as required as part of the 2002 Voting Systems Standards and Title III Part (5).

- 1) These systems cannot be approved because Dominion removed itself from the EAC approval process without receiving the final approval of any independent lab or the EAC.
- 2) These systems cannot be approved because when requested by the EAC to submit their election systems to further error testing following failed tests from the independent labs, Dominion Voting responded by pulling the election system from the EAC certification process.
- 3) These systems cannot be approved because the voting system and related election equipment has never been certified to pass the 2002 Voting System Standards error testing rate as mandated in Part (5)
- 4) All 2 Year Interim Approvals by the Illinois State Board of Elections have expired, or will

expire on October 18th, 2013 or September 6th, 2014.

5) Dominion cannot request another 2 Year Interim Approval, make any changes or upgrades to the current systems, nor can they request a final approval because they are no longer in the process of getting their systems certified.

6) Accordingly, the Complainant asserts the Illinois State Board of Elections cannot re-authorize these systems.

7) On August 19, 2014, the ISBE placed on its August 22nd Agenda an action to grant a two year interim approval to the WINEDS 4.0 voting system that the Complainant alleges cannot be lawfully certified in Illinois. The results from testing the related upgrade with a USB memory stick are fraught with error and the Board has failed to make documentation available to the Public in time to review these test results, thereby preventing the Complainant from the opportunity to contest this Interim Approval.

8) The Complainant asserts that the Illinois State Board of Elections was duly informed on three separate occasions in 2013 that the voting system in question do not comply with Illinois or Federal HAVA laws and should not be re-certified for a 2 year interim approval. They have failed to act in the public's interest on this matter.

STATEMENT OF FACTS

The Complainant asserts that the Illinois State Board of Elections has knowingly permitted uncertified election equipment to be used in multiple elections in Illinois by Chicago and Suburban Cook County election authorities. These elections include the Federal General election in November 2012, the Special Congressional election, and the two Consolidated elections in 2013.

Specifically, the voting system in question is the WinEDS 4.0, HAAT, Edge2Plus, Insight optical scanner, and the 400c Central optical scanner. In Illinois, this equipment is used exclusively in Chicago and Suburban Cook County.

a. Insight k2.16 - In-Precinct Paper Ballot Scanner:

The Complainant asserts that the Dominion/Sequoia Insight ballot scanner used in Suburban Cook County and Chicago is not currently certified and cannot meet the legal requirements for certification. The Complainant asserts the last legal ISBE 2 year Interim Approval was on September 15, 2010. (See HAAT below for related references.)

In an email dated October 2, 2013, ISBE Executive Director, Mr. Rupert Borgsmiller claimed the last certification for the Insight was September 06, 2012 along with the ImageCast voting system; apparently as an umbrella-type Interim Approval. However, the request for Interim Approval for the ImageCast voting system was specific and did not include the Insight, and specifically excludes it because the ImageCast is a “new system” designed to replace the Insight and the 400c. Further, any testing of Insight during the ImageCast certification process was not with the voting system used in Chicago or Suburban Cook County. (*Documentation for these claims includes: Application by Dominion revised for March 30, 2011, September 6th Memorandum Request for Certification, Board Minutes September 17, 2012*)

The Complainant asserts that the voting system that includes the Insight has not been independently approved to meet Part (5) error testing standards by an authorized lab. Consequently, it is impossible for the Insight to meet Illinois Law or Federal Title III

requirements.

Accordingly, as laws and certifications currently stand, this equipment cannot be granted interim certification for use in Illinois.

b. HAAT - Hybrid Activator, Accumulator, and Transmitter- Versions 2.6.34 and 2.6.39

- **HAAT (2.6.34) Suburban Cook County:** Cook County's HAAT 2.6.34 does not have a valid Interim Approval. The last Interim Approval was authorized on September 15, 2010. It expired two years later on September 15, 2012, and was never renewed.
- **HAAT (2.6.39) Chicago:** While Chicago has the most current version of the HAAT, this version was specifically designed for a completely different voting system, the ImageCast, which Chicago does not have. Changes to the HAAT were made to accommodate the "new system."

Therefore, the Complainant asserts this is an unauthorized use of HAAT 2.6.39's Interim Approval.

- Regardless, the HAAT cannot be EAC approved. In September 2010, the ISBE granted Interim Approval contingent on iBeta's acceptance report. The contingencies were not met.

"Diane Felts summarized her report and noted the current two year

certification was contingent on positive results of the iBeta acceptance report...” (ISBE Minutes/September 20, 2010)

- iBeta’s September 8, 2010 letter to recommend approval of the voting system was rejected by the EAC. The EAC found problems with iBeta’s test report and a subsequent report by SLi Global. The EAC requested further testing.

“As a result of these issues EAC does not have a sufficient level of confidence in the testing and resolution of the discrepancies to accept the test report and recommend initial certification. In order for EAC to state with confidence that the system has passed all applicable requirements and therefore accept the test report. EAC requests that the following happen:

- 1. Repeat ESD testing on the Edge2Plus (1.2.74) and HAAT (2.6.34) components of the system in order to provide assurance that the issues identified are no longer present in the system.*
- 2. Conduct additional volume testing to ensure that system meets the requirements identified in discrepancy #335 (Letter: EAC (Masterson) to Dominion (Smith) 1/20/11)*

Rather than submit their voting system to further testing to assure 2002 voting system standards were met, Dominion withdrew WinEDS 4.0 from the EAC certification program on February 27, 2013. This HAAT’s Interim Approval cannot be renewed because it does not meet the requirements of 10 ILCS 5/24c-16.

c. Edge Plus 2- DRE or Electronic Voting machines. E2P:1.2.67 and 1.2.77

- **Cook County's Edge2Plus (E2P: 1.2.67):** The Edge2Plus is a DRE or electronic voting machine. The Interim Approval for this version ran from September 15, 2010 to September 15, 2012. On October 18, 2011, the Board approved an upgrade of the Edge2Plus to 1.2.74. Cook County never upgraded to the new version. In addition, the version used in Cook County (E2P: 1.2.67) was not included in the September 6, 2012 Interim Approval.
- **Chicago's Edge2Plus is 1.2.77:** This is a version specifically modified to accommodate a different voting system, the ImageCast. This voting system is not in use in Chicago. Changes to the Edge2Plus 1.2.74 were made to accommodate this "new system" which Chicago does not have.
- Accordingly, due to requirements in 10 ILCS 5/24c-16, because Dominion has withdrawn from the EAC 2002 voting system standards certification program, the Edge2Plus voting system and related software and firmware cannot receive further Board approval in Illinois.
- The Complainant asserts failing to meet 2002 Voting Systems Standards adopted in 2003 by this Board, the Edge2Plus cannot be further authorized for further 2 year Interim Approvals for future elections in Illinois.

d. Edge2Plus, HAAT, and 400c Fails Testing - WinEDS 4.0 Withdrawn from EAC

Certification Program:

Timeline Narrative: November 30, 2010 iBeta removes itself from the EAC testing and certification program. In a 1/20/11 letter, the EAC rejects iBeta's findings and requests further testing. This letter also serves to give notice to the ISBE of the fact that the voting system did not meet 2002 voting system standards. March 3, 2011 EAC approves SLI Global as the new testing lab for Dominion, replacing iBeta. June 8th 2012, SLI Global, after finding multiple unresolved errors, recommends for certification pending EAC's acceptance of the root cause analysis of these failed tests and the subsequent mitigation plans from Dominion. In September 2012, the EAC requires Dominion to have the failed error tests rerun before they will certify the system because they do not accept the root cause analysis and subsequent mitigation plans from Dominion. On February 27, 2013, rather than conduct the tests, Dominion removes the voting system from the EAC testing program.

Dominion withdrew the entire WinEDS 4.0 voting system from EAC certification and makes this incredible statement to the Illinois State Board of Elections: *"You are receiving this email because your State either has certified WinEDS 4.0 or has expressed interest in doing so once it clears EAC certification. Unfortunately after years of testing, re-testing, and numerous on-site and telephonic meetings, we have been unable to instill an adequate level of confidence in the WinEDS system at the EAC such that the staff there would choose to certify it"* (email from Ed Smith to ISBE dated March 7, 2013)

The Illinois State Board of Elections knew by January 20th, 2011 that the WinEDS system did not pass the EAC required testing and on February 27th, 2013 that the voting system would never meet the requirements for ILCS 5/24c-16.

The ISBE failed to act to protect the voters before the elections in 2013 or in March of 2014. The Respondents now seek to re-certify this voting system for use in the November 2014 Federal and Gubernatorial elections despite knowing it has not passed 2002 Voting System Standards.

e. 400c Central Ballot Scanner:

This ballot scanner is used for counting ballots at the election jurisdiction's main office; especially absentee ballots. The 400c is part of the WinEDS system that was withdrawn from EAC certification. The 400c failed to pass the error testing required in Part (5). The Complainant asserts this system is not legally certified for use in Illinois and cannot be re-used.

Testing of this machine has found significant security issues and should not be ignored.

The 400c is renowned for security issues and specifically failed the independent lab error tests. The 400c has not been approved by an independent lab. The September 6th, 2012 ImageCast system did not include the 400c, it replaced it. **Testing of this machine has found significant security issues.**

Further, an outside organization, Defend the Vote along with Argonne National

Laboratory, conducted a Vulnerability Assessment of Chicago and Suburban Cook County's use of the 400c and reported significant security lapses in how these machines are used to tally the absentee ballots in Chicago and Suburban Cook County election jurisdictions.

Neither Chicago nor Suburban Cook County have adequately addressed these serious and substantial security concerns.

f. WinEDS 4.0.175 election management system:

WinEDS 4.0 handles ballot production and the tally of election results for the Insight, Edge2lus, HAAT, and the 400c. WinEDS 4.0: Includes the Insight, Edge2lus, HAAT, and the 400c. This voting system was granted a 2 year contingent-based Interim Approval on Sept 15th 2010. The iBeta testing contingency was never met. Dominion withdrew WinEDS 4.0 from the EAC certification program on February 27, 2013. The Respondents' authorized Interim Approval expired on September 15th 2012 and cannot be renewed.

The WinEDS 4.0 voting system was withdrawn from EAC testing and does not meet the standards of Illinois and Federal HAVA Title III Law.

g. September 6th 2012 ImageCast Interim Approval:

This approval only covered the following: Dominion's ImageCast In-Precinct and ImageCast Central Count Voting Systems consisting of the following components: ICP

4.6.4, ICC 4.6.3, HAAT 2.6.39, EMS 4.6.07, and Edge II Plus 1.2.77

Narrative: Dominion made the decision to withdraw the WinEDS system from EAC approval and they stopped manufacturing the system. Positioning themselves to remain viable in the Chicago and Suburban Cook County market, they sought Interim Approval of a new voting system, the ImageCast. The ImageCast Precinct (ICP) and the ImageCast Central (ICC) are the two components replacing the Insight Ballot Scanner, the Edge2Plus, the HAAT, and the 400c Central Scanner. On September 6, 2012 Interim Approval for the ImageCast system was granted. Diane Felds and all paperwork specifically referenced this was a "new system."

Voting system is defined in Illinois Code as *"voting system" or "electronic voting system" means that combination of equipment and programs used in the casting, examination and tabulation of ballots and the cumulation and reporting of results by electronic means. (Source: P.A. 93-574, eff. 8-21-03.)*

The Illinois State Board of Elections has attempted to claim that multiple and separate voting systems (the WinEDS 4.0 and the ImageCast) were certified in one Board motion on September 6, 2012. This cannot be supported by the facts in the matter.

Further, this newly formed voting system has never been submitted for, tested, or approved to certify that it meets the 2002 voting system standards as required by Illinois law.

September 6th 2012 ImageCast Interim Approval: This September 6, 2012 Interim Approval was for a voting system not in use in Illinois. The new and approved voting system includes 2 new optical scanners not in use in Illinois.

Consequently, the complainant asserts that the Illinois State Board of Elections exceeded its authority by permitting the testing of this system in the first place. See part h (below) discussion of the consequence of a March 2009 Policy change.

(Documentation – ImageCast Certification Application, Felts September 6th, 2012

Memorandum, September 17th, 2012 Board Minutes, and October 10th 2012 ISBE (Felts) to Dominion (Smith) letter)

h. Consequences of Policy Change:

In March of 2009, under a new policy, the Board decided to permit Interim Approvals of election equipment that had not passed the EAC certification process. General Counsel, Steve Sandvoss, reported on the fact that the Board would have to revisit Interim Approvals should there be a change from the EAC.

March 20, 2009 Memorandum from General Counsel Steve Sandvoss states:

“In 2003, the SBE by majority vote adopted the voluntary system standards (the 2002 version) established by the FEC. Since then the EAC has assumed responsibility for establishing such standards and providing a method by which voting systems can be tested for compliances with these and other standards.

Even had the SBE not done so, Section 24C-16 requires that all voting systems fulfill the functional requirements provided by the mandatory standards set by the EAC and for which the SBE currently tests, prior to final approval by the SBE. In any event, the adoption or non-adoption of these standards in no way impacts the order in which the "state testing" is to be done.

"Conclusion:

"Whether the Board elects to begin testing of a particular voting system prior to that system being tested and approved by the VSTL/EAC is a policy decision of the Board that is not prohibited by HAVA, Section 24C-16, or the SBE Rules and Regulations. However the SBE must be made aware that if the decision is made to undertake this change in past practice, it would expose the SBE to risk of having to re-test any equipment or modifications thereto in the event that the subsequent federal testing requires a modification."

Mr. Sandvoss and the Board apparently did not anticipate that the change causing a conflict would be Dominion's withdrawal of the voting systems from certification by the EAC or any EAC approved independent laboratory because it failed to meet the 2002 voting system standards required by ISBE rules and Illinois Law.

The Complainant asserts that this policy change allowed for the Board to approve voting systems that have never been and will never be submitted for 2002 Voting System Standards or to an outside lab for approval.

The Complainant asserts that the ISBE knew by January 20th, 2011 that the WinEDS system did not pass the EAC required testing and on February 27th, 2013 that the voting system would never meet the requirements for ILCS 5/24c-16. The Board has failed to alert the public of this problem.

The Complainant asserts the Board exceeded its legal authority as a consequence of this policy change.

According to 10 ILCS 5/24c-16: *requirements include passing the “mandatory requirements of the federal voting system standards pertaining to Direct Recording Electronic Voting Systems promulgated by the Federal Election Commission or the Election Assistance Commission, the testing requirements of an approved independent testing authority, and the rules of the State Board of Elections.” (Sec. 24C-16)*

As a result of this policy decision, Chicago and Suburban Cook County have been operating elections on voting systems that do not meet Illinois or Federal 2002 voting systems standards.

Neither Federal nor Illinois Law allows for the certification of a voting system which will never be approved or even tested by an outside lab to meet minimum 2002 standards.

i. March 29th 2013 Letter from Rupert Borgsmiller, Executive Director of the Board:

On March 29th 2013, Mr. Borgsmiller provides a factually incomplete narrative to the Board of the various Interim Approvals of the Dominion/Sequoia WinEDS 4.0 voting

system, stating the last approval was on April 23rd, 2009.

Mr. Borgsmiller makes this concluding statement: *"I am now at the point in time of simply informing the Board that this is what transpired since 2007/09 regarding Sequoia/Dominion's WinEDS 4.0. Cook County and Chicago Board of Election Commissioners have used WinEDS 4.0 since 2010; this would mean it has tabulated accurately for 8 elections. See attached letters. I believe that since we have a federally accredited laboratory report that says the system follows the current federal voting system standards that there is no problem with using this system in Illinois."*

This conclusion is not supported by the facts. The lab results from iBeta and SLi Global, when reviewed by the EAC, did not meet the 2002 voting systems standards required by Illinois Law and ISBE Board Rules for certification. Both lab reports had findings that were conditional on EAC acceptance. Further, some of the versions of the WinEDS system that were tested by these labs are either not in use any more or not updated (by election authorities) to a version that had Interim Approvals.

Even Dominion will not make the statement that they have been certified from an approved testing lab for WinEDS 4.0. Dominion only states that they have been tested.

Also noteworthy from this letter to the Board is the opening statement: *"Attached is the correspondence and email received regarding Dominion's withdrawal of the WinEDS 4.0*

voting system from the EAC certification and testing program. Currently in the state of Illinois, Chicago (BEC) and Cook County use this election management program/voting system.” Contrary to Borgsmiller’s claim in a October 3rd email, this earlier statement affirms that the ImageCast system approved on Sept 6, 2012 is not in use in Chicago or Cook County. **The Board cannot have it both ways; either the ImageCast system is in use or it is not.**

Summary: Illinois election code 5/24c-16 requires that all voting equipment be approved by the Illinois State Board of Elections, fulfill functional requirements provided by Section 24c-11, mandatory requirements of the federal voting system standards pertaining to Direct Recording Electronic Voting Systems promulgated by the FEC or the EAC, the testing requirements of an approved independent testing authority, and the rules of the State Board of Elections.

The Dominion/Sequoia Voting systems in use in Chicago and Suburban Cook County fail to meet these requirements and therefore are not available for use in the upcoming elections in 2014. Further, these systems cannot be re-certified or approved because Dominion has stopped the EAC certification process and because they no longer manufacture these non-complaint and antique systems.

Accordingly, besides a formal HAVA Complaint to prevent any further certification or use of the non-compliant antique Dominion Voting Systems, this Complaint seeks remedy requiring the Illinois State Board of Elections to fulfil its statutory obligations and assure only legally certified voting equipment is in use in Illinois. The Complainant seeks the installation of new processes

to assure for the public record that hardware, software, and firmware is properly updated in every election jurisdiction in Illinois to match voting systems that were actually tested and approved for use in Illinois.

The intent of laws assuring that only lawful voting systems are used assure that Illinois has reliable and accurate voting systems that meet State Laws, Federal Laws and Standards, and HAVA Title III. The Illinois State Board of Elections has failed to assure this is the case, and the Complainant seeks immediate remedy.

REMEDY

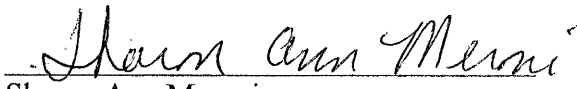
The Complainant seeks to have the Dominion Voting System in use by Chicago and Suburban Cook County removed from any further use in Illinois elections. The voting system in contest is the WinEDS 4.0 plus related equipment including the Insight, Edge2Plus, HAAT and the 400c.

- 1) The Complainant desires a hearing on the record before the State Board of Elections.
- 2) The Complainant desires the Illinois State Board of Elections to fulfil its statutory obligations and assure only legally certified voting equipment is in use in Illinois.
- 3) The Complainant desires that Dominion Voting System, WinEDS 4.0 and the related voting equipment, is no longer on the list of approved election equipment in Illinois.
- 4) The Complainant desires the removal of the September 6, 2012 Interim Approval of ImageCast because this system will never be tested for approval of the 2002 voting system standards as required by Illinois law.

- 5) The Complainant desires to prevent all further actions by the ISBE which would result in granting interim approval to the WinEDS 4.0 and the related voting equipment that has not passed the error testing required in Part (5) of Title III.
- 6) The Complainant desires the cancellation of all Interim certifications of approvals by the ISBE for the WinEDS 4.0 and the related voting equipment that has not passed the error testing required in Part (5) of Title III.
- 7) The Complainant desires for the installation of new procedures which publically track the certification and use of all voting systems used in Illinois.
- 8) The Complainant desires that new rules require the ISBE to provide adequate notice to the public of its intention to re-certify equipment such that the public can make comment about this equipment and have that comment be included in all discussions related to approving or re-approving voting systems in Illinois.
- 9) The Complainant desires that there is a four year trial period with independent lab, Argonne National Laboratory, where all election systems in use in Illinois undergo a security-based Vulnerability Assessment before they are granted Interim Approval.
- 10) The Complainant seeks all civil penalties available by law be assessed to penalize the Respondents for failing in their duty as individual members and as the voting body of the Illinois State Board of Elections to assure only legally authorized voting systems are used in Illinois.
- 11) The Complainant seeks to have attributed to her all other benefits, rights, and relief afforded to her because of this violation of her rights.


Verification

"I declare that this complaint (including any accompanying exhibits and statements) has been examined by me and to the best of my knowledge and belief is a true and correct complaint as required by Section 402 of the Help America Vote Act."


Sharon Ann Meroni

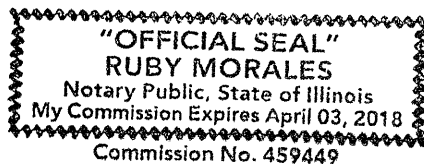
Signed and sworn to (or affirmed) by Sharon Meroni

before me on this day of August 22, 2014



Signature of Notary Public

(SEAL OF NOTARY)



STATE BOARD OF ELECTIONS



From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Rupert T. Borgsmiller; Executive Director
Re: Paperless Online Voter Application Update
Date: October 8, 2014

All four Paperless Online Voter Application (POVA) websites (one for each language) were automatically disabled at midnight on October 7th - the official close of the regular registration period for the upcoming General Election. Visitors to the POVA websites are now provided information detailing Grace Period Registration.

Exactly 31,000 applications were received via the POVA system. The number of applications increased dramatically over the course of the last two days of registration.

Testing for web service interaction with the Secretary of State's database has begun and will continue throughout the close of registration. We intend to have the web services in production prior to the reopening of voter registration; however, we will continue to keep batch processing in place, as a backup means of communication. When the web services are in place, applicants will be notified immediately as to the results of the match with the Secretary of State's database. If the web services are not available, applicants will still be informed within 48 hours as they are now.

The POVA system has worked very well and has provided thousands of individuals a new avenue to apply to register to vote.

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Sept. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,661,700	\$1,003,373.35	\$0.00	\$3,658,326.65	21.52%
STATE PAID RETIREMENT	\$186,700	\$39,521.84	\$0.00	\$147,178.16	21.17%
RETIREMENT (inc. supplemental)	\$0	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$357,000	\$73,867.82	\$0.00	\$283,132.18	20.69%
CONTRACTUAL SERVICE	\$1,356,000	\$221,760.57	\$357,264.65	\$776,974.78	16.35%
TRAVEL	\$127,700	\$9,886.79	\$0.00	\$117,813.21	7.74%
PRINTING	\$28,200	\$921.10	\$0.00	\$27,278.90	3.27%
COMMODITIES	\$40,400	\$5,619.53	\$0.00	\$34,780.47	13.91%
EQUIPMENT	\$169,400	\$52,984.31	\$0.00	\$116,415.69	31.28%
TELECOMMUNICATIONS	\$144,900	\$7,504.00	\$0.00	\$137,396.00	5.18%
OPERATION OF AUTO EQUIPMENT	\$5,300	\$283.38	\$0.00	\$5,016.62	5.35%
ELECTION CODE BOOKS	\$17,500	\$0.00	\$0.00	\$17,500.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$577,200	\$93,900.56	\$0.00	\$483,299.44	16.27%
INTEREST PAYMENTS	\$0	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$7,672,000	\$1,509,623.25	\$357,264.65	\$5,805,112.10	19.68%
HAVA MAINTENANCE OF EFFORT	\$550,000	\$56,779.26	\$275,141.22	\$218,079.52	10.32%
CO CLERK & RECORDER STIPENDS (FUND 802)	\$799,500	\$0.00	\$0.00	\$799,500.00	0.00%
ELECTION JUDGE REIMBURSEMENT (FUND 802)	\$5,043,000	\$0.00	\$0.00	\$5,043,000.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$3,378,000	\$0.00	\$0.00	\$3,378,000.00	0.00%
REDISTRICTING	\$0	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$0	\$0.00	\$0.00	\$0.00	0.00%
IDIS SYSTEM REPLACEMENT	\$0	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)	\$9,770,500.00	\$56,779.26	\$275,141.22	\$9,438,579.52	0.58%
TOTAL APPROPRIATION	\$17,442,500	\$1,566,402.51	\$632,405.87	\$15,243,691.62	8.98%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: Sept. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$3,000.00	\$149.66	\$2,850.34	4.99%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$3,600.00		\$3,600.00	0.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$18,000.00	\$2,413.50	\$15,586.50	13.41%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00		\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,000.00		\$1,000.00	0.00%
TRAVEL	\$21,000.00	\$2,337.67	\$18,662.33	11.13%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00		\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

BOARD GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$27,200.00	\$2,563.16		\$24,636.84
TRAVEL	\$21,000.00	\$2,337.67		\$18,662.33
EQUIPMENT	\$700.00	\$0.00		\$700.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
TOTAL	\$48,900.00	\$4,900.83	\$0.00	\$43,999.17

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: Sept. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$853,700.00	\$171,615.00	\$682,085.00	20.10%
1129 State Paid Retirement	\$34,200.00	\$6,877.76	\$27,322.24	20.11%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$65,400.00	\$12,588.38	\$52,811.62	19.25%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$400.00		\$400.00	0.00%
1205 Freight Express & Drayage	\$600.00		\$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$14,500.00	\$584.06	\$13,915.94	4.03%
1223 Repair/Maint. Real Property	\$2,000.00	\$506.00	\$1,494.00	25.30%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$32,800.00	\$355.55	\$32,444.45	1.08%
1232 Rental, Motor Vehicles	\$4,600.00	\$1,800.00	\$2,800.00	39.13%
1233 Rental, Real Property	\$399,800.00	\$132,660.00	\$267,140.00	33.18%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00		\$1,000.00	0.00%
1240 Statistical & Tabulation Services	\$22,500.00	\$1,909.70	\$20,590.30	8.49%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$1,000.00		\$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	\$76,700.00		\$76,700.00	0.00%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00		\$800.00	0.00%
1275 Subscription & Information Services	\$3,000.00	\$665.60	\$2,334.40	22.19%
1276 Reg.Fees & Conf. Expenses (Employee)	\$400.00		\$400.00	0.00%
1277 Association Dues	\$2,200.00		\$2,200.00	0.00%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00		\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00	\$3,609.02	\$7,890.98	31.38%
TRAVEL				
1291 In-State	\$10,800.00	\$601.53	\$10,198.47	5.57%
1292 Out-of-State	\$1,500.00		\$1,500.00	0.00%
1295 Mileage	\$300.00	\$235.20	\$64.80	78.40%
PRINTING	\$9,900.00		\$9,900.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$14,900.00	\$2,237.07	\$12,662.93	15.01%
1306 Food for Human Consumption				
1335 Rock Salt				
1391 Household & Cleaning Supplies	\$300.00	\$68.67	\$231.33	22.89%
1394 Office/Library Equip., Not exc. \$100				
1395 Small Tools Not Exceeding \$100				
1397 Household Cleaning Equip. NEC \$100				
1398 Equipment, NEC	\$500.00	\$139.48	\$360.52	27.90%
1399 Commodities, NEC	\$700.00	\$35.92	\$664.08	5.13%
EQUIPMENT				
1510 Office Furniture & Equipment	\$5,000.00	\$259.99	\$4,740.01	5.20%
1599 Equipment NEC	\$1,000.00		\$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$52,200.00	\$3,691.58	\$48,508.42	7.07%
1722 Rental, Data Comm. Serv. & Equip.	\$81,000.00	\$3,248.07	\$77,751.93	4.01%
1728 Videoconferencing	\$7,200.00	\$564.35	\$6,635.65	7.84%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00		\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00		\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$2,100.00		\$2,100.00	0.00%
1894 Parts & Fittings, Auto Equipment	\$200.00		\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$3,000.00	\$283.38	\$2,716.62	9.45%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
ADMINISTRATION GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF EXPENDITURE
PERSONAL SERVICE	\$853,700.00	\$171,615.00		\$682,085.00 20.10%
STATE PAID RETIREMENT	\$34,200.00	\$6,877.76		\$27,322.24 20.11%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00 0.00%
SOCIAL SECURITY	\$65,400.00	\$12,588.38		\$52,811.62 19.25%
CONTRACTUAL SERVICE	\$573,900.00	\$142,089.93	\$267,007.50	\$164,802.57 24.76%
TRAVEL	\$12,600.00	\$836.73		\$11,763.27 6.64%
PRINTING	\$9,900.00	\$0.00		\$9,900.00 0.00%
COMMODITIES	\$16,400.00	\$2,481.14		\$13,918.86 15.13%
EQUIPMENT	\$6,000.00	\$259.99		\$5,740.01 4.33%
TELECOMMUNICATIONS	\$144,900.00	\$7,504.00		\$137,396.00 5.18%
OPERATION OF AUTO EQUIPMENT	\$5,300.00	\$283.38		\$5,016.62 5.35%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00 0.00%
TOTAL	\$1,722,300.00	\$344,536.31	\$267,007.50	\$1,110,756.19 20.00%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: Sept. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,813,600.00	\$383,308.79	\$1,430,291.21	21.14%
1129 State Paid Retirement	\$72,600.00	\$15,365.43	\$57,234.57	21.16%
1161 Retirement			\$0.00	0.00%
1161-008 Compt. Supplemental Retirement			\$0.00	0.00%
1170 Social Security	\$138,800.00	\$28,203.66	\$110,596.34	20.32%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$2,700.00		\$2,700.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00		\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip				
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00	0.00%
1239 Rental, NEC	\$200.00	\$100.00	\$100.00	50.00%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	\$10,000.00		\$10,000.00	0.00%
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00		\$4,000.00	0.00%
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$4,200.00		\$4,200.00	0.00%
1277 Association Dues	\$1,400.00	\$115.00	\$1,285.00	8.21%
1279 Employee Tuition & Fees	\$1,300.00		\$1,300.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,500.00		\$1,500.00	0.00%
1284 Software	\$100.00	\$49.95	\$50.05	49.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	\$600.00		\$600.00	0.00%
TRAVEL	\$61,900.00	\$3,482.95	\$58,417.05	5.63%
PRINTING	\$7,000.00	\$43.10	\$6,956.90	0.62%
EQUIPMENT				
1510 Office Furniture & Equipment	\$1,900.00	\$259.99	\$1,640.01	13.68%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
HAVA - Maintenance of Effort	\$550,000.00	\$56,779.26	\$493,220.74	10.32%
ELECTION CODE BOOKS	\$17,500.00		\$17,500.00	0.00%
Redistricting				
Election Judge Reimbursements (Fund 802)	\$5,043,000.00		\$5,043,000.00	0.00%
Stipends (Fund 802)	\$799,500.00		\$799,500.00	0.00%
Early Voting Election Judges				
State HAVA Match				
Voting System Integrity Center				
Electronic Canvassing Implementation				
Electronic Canvassing Interest Payments				
IDIS Replacement System				

ELECTIONS GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,813,600.00	\$383,308.79		\$1,430,291.21	21.14%
STATE PAID RETIREMENT	\$72,600.00	\$15,365.43		\$57,234.57	21.16%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$138,800.00	\$28,203.66		\$110,596.34	20.32%
CONTRACTUAL SERVICE	\$26,900.00	\$264.95		\$26,635.05	0.98%
TRAVEL	\$61,900.00	\$3,482.95		\$58,417.05	5.63%
PRINTING	\$7,000.00	\$43.10		\$6,956.90	0.62%
EQUIPMENT	\$1,900.00	\$259.99		\$1,640.01	13.68%
HAVA - Maintenance of Effort	\$550,000.00	\$56,779.26	\$275,141.22	\$218,079.52	10.32%
ELECTION CODE BOOKS	\$17,500.00	\$0.00		\$17,500.00	0.00%
Redistricting	\$0.00	\$0.00		\$0.00	0.00%
Election Judge Reimbursements (FUND 802)	\$5,043,000.00	\$0.00		\$5,043,000.00	0.00%
Stipends (FUND 802)	\$799,500.00	\$0.00		\$799,500.00	0.00%
Early Voting Election Judges	\$0.00	\$0.00		\$0.00	0.00%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Implementation	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Interest Payments	\$0.00	\$0.00		\$0.00	0.00%
IDIS Replacement System	\$0.00	\$0.00		\$0.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$8,532,700.00	\$487,708.13	\$275,141.22	\$7,769,850.65	5.72%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: Sept. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$344,200.00	\$81,998.76	\$262,201.24	23.82%
1129 State Paid Retirement	\$13,800.00	\$3,282.74	\$10,517.26	23.79%
1161 Retirement			\$0.00	0.00%
1170 Social Security	\$26,400.00	\$6,053.28	\$20,346.72	22.93%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$75,000.00	\$24,237.50	\$50,762.50	32.32%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$15,000.00	\$4,201.00	\$10,799.00	28.01%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00		\$1,000.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00		\$1,000.00	0.00%
1277 Association Dues	\$1,500.00		\$1,500.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services				
1284 Computer Software				
1289 Contractual Services, NEC	\$6,500.00	\$1,089.50	\$5,410.50	16.76%
TRAVEL	\$10,000.00	\$1,775.05	\$8,224.95	17.75%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

GENERAL COUNSEL GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$344,200.00	\$81,998.76		\$262,201.24	23.82%
STATE PAID RETIREMENT	\$13,800.00	\$3,282.74		\$10,517.26	23.79%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$26,400.00	\$6,053.28		\$20,346.72	22.93%
CONTRACTUAL SERVICE	\$101,000.00	\$29,528.00	40,762.50	\$30,709.50	29.24%
TRAVEL	\$10,000.00	\$1,775.05		\$8,224.95	17.75%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$495,900.00	\$122,637.83	\$40,762.50	\$332,499.67	24.73%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE <u>MONTH ENDING: Sept. 30, 2014</u>	FY15 <u>APPROPRIATION</u>	YEAR TO DATE <u>EXPENDITURE</u>	<u>BALANCE</u>	% OF <u>EXPENDITURE</u>
PERSONAL SERVICE	\$843,300.00	\$172,081.10	\$671,218.90	20.41%
1129 State Paid Retirement	\$33,800.00	\$6,886.42	\$26,913.58	20.37%
1161 Retirement			\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement			\$0.00	0.00%
1170 Social Security	\$64,600.00	\$12,545.54	\$52,054.46	19.42%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	\$100.00		\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services	\$300.00	\$292.50	\$7.50	97.50%
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,100.00		\$1,100.00	0.00%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00		\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$200.00	\$120.00	\$80.00	60.00%
TRAVEL				
1291 In-State	\$7,400.00		\$7,400.00	0.00%
1292 Out-of-State	\$3,800.00		\$3,800.00	0.00%
1295 Mileage	\$100.00	\$31.47	\$68.53	31.47%
PRINTING	\$10,600.00	\$878.00	\$9,722.00	8.28%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

<u>CAMPAIGN DISCLOSURE GRAND TOTAL</u>	FY15 <u>APPROPRIATION</u>	YEAR TO DATE <u>EXPENDITURE</u>	OBLIGATED <u>MONEY</u>	<u>BALANCE</u>	% OF <u>EXPENDITURE</u>
PERSONAL SERVICE	\$843,300.00	\$172,081.10		\$671,218.90	20.41%
STATE PAID RETIREMENT	\$33,800.00	\$6,886.42		\$26,913.58	20.37%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$64,600.00	\$12,545.54		\$52,054.46	19.42%
CONTRACTUAL SERVICE	\$2,300.00	\$412.50		\$1,887.50	17.93%
TRAVEL	\$11,300.00	\$31.47		\$11,268.53	0.28%
PRINTING	\$10,600.00	\$878.00		\$9,722.00	8.28%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$966,400.00	\$192,835.03	\$0.00	\$773,564.97	19.95%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: Sept. 30, 2014	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$806,900.00	\$194,369.70	\$612,530.30	24.09%
1129 State Paid Retirement	\$32,300.00	\$7,109.49	\$25,190.51	22.01%
1161 Retirement			\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement			\$0.00	0.00%
1170 Social Security	\$61,800.00	\$14,476.96	\$47,323.04	23.43%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment	\$12,000.00		\$12,000.00	0.00%
1223 Repair/Maint. Real Property				
1225 Repair/Maint. EDP Equipment	\$120,400.00	\$20,743.13	\$99,656.87	17.23%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC	\$1,800.00	\$422.55	\$1,377.45	23.48%
1242 Auditing & Management Services				
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$323,400.00		\$323,400.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$708.00	\$292.00	70.80%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$8,000.00		\$8,000.00	0.00%
1284 Computer Software	\$150,500.00	\$25,028.35	\$125,471.65	16.63%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,000.00		\$1,000.00	0.00%
TRAVEL				
1291 In-State	\$5,200.00	\$1,213.48	\$3,986.52	23.34%
1292 Out-of-State	\$5,400.00		\$5,400.00	0.00%
1295 Mileage	\$300.00	\$209.44	\$90.56	69.81%
PRINTING	\$700.00		\$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$22,800.00	\$2,004.34	\$20,795.66	8.79%
1332 Industrial & Shop Materials				
1394 Office & Library Equipment Under \$100	\$800.00	\$791.00	\$9.00	98.88%
1395 Small Tools < \$100				
1398 Equipment, NEC	\$400.00	\$343.05	\$56.95	85.76%
1399 Commodities, NEC				
EQUIPMENT				
1510 Office Furniture & Equipment				
1515 EDP Equipment	\$159,800.00	\$52,464.33	\$107,335.67	32.83%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

INFORMATION TECHNOLOGY GRAND TOTAL	FY15 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$806,900.00	\$194,369.70		\$612,530.30	24.09%
STATE PAID RETIREMENT	\$32,300.00	\$7,109.49		\$25,190.51	22.01%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$61,800.00	\$14,476.96		\$47,323.04	23.43%
CONTRACTUAL SERVICE	\$624,700.00	\$46,902.03	\$49,494.65	\$528,303.32	7.51%
TRAVEL	\$10,900.00	\$1,422.92		\$9,477.08	13.05%
PRINTING	\$700.00	\$0.00		\$700.00	0.00%
COMMODITIES	\$24,000.00	\$3,138.39		\$20,861.61	13.08%
EQUIPMENT	\$159,800.00	\$52,464.33		\$107,335.67	32.83%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$1,721,100.00	\$319,883.82	\$49,494.65	\$1,351,721.53	18.59%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

IVRS LUMP SUM	YEAR TO DATE
<u>MONTH ENDING: Sept. 30, 2014</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$83,897.97
1129 State Paid Retirement	\$3,357.88
1161 Retirement	
1170 Social Security	\$6,061.94
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	\$582.77
PRINTING	
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	

SUPP. APPROP. - IVRS

LUMP SUM - OPERATIONS APPROP FOR YEAR	\$577,200.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$93,900.56
REMAINING LUMP SUM APPROPRIATION	\$483,299.44

LUMP SUM - GRANTS APPROP FOR YEAR	\$3,378,000.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$0.00
REMAINING LUMP SUM APPROPRIATION	\$3,378,000.00

LUMP SUM TOTAL APPROPRIATION	\$3,955,200.00
TOTAL LUMP SUM EXPENDITURES	\$93,900.56
REMAINING LUMP SUM APPROPRIATION	\$3,861,299.44

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> Funds	SECTION 102 <u>Entitlement</u> Payments	SECTION 261 <u>EAID</u> Disbursements	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
MONTH ENDING: Sept. 30, 2014						
CASH BALANCE FROM FY14	\$553,557.44	\$0.00	\$0.00	\$3,555,326.11	\$3,559,543.77	\$7,668,427.32
Program Revenues from Federal Govt			\$175,003.68			\$175,003.68
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$352.60			\$2,701.23	\$2,590.17	\$5,644.00
Interest Penalties Received						\$0.00
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$352.60	\$0.00	\$175,003.68	\$2,701.23	\$2,590.17	\$180,647.68
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$2,766.00	\$0.00	\$0.00	\$997.43	\$0.00	\$3,763.43
TRAVEL	\$5,948.49	\$0.00	\$0.00	\$0.00	\$0.00	\$5,948.49
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$55.13	\$0.00	\$0.00	\$0.00	\$0.00	\$55.13
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$8,769.62	\$0.00	\$0.00	\$997.43	\$0.00	\$9,767.05
AWARDS & GRANTS	\$0.00	\$0.00	\$177,977.68	\$0.00	\$434,364.07	\$612,341.75
TOTAL CASH EXPENDITURES	\$8,769.62	\$0.00	\$177,977.68	\$997.43	\$434,364.07	\$622,108.80
CASH BALANCE AT END OF MONTH	\$545,140.42	\$0.00	(\$2,974.00)	\$3,557,029.91	\$3,127,769.87	\$7,226,966.20

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS
MONTH ENDING: Sept. 30, 2014

FY15
APPROPRIATION **YEAR TO DATE**
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1286 Travel, Reimb. to Non-State Employees
1289 Contractual Services, NEC

\$0.00

\$2,766.00

\$0.00

\$2,766.00

TRAVEL

1291 In-State & 1293 In-State (to vendor)
1292 Out-of-State
1295 Mileage

\$610.00
\$4,783.53
\$554.96

\$610.00
\$4,783.53
\$554.96

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

\$0.00

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

\$55.13

\$55.13

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

\$0.00

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments (PPA)
4470 Grants to Local Governments (EAL)
4470 Grants to Local Governments (AAG)
4470 Grants to Local Governments
4479 Payments to Other State Agencies

SECTION 101 GRAND TOTAL

FY15
APPROPRIATION **YEAR TO DATE** **OBLIGATED**
EXPENDITURE **MONEY**

PERSONAL SERVICE \$0.00 \$0.00
STATE PAID RETIREMENT \$0.00 \$0.00
RETIREMENT \$0.00 \$0.00
SOCIAL SECURITY \$0.00 \$0.00
GROUP INSURANCE \$0.00 \$0.00
CONTRACTUAL SERVICE \$2,766.00 \$2,766.00
TRAVEL \$5,948.49 \$5,948.49
PRINTING \$0.00 \$0.00
COMMODITIES \$0.00 \$0.00
EQUIPMENT \$0.00 \$0.00
TELECOMMUNICATIONS \$55.13 \$55.13
OPERATION OF AUTO EQUIPMENT \$0.00 \$0.00
INDIRECT COST REFUNDS \$0.00 \$0.00
GRANTS \$0.00 \$0.00

TOTAL **\$8,769.62** **\$8,769.62** **\$0.00**

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Sept. 30, 2014

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
GRANTS	\$0.00	\$0.00

TOTAL	\$0.00	\$0.00	\$0.00
--------------	---------------	---------------	---------------

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: Sept. 30, 2014

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund \$0.00

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)
4470 Grants to Local Governments (VAID IV)
4470 Grants to Local Governments (VAID V) \$177,977.68 \$177,977.68

SECTION 261 GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$177,977.68	\$177,977.68

TOTAL	\$177,977.68	\$177,977.68	\$0.00
--------------	---------------------	---------------------	---------------

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: Sept. 30, 2014

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

\$0.00

\$0.00

\$997.43

\$997.43

TRAVEL

1291 In-State
1292 Out-of-State

\$0.00

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

\$0.00

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

\$0.00

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

\$0.00

SECTION 251 (OLD REQ) GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE
STATE PAID RETIREMENT
RETIREMENT
SOCIAL SECURITY
GROUP INSURANCE
CONTRACTUAL SERVICE
TRAVEL
PRINTING
COMMODITIES
EQUIPMENT
TELECOMMUNICATIONS
OPERATION OF AUTO EQUIPMENT
INDIRECT COST REFUNDS
GRANTS

\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$997.43
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00

\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$997.43
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00

\$0.00

TOTAL

\$997.43

\$997.43

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: Sept. 30, 2014

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (Phase III)
4479 Payments to Other State Agencies

\$434,364.07

\$434,364.07

SECTION 251 (NEW REQ) GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$434,364.07	\$434,364.07

TOTAL	\$434,364.07	\$434,364.07	\$0.00
--------------	---------------------	---------------------	---------------

Start Date	End Date	Activity	Division
9 /17/2014		Send notification to election authorities re: Voting Equipment Test. 10 ILCS 24B-9, 24C-9	VRS
9 /17/2014		Complete brochure update. Project started 7/1/2014 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /19/2014		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board the serial numbers for the early voting systems it will use for the General Election.	VRS
9 /19/2014		Last day for the election authority to have in his office a sufficient number of ballots printed and available for mailing to persons in the United States Service or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. 10 ILCS 5/7-16, 16-5.01	LEG
9 /20/2014		Last day for the State Board of Elections to publish the Internet Voters' Guide on the Board's website. 10 ILCS 5/12A-5	LEG
9 /22/2014		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board of the type of voting system it will use for the General Election. (Rules and Regulations)	VRS
9 /22/2014		Election authorities must submit to SBE a copy of the specimen ballot that is to be tested for General Election. 10 ILCS 5/24B-9	VRS
9 /23/2014		Remind the Driver and Motor Vehicle Services of the registration cut off of 27 days before an election.	LEG
9 /30/2014		Notify election authorities of their need to give public test notification and re-tabulation notification for General Election.	VRS
10/1 /2014		First day that any political committee shall file its 2014 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/1 /2014		Notify election authorities of requirement to submit computerized voter registration information for General Election 2014 submission in a format prescribed by the SBE	VRS

Start Date	End Date	Activity	Division
10/3 /2014		Begin testing of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VRS
10/5 /2014		First day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the general election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(c)	CAMP DISC
10/5 /2014		First day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC
10/7 /2014		Last day for voter registration or transfer registration prior to November 4th General Election. 10 ILCS 4-6,4-6.2, 4-16, 5/5-5, 5-16.2, 5-23, 6-29,6-50.2	EL INFO
10/7 /2014		First day for election authority to submit computerized voter registration file for the General Election 2014 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
10/7 /2014		Complete draft of Board's field and office plan for Election Day.	EL INFO
10/8 /2014		Notify election authorities to forward a copy of their computer operator's log or analogous information following the proclamation of election results. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VRS
10/8 /2014		First day for grace period registration, change of address and voting begins. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
10/10/2014		Begin to develop SBE LEGISLATIVE PROGRAM for the upcoming legislative session, beginning January 2015. Recommendations will be solicited from division staff. 10 ILCS 5/1A-8 (8)	LEG
10/14/2014		Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in election. 10 ILCS 24B-15, 24C-15	VRS
10/14/2014		Complete profile of pre-election referenda. 10 ILCS 5/1A-8 (1, 2, 12)	VRS

Start Date	End Date	Activity	Division
10/15/2014		Notify Department of Public Health for a list of facilities licensed or certified under the Nursing Home Care Reform Act or the MR/DD Community Care Act. This is to obtain a current list for the February 24th, 2015 Consolidated Primary Election, and for the April 7th, 2015 Consolidated Election. 10 ILCS 5/19-12.2	ET&RD
10/15/2014		Last day that a political committee shall file its 2014 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/17/2014		Last day for election authority to notify public of time, date, and place of re-tabulation for General Election. 10 ILCS 5/24B-15	VRS
10/17/2014		Last day for election authorities to submit request for extension to file computerized voter registration information for the General Election 2014 submission. Rules and Regulations	VRS
10/17/2014		Last day for election authority to submit computerized voter registration file for the General Election 2014 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
10/20/2014		First day for early voting for counties who have permanent polling places. 10 ILCS 5/19A-15	EL INFO
10/20/2014		First day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
10/21/2014		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
10/24/2014		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdiction's 5% re-tabulation of early voting equipment. 10 ILCS 5/24A-15, 5/24B-15, 5/24C-15	VRS
10/24/2014		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdictions' 5% re-tabulation of precincts. 10 ILCS 5/24B-15 and 5/24C-15	VRS

Start Date	End Date	Activity	Division
10/24/2014		Date those jurisdictions utilizing in-precinct counting equipment must submit list of those precincts that will be open for the election. 10 ILCS 5/24B-15, 24C-15	VRS
10/28/2014		Send verification letter to election authorities to confirm the precinct data they originally submitted for their 5% retabulation. 10 ILCS 5/24B-15, 24C-15	VRS
10/28/2014		Last day for election authority to notify public of time, date, and place of public test for General Election. 10 ILCS 5/24B-9	VRS
10/29/2014		Last day for SBE to send list of facilities licensed or certified under the Nursing Home Care Reform Act or the MR/DD Community Care Act to the proper election authorities. This list is for the February 24, 2015 Consolidated Primary Election, and for the April 7th, 2015 Consolidated Election (not less than 120 days before each regular election). 10 ILCS 19-12.2	ET&RD
10/30/2014		Last day to conduct SBE tests of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VRS
10/31/2014		Date upon which the State Board of Election shall notify political committees that have failed to file the 2014 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
11/1 /2014		Last day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
11/1 /2014		Last day of grace period registration, change of address and voting. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
11/3 /2014		Begin preparation of packet materials for 2014 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONIS AND EXPENDITURES. Packets to be mailed or e-mailed November 28, 2014. 10 ILCS 5/9-15	CAMP DISC

Start Date	End Date	Activity	Division
11/3 /2014		Last day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the general election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(c).	CAMP DISC
11/3 /2014		Last day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC
11/3 /2014		Last day for election authorities to send a sealed copy of each tested program for the upcoming election to the State Board of Elections. The SBE secures the programs until the next General Election.	VRS
11/4 /2014		GENERAL ELECTION	EL INFO
11/5 /2014		Provide the election authorities in those jurisdictions where in-precinct counting equipment is utilized a list of the precincts selected on a random basis by the State Board of Elections. 10 ILCS 5/24B-15, 24C-15	VRS
11/5 /2014		Destroy the sealed program or programs from the previous election of the same type. 10 ILCS 5/24B-9	VRS
11/11/2014		Begin review of computer operator's logs for the performance of automatic tabulating equipment used in the General Election. (Rules and Regulations)	VRS
11/17/2014	11/24/2014	Candidate filing period. (For the Consolidated Primary Election in 2015.)	EL INFO
11/17/2014		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
11/17/2014		Send to each election authority a current computerized voter registration error report, from the General Election submission	VRS
11/17/2014		Send notice to election authorities who failed to submit a computerized voter registration file, for the General Election 2014 submission. Rules and Regulations	VRS
11/18/2014	11/20/2014	(dates subject to change) Veto Session	LEG

Start Date	End Date	Activity	Division
11/18/2014		Last day for local election authorities to count absentee, military & overseas ballots which were postmarked by midnight Nov 4, 2014. 10 ILCS 5/19-8(c) & 20-8(c)	LEG
11/19/2014		Begin canvass as soon as abstracts are received from county clerks. (Complete by December 5, 2014) 10 ILCS 5/22-1	EL INFO
11/25/2014		Link report due form LEO's to the SBE. 10 ILCS 5/19-20, 20-20.	LEG
11/25/2014		Send notification reminder to election officials who failed to submit their computer operator's log or analogous information. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VRS
11/26/2014		Civic Organization certification cutoff date prior to the Feb 24, 2015 Consolidated Primary(not less than 90 days before next election). 10 ILCS 5/1A-8 (1, 2, 12) (Board Rule 207.50)	ET&RD
11/28/2014		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2014 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
12/1 /2014		Send letters to county clerks to obtain information required for the 2015 COUNTY OFFICERS publication. Not all the information may be available in time to meet our schedule. Our goal is to have the publication complete by 2/17/2015. 10 ILCS 5/1A-8 (1, 2)	ET&RD
12/1 /2014		Begin preparing 2015 FEDERAL AND STATE OFFICERS booklet. General Assembly member room assignments and telephone number information may be not be available in time to meet our schedule. Our goal is to have the publication available no later than 3/30/2015. 10 ILCS 5/1A-8 (1, 2, 11)	ET&RD
12/1 /2014		Notify election authorities of requirement to submit computerized voter registration information for December 15, 2014 submission in a format prescribed by the SBE	VRS

4. Follow up.
5. Comments from the general public.
6. Next regular Board meeting at 10:30 a.m. on Monday, November 17, 2014, in Springfield.
7. Executive session.